



Biennial Review Guidelines 2022: Pūkenga Matua, Kairuruku Matua i tua i te pae / Senior Lecturers and Senior Research Fellows beyond the bar

Guidelines for the 2022 assessment of the performance of
Senior Lecturers beyond the bar and Senior Research Fellows
beyond the bar

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1. Overview

This document outlines the procedure and guidelines for assessing the performance and reviewing the salary of Senior Lecturers beyond the bar and Senior Research Fellows beyond the bar.

In this document the single term ‘SL/SRF beyond the bar’ refers to Senior Lecturers beyond the bar and Senior Research Fellows beyond the bar.

Each SL/SRF beyond the bar will submit a review statement online, which will be reviewed by at least two reviewers: the Head of Department/Head of School, the Dean (if in Health Sciences), and the Pro-Vice-Chancellor.

The assessment of performance will be accompanied by a decision on salary step progression, for those not already at the top of the salary range.

2. Eligibility

SL/SRF beyond the bar appointed on or before 1 February 2022 are required to participate in the review.

- **Staff members at the top of the salary scale** are required to participate in a performance review even though there can be no salary review associated with it.
- **Staff members on Research and Study Leave** are required to participate in the review.
- **Confirmation Path staff** are required to participate in the review.
- **Staff members taking parental leave** should be reviewed. Heads of Departments should assess the staff member's performance during the months that they were at work over the review period. The underlying principle is that staff members should not be disadvantaged by taking parental leave. If it is possible, the staff member planning parental leave should prepare their statement of achievements prior to their departure.
- **Staff members who are retiring** will normally be reviewed if their employment is continuing into the 2023 academic year.

3. Review Period

The start of the review period is the most recent of the following:

- **Last review:** the date documents were submitted for the last biennial review of SL/SRF beyond the bar (i.e. September/October 2020)
- **Promotion:** the date of applying successfully for promotion to SL/SRF beyond the bar (the application submission date of either June 2020 or May 2021)
- **Appointment:** date of appointment as SL/SRF beyond the bar (if appointed between 2 February 2020 and 1 February 2022).

4. What you are required to submit for your review

Staff must submit a review statement and attach the required evidence. All documentation is submitted via the online system [Progress](#).

Your review statement contains:

- a. summary of leadership and significant achievements in teaching during the review period
- b. summary of leadership in research and research accomplishments during the review period
- c. summary of service activities and leadership in service during the review period
- d. comment on key objectives for the next review period.

You need to attach evidence for your review statement:

- a. Up-to-date, standard University of Otago CV with outputs and activities in the review period highlighted.
- b. Schedule of Teaching Responsibilities for the review period in the standard template.
- c. All standard evaluations of teaching for the review period.

Notes on the documentation required:

- **Confirmation Path staff** are required to submit the current or recent confirmation path report, and any further evidence that the Head of Department requests. Since reporting takes place as part of the confirmation path, commentary in this review can be very brief.
- **Research-only staff** are not required to submit the documentation relating to teaching described above.
- **Promotion applicants:** staff members who have applied for promotion in July 2022 may attach the promotion application documents instead of the documentation requested above. If you applied for promotion you are still required to make comments on (d.) key objectives and goals.

5. Guidance for preparing your review

General guidance

- For guidance about the expectations for your academic role, consult the [Academic Titles guidelines](#) on the Human Resources website.
- Refer to last review – you should refer to the objectives and reviewer comments in your last review when preparing the statement.
- [Strategic Frameworks](#) – you should consider how your work has aligned with the University’s strategy and objectives, particularly:
 - [Māori Strategic Framework](#) – in your review statement, you should address your contribution to the implementation of Māori Strategic Framework goals in your department, school or division.
 - [Academic Leadership Framework](#) – it is recommended that you review the Academic Leadership Framework for assistance with describing indicators of leadership.
- [Research and Study Leave](#) – in your review statement or as an attachment, you should provide an update on progress that relates to your most recent period of RSL. Describe how the recent RSL has contributed positively to the work of the University.
- [Otago standard CV template](#) – remember to highlight research outputs and other activities in the review period.

Teaching documents

- [Schedule of Teaching Responsibilities template](#) – this provides a record of the range, level and time commitment of your teaching.
- Standard evaluations of teaching include reports from questionnaires undertaken using [Otago inFORM](#), and ‘Record of Peer Review’ forms which are used to summarise the results of any [peer reviews](#) undertaken.
 - [Peer review of teaching form](#) (Word)
 - [Peer review of supervision form](#) (Word)
- Advice on how to [evaluate your teaching](#) can be found on the Higher Education Development Centre’s website.

6. Process

1. Promotions and Remuneration, Human Resources, emails all eligible staff and Reviewers about the biennial performance and salary review.
2. Each Head of Department (or Head of School, in Humanities) will determine the timeframe within which SL/SRF beyond the bar need to submit their documentation.
3. SL/SRF beyond the bar prepare a review statement in [Progress](#).

How to use Progress

Progress is the online portal for all academic reviews. A list of frequently asked questions is available online: [Progress FAQ](#).

The review statement is entered in text fields in Progress. The online system allows a total of 15,000 characters across four text fields (*Teaching, Research, Service, and Key Objectives*). This is approximately three pages. Note that spaces and line breaks are included in the character count.

There is an additional text field (500 characters) available if needed: *More Information and Leave*.

Word or PDF documents can be attached for supplementary information.

4. The First Reviewer (Head of Department or Head of School) meets with each SL/SRF beyond the bar to discuss performance and career development.
5. First Reviewers will enter comments in Progress to
 - a. validate the quality of the achievements and evidence
 - b. comment on the key objectives that have been agreed for the next review
 - c. assess whether performance is satisfactory
 - d. where performance is satisfactory, make a recommendation for a single-step salary progression (if applicable).
6. The reviews are submitted to the Dean (in Health Sciences) and then the Pro-Vice-Chancellor for final decisions and comments where required.
7. The completed review documentation is submitted to Promotions and Remuneration, Human Resources,
8. Human Resources informs SL/SRF beyond the bar of the review outcomes.

7. Timetable

5 October 2022	Review opens. Human Resources Division e-mails out guidelines to SL/SRF beyond the bar.
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Guidelines for Biennial Review of SL/SRF beyond the bar 2022

	Academic Heads are given access in Progress to the lists of SL/SRF beyond the bar eligible for the biennial performance and salary review. SL/SRF beyond the bar begin to prepare and submit review documentation.
October/November – to HoDs	Each Head of Department will determine their own due date for submissions, and will arrange individual review meetings.
14 November – to Deans (Health Sciences)	In Health Sciences only, Heads of Department forward review comments to Deans by this date.
28 November – to PVCs	In Health Sciences, Deans forward review comments to Pro-Vice-Chancellors by this date. In other Divisions, Heads of Department forward review comments to Pro-Vice-Chancellors by this date.
17 December – to HR	Pro-Vice-Chancellors submit recommendations to Human Resources by this date.
January 2023	Human Resources informs SL/SRF of the outcomes of the review.
1 February 2023	Effective date for salary progressions.

8. Reviewers

Division		First Reviewer	Second Reviewer	Third Reviewer
Commerce		HoD	PVC	
Sciences		Dean or HoD	PVC	
Humanities		Dean or HoS	PVC	
Health Sciences	BMS DSM Dentistry UOW UOC	HoD	Dean	PVC
	Pharmacy Physiotherapy	Dean	PVC	

Contact for further information: Stephanie Evans, Human Resources Adviser, Promotions and Remuneration Phone 03 479 8255, email hr.academicreviews@otago.ac.nz. This document: <https://www.otago.ac.nz/humanresources/training/academic-staff/academic-progression/otago695308.pdf>