Health New Zealand Research Integrity Statement

This statement applies to all Health New Zealand staff and any students, contractors or researchers from external organisations who are involved in any form of research or research- related activity (including audit, quality improvement and quality assurance) within Health New Zealand and its facilities.
The *purpose of these standards* are to:

- enhance trust and confidence in research undertaken in Health New Zealand by promoting Good Research Practices (GRPs) and avoiding research misconduct and Questionable Research Practices (QRPs)
- enable an honest and ethical research culture by requiring adherence to GRP principles (derived from the Singapore Statement) including:
    1. Honesty - in all aspects of research work
    2. Accountability and rigour - in development, conducting, and reporting of research
    3. Professional courtesy and fairness – in working with others
    4. Good stewardship of research– on behalf of others.

Health New Zealand Responsibilities

1. Developing and fostering a culture of research integrity and good research practice.
2. Providing policies, processes and pathways for researchers to obtain ethical and locality approvals, and other regulatory approvals where required.
3. Providing mechanisms for receiving, investigating, and resolving concerns or complaints about research and its conduct in order to protect those who disclose concerns or allegations of research misconduct.
4. Adopting policies from external institutions where required to satisfy the provision of grant funding from those institutions to Health New Zealand provided that those policies do not conflict with Health New Zealand policies and procedures.

Individual Researcher and Research Team Responsibilities

1. Adhering to, all laws, Health New Zealand policies, contractual obligations, ethical requirements, data sovereignty principles and other regulatory and compliance obligations that apply to research and research-related activities.
2. Obtaining all necessary Health New Zealand approvals, including locality approval and Māori review, as well as ethics and other regulatory approvals, and ensuring that the conditions of such approvals and undertakings made to research participants are adhered to during and after the research activities.
3. Engaging with research participants, whānau, communities and collaborators in accordance with all applicable Health New Zealand policies and procedures, including those relating to privacy and data governance.

4. Ensuring that the research design is transparent, well-considered and appropriate for the Aotearoa New Zealand context, the research methods are appropriate for the aims of the research, and that the conclusions are supported by the results.
5. Sharing positive, negative and null research findings openly, honestly and accurately in ways that facilitate learning as well as verification or replication.
6. Ensuring that errors or inaccuracies in published research records are corrected once identified.
7. Acknowledging affiliation with Health New Zealand on publications and other presentations and dissemination.
8. Undertaking professional development to obtain and maintain knowledge and competencies, including any essential learning required by Health New Zealand such as tikanga Māori and Te Tiriti o Waitangi.
9. Maintaining full and accurate records of research activities to enable transparency and reproducibility in publication of research work, including where appropriate data, metadata, protocols, code, software and other research data and primary materials.
10. Ensuring the appropriate use and storage of research data, in particular Māori data.
11. Ensuring any data sharing with individuals/organisations outside Health NZ including Universities and other third parties has been through the appropriate processes including ethical approval, data governance, and consideration to data sovereignty, and has a legally approved data sharing agreement.
12. Ensuring that risks associated with conducting research are assessed and managed.
13. Adhering to Health New Zealand policies, approvals processes and standards pertaining to the use of artificial intelligence, including generating research data**,** and disclosing the use of such tools in research outputs and funding applications.
14. Disclosing and managing any actual, potential or perceived conflicts of interest.
15. Ensuring proper use of research funds in accordance with funder and Health New Zealand requirements, and submitting reports as required by Funding bodies.
16. Not engaging in any form of research misconduct, including plagiarism, fabrication and falsification, or Questionable Research Practices, and refraining from putting others under pressure to engage in research misconduct or Questionable Research Practices.
17. Adhering to the requirements of research integrity or research misconduct policies of external institutions, as adopted by Health New Zealand where relevant to their research. *Note - This includes the United States Department of Health and Human Services (HHS) Public Health Service Policies on Research Misconduct (42 CFR 93; Final Rule).*

Supervisor Responsibilities including supervisors of RMOs, nursing and allied health staff and student projects

1. Ensuring supervisees have full understanding of these standards and its application to their project(s).
2. Ensuring all Health New Zealand research policies and procedures are adhered to in particular locality and ethical approval.
3. Providing guidance and support on the application of this policy to research projects, including research data management, research record keeping, and support to undertake appropriate training where required.
4. Providing guidance and support on ethical publication practices, including guidance about authorship criteria according to the Authorship and Publishing Guidelines.

WHERE TO GO FOR HELP AND GUIDANCE

Contact the Health NZ Research Office at your site, or the national leadership team on researchenquiries@tewhatuora.govt.nz