

## 2021 Otago Innovation \$100,000 Proof of Concept Application Form

**CLOSING DATE: 5pm Friday 25 June 2021**

Please check this box to confirm you have read the 'Terms and Conditions', at the back of the application form before submitting.

<b>TITLE</b>	<b>RESEARCH AND ENTERPRISE USE ONLY:</b>
	<b>Date Received:</b>
	<b>IP Number:</b>

### 1. APPLICANTS - UNIVERSITY OF OTAGO STAFF

Please include all staff who have contributed to this Proof of Concept application

	Name	Position	Department	ORCID Number
1				
2				
3				

### 2. APPLICANTS - UNIVERSITY OF OTAGO STUDENTS

Please include all students who have contributed to this Proof of Concept application

	Name	Degree	Department	Student IP agreement?
1				
2				
3				

### 3. EXTERNAL COLLABORATORS

Please list all external industry or academic collaborators that you are working with on this project or have worked with historically. Please list all agreements which relate to the collaboration such as Research Contracts, Subcontracts or Collaboration Agreements.

	Name of Collaborator	Institution/Organisation	Related Agreements (Title and date)
1			
2			

**4. WHAT IS THE PROBLEM YOU ARE TRYING TO SOLVE AND HOW YOU ARE TRYING TO SOLVE IT?**

*(250 words max)*

**5. HOW BIG IS THE MARKET FOR THE PROBLEM YOU ARE TRYING TO SOLVE?**

*For example, how many people are affected? What is the potential value of solving the problem? Are you aware of any potential buyers of the technology and an estimated sale price?*

*(250 words max)*

**6. DO YOU KNOW OF ANYONE ELSE WORKING ON THE SAME PROBLEM, OR OF ANY COMPANIES SELLING COMPETING PRODUCTS OR SERVICES?**

*(250 words max)*

**7. HOW IS YOUR SOLUTION DIFFERENT OR BETTER THAN EXISTING PRODUCTS OR SERVICES?**

*(250 words max)*

**8. RESEARCH UNDERTAKEN TO DATE**

*Please describe all research on this technology undertaken to date.*

*(250 words max)*

**9. PROOF OF CONCEPT REQUIRED**

*Provide a brief description of the work required to further your research and how, if successful, you would use the Otago Innovation Proof of Concept Grant.*

*Please include project timelines and key milestones together with funding requirements for each stage.*

**NOTE:** *If you are from the Health Sciences Division, please also comment how you would scale your project to fit with the \$50,000 Translational Research Grant if you were successful in securing that prize.*

*(500 words max)*

**10. PROJECT FUNDING HISTORY**

Please list all sources of funding you have received for your project. Do any of these funders have rights to this technology?

Project Title and Date	Funder	Value	Notes

**11. PUBLICATIONS OR DISCLOSURES**

Please include all internal and external presentations, posters, seminars, articles, theses, dissertations and reports about this work.

**12. TIKANGA AND MĀTAURANGA MĀORI**

Does your technology/idea include or rely on Māori Traditional Knowledge; use Māori Words, Art or Taonga species\*?

\*Taonga species are species of flora and fauna that are significant to the culture or identity of Māori iwi or hapū.

**13. DISCLOSURE OF ORIGIN OF TIKANGA AND MĀTAURANGA MĀORI**

Please disclose the source (e.g. Māori iwi or hapū; public or private property) and country of origin of any genetic or biological resource; and traditional knowledge that contributed in any material way to the invention

**14. MATERIALS, DATA AND OTHER RESOURCES (THIRD PARTY INTELLECTUAL PROPERTY)**

Does your technology/idea require any access to anything that belongs to, has been supplied by or are sold by someone else, i.e. MTA or supply agreement? Please list other party details and date of any relevant agreements.

**15. EXISTING OBLIGATIONS**

How did you source any third party IP listed in 12 above and what are the terms of access? Is there any paperwork in place i.e. Confidentiality Agreements, Material Transfer Agreements or Data Access Agreements? Please list other party details and date of any relevant agreements.

**16. ETHICS AND REGULATORY PERMITS**

Please list all ethics approvals in place and note if any required approvals are outstanding.

**17. CONFLICTS OF INTEREST**

*Please list any conflicts of interest you are aware of.*

**18. DEADLINES**

*Please let us know of any deadlines you are working to such as thesis submission, conference attendance, presentations, or upcoming publications.*

**Judging days are TO BE CONFIRMED, UPON CONFIRMATION please keep these days available should you be short-listed.**

If you need any assistance in completing the form please contact Vicki Hume  
([vicki.hume@otagoinnovation.com](mailto:vicki.hume@otagoinnovation.com))

**Please e-mail completed applications to:**  
[vicki.hume@otagoinnovation.com](mailto:vicki.hume@otagoinnovation.com)

## Otago Innovation Ltd Proof of Concept 2021

### Terms and Conditions

In addition to being the application form for the Proof of Concept Competition, this document will be treated as an IP Disclosure Form in accordance with the University of Otago Intellectual Property Rights Policy

(<https://www.otago.ac.nz/administration/policies/otago003229.html>).

To be eligible for the Proof of Concept, applications must be submitted on the application form which is available on our website or from your Research Advisor. In addition to the submission of the application form, the finalists will make an oral presentation to a judging panel.

#### **Am I eligible?**

To be eligible to enter the grant, at least one competitor must be employed at the University of Otago as an academic staff member. Alternatively a competitor may be enrolled at the University of Otago as a Ph.D. student and apply with the support of their academic supervisor.

An application will not be eligible to win the grant if the application relates to a technology that has already received investment from Otago Innovation.

#### **How many applications can I submit?**

There is no restriction on the number of applications a competitor may submit, provided that each application is materially different from any other application made by that applicant.

#### **If I win, how is the grant money made available to me?**

The cash element of the grant will be paid to the winning participant(s) as a series of payments made against mutually agreed milestones. The process that follows is:

- Working with Otago Innovation, the grant winner(s) prepare a development plan for their project which includes project timelines and key milestones that sets out how the money is intended to be spent;
- The development plan and budget is discussed and approved by Otago Innovation;
- A final report is submitted to Otago Innovation outlining the outcomes achieved with the grant.

#### **Is my grant application confidential?**

Otago Innovation treats all applications as confidential and will not share these with other competitors or third parties without prior agreement by the applicant. Judges will also be bound by confidentiality, as will invited potential investors.

The grant winner and Otago Innovation will agree upon the wording of a non-confidential summary of the application that may be used in a press release to announce the winner.