

RO Allocated No.	Where the review is	Locality Form	LCW	TKW	Ethics	Contract	Indemnity	Insurance	Other	Comments
14095										
14122/A1	With Site	N/A	N/A	N/A	N/A	N/A	N/A	N/A	y	
15038/A1	With Research Team									Getting sponsor to sign
16080/A1	finance review									3/7 - LCW to Finance
16144/A1	Corporate review	N/A	Y	N/A	N/A	N/A	N/A	N/A	y	29/6/18 - to corporate
16191/A1	With Research Team									Research team to get signed copies of amendment to us
16192	RO - Follow up									
16194	RO - Follow up									
16204	RO - Follow up									
16206	RO - Follow up									
16222	Corporate review									
16224	RO - Follow up									
16235	RO - Follow up									
16242	With Research Team									New documents sent on 6/12/16

17006	Legal review									
17016	With Research Team									- Robert Allison asked to get signatures on locality 22/3/17 no follow up since
17028	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent
17034	With Research Team	N	N/A	N/A	N	N/A	N/A	N/A		Registration email sent 20/2/17
17035	With Research Team	N	N/A	n	n	N/A	N/A	N/A		Update email 1/2/18 - project still going ahead
17036	With Research Team	N	N/A	N/A	n	N/A	N/A	N/A		Registration email sent
17057	With Research Team	N	N/A	N/A	N	N/A	N/A	N/A		
17068	With Site	n	N/A	N	N	N/A	N/A	N/A		
17077	With Research Team	N	N/A	N	N	N/A	N/A	N/A		
17086	GM signing									With Dan Coward for signing
17088	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Docs send 15/3/18

17090	With Research Team	N	N/A	N/A	N	N/A	N/A	N/A		Update for docs sent 9/11/17 - no reply
17097	With Research Team	N	N/A	N/A	N	N/A	N/A	N/A		Registration email sent
17106	With Research Team	N	N/A	N/A	N	N/A	N/A	N/A		Emailed for follow up - no docs yet
17109	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent
17124	Corporate review									3/7 - Corporate Review
17141/A1	Legal review									Legal review 28/6. CCW with site for signing.
17151	With Research Team	Y	N/A	Y	N	N/A	N/A	N/A		Have received some doc's - still waiting on HDEC ethics (as ethics is from Canterbury), and a signature from PI on LAF
17155	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent

17157	With Research Team	N/A	N	Y	Y	Y				
17163	With RO									
17163	With RO									
17167	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Emailed for follow up - no docs yet
17170	With Research Team	N	N/A	N	Y	N/A	N/A	N/A		Emailed for follow up - no docs yet
17181	Legal review			Y	Y					
17184	With RO									
17187	With Research Team	N	N/A	N	N	N/A	N/A	N/A		
17192	With RO									
17195	With Research Team	N	N/A	Y	Y	N/A	N/A	N/A		Received docs - need locality
17196	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent
17198	With Research Team	N	N/A	N	Y	N/A	N/A	N/A		Registration email sent
17217	RO - Follow up									
17220	With Research Team	N	N/A	N/A	N	N/A	N/A	N/A		Emailed for follow up - no docs yet
17221	With RO									
17222	With Site									
17225/1	With RO									
17227										
17228	With Research Team	N	N/A	N/A	N	N/A	N/A	N/A		Registration email sent

17230	RT clarification									
17232	With Research Team	N	N/A	N/A	N	N/A	N/A	N/A		Registration email sent
17234	With Research Team	N	N/A	N/A	n	N/A	N/A	N/A		Emailed for follow up - no docs yet
17235	With Research Team	N	N/A	N/A	N	N/A	N/A	N/A		3/7/18 - Locality form sent again
17236										
17238	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent
17239	With Research Team	N	N/A	N/A	N	N/A	N/A	N/A		Registration email sent
17242	finance review									3/7 - LCW to finance Research Team to get sponsor to sign CTRA
17246	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent
17248	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent
17250	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent

18013	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent
18020	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent
18023	With Research Team									Back from finance/GM 14/6 - awaiting signed CTRA from sponsor/RT - update from RT that there is a hold up on sponsors end as legal team on leave
18025	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent
18029	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent
18034	With Research Team	N/A	N	N	N	N	N	N		Registration email sent
18037	With Research Team	N	N/A	n	n	N/A	N/A	N/A		registration email sent
18042	With Research Team	N/A	N	N	N	N	N	N		Registration email sent

18044	Legal review	N/A	N	N	N	N	N	N		Sent for legal review - 19/6/18
18047	With Research Team	N	N/A	N/A	n	N/A	N/A	N/A		Registration email sent
18048	With Research Team	N/A	N	N	N	N	N	N		
18049	With Research Team	N/A	N	N	N	N	N	N		Registration email sent
18050	With RO									
18051	RO - To Action									
18052	With Sponsor	N/A	Y	Y	Y	Y	N	N		
18053	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent
18054	With Research Team									RT to get signatures on locality
18056	With Research Team	N/A	n	n	n	n	n	n		Registration email sent
18057	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent
18058	With Research Team	N	N/A	n	n	N/A	N/A	N/A		Registration email sent
18059	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent
18061										
18063	With Research Team	N/A	N	N	N	N	N	N		Registration email sent 4/4/18

18064	With Research Team	Y	N/A	Y	Y	N/A	N/A	N/A		Checking with legal re ethics
18065	With Research Team	N/A	N	Y	N	N	N	n		Documents have been received, waiting on LCW and Ethics to proceed
18066	Legal review	N/A	N	Y	Y	N	N	N		4/7 - Legal
18067	With Research Team	n	N/A	N/A	Y	N/A	N/A	N/A		Judith emailed to get locality form through to decide how to proceed
18068	With Research Team	N	N/A	n	n	N/A	N/A	N/A		Registration email sent
18069	With RO	Y	N/A	N/A	Y	N/A	N/A	N/A		
18070										
18072	With Research Team	N/A	N	N	N	N	N	N		Registration email sent
18074	GM signing	Y	N/A	N/A	Y	N/A	N/A	N/A		6/7 - to GM
18075	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent
18076	With RO	Y	N/A	Y	Y	N/A	N/A	N/A		Documents received 29/6 - assigned to RA

18077	With Research Team	N/A	N	N	N	N	N	N		Registration email sent
18080	With RO									
18081	With Research Team	N/A	N	N	N	N	N	N		Registration email sent
18082	With RO									
18083	With RO									
18085	With Research Team	N	N/A	N/A	N	N/A	N/A	N/A		Registration email sent
18086	With Research Team	N	N/A	N/A	N	N/A	N/A	N/A		Registration email sent
18087	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent
18088	With Research Team	Y	N/A	N	Y	N/A	N/A	N/A		LAF & Ethics received - waiting on TKW
18089	With Research Team	N/A	N	N	N	N	N	N		Registration email sent
18092	With RO									
18093	With Research Team	N/A	N	N	N	N	N	N		Registration email sent
18094	With RO	Y		Y	Y					All documents received 3/7
18096	With RO									
18097	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent

18098	With Research Team	N	N/A	N/A	N	N/A	N/A	N/A		Registration email sent
18099	With Research Team	N/A	N	Y	Y	N	N	n		Registration email sent
18100	With Research Team	N	N/A	N/A	N	N/A	N/A	N/A		Registration email sent
18101	With RO									
18102	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent
18103	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent
18104	With RO	N/A	N/A	N/A	N/A	N/A	N/A	N/A	y	
18105	With Research Team	Y	N/A	N	Y	N/A	N/A	N/A		Locality and ethics received 28/6/18 - still waiting on TKW
18107	With Research Team	N	N/A	n	n	N/A	N/A	N/A		Email sent - need to look through documents to determine if needed to be registered
18108	With Research Team	N/A	N	N	N	N	N	N		TKW application sent in 3/7
18109	With Research Team	N	N/A	N	N	N/A	N/A	N/A		

18110	With Research Team	N	N/A	N/A	N	N/A	N/A	N/A		Registration email sent
18111	With Research Team	N/A	N	N	N	N	N	N		Registration email sent
18113	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration Email sent
18114	With Research Team	N	N/A	N	n	N/A	N/A	N/A		Registration email sent
18115	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent
18116	With Research Team	N/A	N	N	n	N	N	N		Registration email sent
18117	With Research Team	N	N/A	N/A	N	N/A	N/A	N/A		Registration email sent
18118	With Research Team	N	N/A	n	n	N/A	N/A	N/A		Registration email sent
18119	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent
18120	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent
18121	With Research Team	N/A	N/A	N/A	N/A	N/A	N/A	N/A	y	GRANT MOU
18122	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent

18123	With Research Team	Y	N/A	Y	Y	N/A	N/A	N/A		Documents received 28/6 - assigned to RA
18124	With Research Team	n	N/A	n	n	N/A	N/A	N/A		Registration Email sent
18125	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent - confirm where tests are being done and if within standard of care
18126	With Sponsor	N/A	Y	N	Y	Y	N/A	N/A		
18127	With Research Team	N/A	N	N	N	N	N	N		Registration email sent
18128	With Research Team	N/A	N	N	N	N	N	N		Registration email sent
18129	With Research Team	N/A	N	N	N	N	N	N		Registration email sent
18130	With Research Team	N/A	N	N	N	N	N	N		Registration email sent
18131	With Research Team	N	N/A	N/A	n	N/A	N/A	N/A		Registration Email sent
18133	GM signing	N	N/A	N/A	N	N/A	N/A	N/A		6/7 - to GM
18134	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent

18135	With Research Team	N	N/A	N/A	n	N/A	N/A	N/A		Registration email sent
18136										
18138	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent
18139	With Research Team	N/A	N	N	N					
18141	finance review									4/7 - finance review
18142	with research team	N/A	N	N	N					
18143	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent
18144	With Research Team	N/A	N	N	N	N	N	N		Registration email sent
18145	With Research Team	n	N/A	n	n	N/A	N/A	N/A		registration email sent
18146	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent
18147	With Research Team	N	N/A	N/A	N	N/A	N/A	N/A		Registration email sent
18148	With Research Team	N	N/A	N	N	N/A	N/A	N/A		Registration email sent
18149										
18150										
99073/A1	Legal review									4/7 - Legal
14012/A2	With Research Team	N/A	N/A	N/A	N/A	N/A	N/A	N/A	y	waiting amendment doc to send to legal

14021/A5											
14080/1	Legal review	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	y	
14100/1	Legal review	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	y	
15101/3	With RO										
15163/A2	Legal review										With legal - asked for update on 6/6 no reply. Asked for another update 28/6
16064/a	gm signing	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	y	
16064/b	gm signing	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	y	
16064/c	gm signing	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	y	
16064/d	gm signing	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	y	
16064/e	gm signing	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	y	with burwood
16113/A3	Corporate review		Y			Y					28/6 - To corporate for review
16133/1	Corporate review	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	y	
16133/2	Corporate review	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	y	
16174/C1	With Sponsor										
16211/A1											
17031/2	With RO										
17064- 1	With RO										
17064- 2	With RO										
17067/2	With RO										
18008/1	With RO										
99015/05	Corporate review	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	y	29/6/18 - To corporate
99064/A2	Corporate review	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	y	4/7 - Corporate

99070/A1	With Research Team									With research team to get sponsor sigs
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