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**RECORD LINKAGE OF CENSUS AND MORTALITY  
2001-06 RECORDS: UNLOCK RATIOS 2004-06, AND  
LINKAGE WEIGHTS 2001-06.**

**New Zealand Census-Mortality Study Technical Report  
No.7.**

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## **Statistics New Zealand Security Statement**

The New Zealand Census-Mortality Study was initiated by Professor Tony Blakely and his co-researchers from the University of Otago, Wellington. It was approved by the Government Statistician as a Data Laboratory project under the Microdata Access Protocols. All research publications are based on researcher initiated ideas.

Access to the data used in this study was provided by Statistics New Zealand under conditions designed to give effect to the security and confidentiality provisions of the Statistics Act 1975. The results presented in this study are the work of the author, not Statistics New Zealand

(The full security statement is available at <http://www.uow.otago.ac.nz/nzcms-info.html> )

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## Introduction

This report outlines the process of linking mortality records from the years 2004 to 2006 to the 2001 Census data and updates the existing three-year follow-up period to five years.

The objectives of this report are to:

1. Describe the calculation of 'linkage weights' to adjust for linkage bias in the 2004-2006 and, retrospectively, the 2001-2004 cohorts in the New Zealand Census-Mortality Study, particularly focussing on any variation from the 2001-2004 method (Fawcett et al., 2008)
2. Describe any particularities for the updated 2001-06 linkage with respect to the calculation of mortality rates by ethnicity and income.
3. Describe the calculation of, and present, 'unlock ratios' to adjust for the undercounting of Maori and Pacific deaths in the NZ mortality records for the years 2004-2006 and 2001-2004.
4. Describe any particularities for the 2004-2006 linkage, including revised variable formats.

Data and analyses from this report contribute to the existing literature on linkage bias and the numerator-denominator bias in New Zealand census and mortality records. Previous technical documentation include Blakely, Salmond and Woodward (1999); Blakely and Atkinson (2001); Hill, Atkinson and Blakely (2002); Ajwani, Blakely, Robson, Atkinson, Fawcett and Kiro, C (2002); Fawcett, Blakely and Atkinson (2002); Ajwani, Blakely, Robson, Tobias and Bonne (2003); Fawcett, Atkinson, Herd and Blakely (2008). All reports are available at the website:

<http://www.uow.otago.ac.nz/academic/dph/research/HIRP/nzcms/nzcmspubs.html>

This report also aims to address the problems with the linkage weights for the 2001-2004 linkage; the secondary adjusted linkage weights ( $W_{AgEthAdj}$ ) calculated for these years are less, rather than more, accurate estimates of the total number of eligible mortality records than the primary ( $W_{Base}$ ) weights (see Table 9, Fawcett et al. 2008).



## Linkage Bias

Linkage bias occurs when the likelihood of a mortality record being linked to a census record is dependent on certain factors such as the age, ethnicity, and socio-economic status of the individual.

Previous studies have found incomplete linkage between census and mortality files. This incomplete linkage leads to the misclassification of some members of the census cohorts as not dead when they have died. When mortality and census records are stratified by sociodemographic variables, (e.g. age, sex ethnicity, NZ Deprivation) geographic distribution (rurality, RHA/DHB) and time since census, the proportion of mortality records that are linked vary by strata. This strata-dependent incomplete linkage can result in the bias of subsequent mortality rate-ratio calculations, as well as a general underestimation of rate differences.

To compensate for linkage bias, it is necessary to calculate weights that adjust for the misclassification of mortality outcome. Linkage weights were calculated using the bias dataset. The bias dataset contained the merged mortality records from 2001-2004 and 2004-2006, and included the variables:

- Sex
- Age at census  
Note that age was capped at 97 years for the 2001 dataset so it was consistent with the 2004 dataset.
- Ethnicity  
The ethnicity variable was derived according to the prioritised ethnicity grouping– Maori, Pacific, Asian, and NonMaoriNonPacificNonAsian (nonMPA). Prioritised ethnicity was used for the following analyses.
- Rurality
- NZ Deprivation Index
- Social fragmentation index
- Level of mobility in area (% of area unit residents that had moved since the 2001 census)
- Cause of death (International Cause of Death, ICD)
- A flag variable indicating whether the mortality record was linked to a census record.
- Regional/geographical variables

In this report, territorial local authorities (TAs) were used as a geographical variable, whereas in previous years either district health board (DHB) or regional health authority (RHA) were used.

In linkage for years 1981-84 to 1996-99, TAs were used for data examination but not for weighting. Fawcett et al. (2002) noted that none of the weights produced consistently good agreement between weighted linked deaths and actual deaths for RHA and TLA, and suggested new adjusted weightings were necessary using strata derived with geographic variables.

The main rationale for using TAs as direct adjustment factors for linkage bias was the decrease in classification bias and increase in

validity provided by the greater geographic “resolution” of the TA variable relative to DHB or RHA; this is confirmed by the analyses revealing TA as a discriminating predictor of linkage (described below).

A summary of the variables included in the 2001-2006 bias dataset can be seen in Table 37 in Appendix A.

**The overall percentage of deaths linked for the combined years 2001-2004 and 2004-2006 was 80.81%.** This proportion is comparable to the linkage rate for the 2001-2004 cohort and is greater than the linkage rate for the preceding years; linkage rates for the cohorts 1981-1984 to 1996-1999 ranged from 71-78%. Please see previous reports for technical notes on the linkage process itself, which will not be detailed here.

Table 1 provides the number and percentage linked for individuals in the 2001-2004, 2004-2006 and the merged 2001-2006 datasets. Excluding individuals with missing data, linkage rates were lowest for 15-34 year olds, Asian ethnicities, Suicide and Violent deaths, rural residents and higher NZ Deprivation deciles.

Linkage rate dropped in 2004-06 relative to 2001-04; this was particularly evident among individuals younger than 35 years, most likely due to increasing residential mobility.

**Table 1: Number of deaths and percentage of linked census- mortality records by sociodemographic variables for 2001-2004, 2004-2006 and merged 2001-2006 datasets.**

Variable	2001-2004		2004-2006		2001-2006				
	% linked		% linked		N linked		% linked		
	Females	Males	Females	Males	Females	Males	Females	Males	
Total	82.23	80.73	80.80	78.74	55803	53985	81.66	79.96	
Prioritised Ethnicity	Maori	77.19	73.36	74.20	69.38	4377	5010	75.96	71.74
	Pacific	72.27	72.63	72.99	68.99	1281	1509	72.54	71.27
	Asian	73.10	70.70	70.96	68.81	642	729	72.30	69.90
	NonMPA	83.14	82.11	81.81	80.48	49482	46707	82.61	81.48
	Missing	90.48	59.52	100.00	77.78	21	33	91.67	62.75
Age Group	0-14 yrs	77.19	73.13	66.67	63.84	321	480	72.95	69.02
	15-34 yrs	68.53	59.26	62.94	51.55	909	1605	66.30	56.30
	35-49 yrs	77.17	69.61	73.28	61.73	2478	3057	75.40	66.17
	50-64 yrs	81.74	79.23	81.29	76.50	6789	9366	81.55	78.07
	65-74 yrs	83.53	83.67	82.61	82.43	9801	13968	83.14	83.17
	75-84 yrs	82.84	84.00	81.19	83.78	18885	17736	82.15	83.92
	>=85 years	82.75	82.03	82.13	83.51	16620	7770	82.54	82.47
NZ	Missing Dep	29.03	35.85	50.00	60.00	12	24	31.43	39.68

Variable		2001-2004		2004-2006		2001-2006			
		% linked		% linked		N linked		% linked	
		Females	Males	Females	Males	Females	Males	Females	Males
Deprivation Index	Dep 1-2	82.91	81.93	80.13	79.74	8244	8055	81.82	81.06
	Dep 3-4	82.32	81.44	80.87	81.43	10317	10257	81.74	81.43
	Dep 5-6	83.03	82.02	82.66	79.33	12834	11631	82.88	80.94
	Dep 7-8	82.32	80.46	80.56	78.29	13185	12486	81.63	79.63
	Dep 9-10	80.85	78.68	79.44	75.73	11211	11532	80.28	77.53
Percent Mobility	Missing	4.55	12.90	87.50	45.45	9	9	26.67	21.43
	0-50%	83.20	81.80	81.92	80.25	18672	19884	82.69	81.19
	51-55%	83.15	81.36	81.44	79.77	13866	13272	82.47	80.75
	56-60%	81.82	80.50	80.40	78.27	12189	10902	81.25	79.63
	>60%	80.21	78.42	78.59	75.12	11067	9915	79.57	77.13
Rurality	Main Urban	82.38	80.83	80.72	79.20	40125	36444	81.72	80.19
	Secondary Urban Area	83.75	82.76	82.07	79.45	5169	4929	83.07	81.46
	Minor Urban Area	83.23	83.02	81.98	79.73	6453	6729	82.74	81.70
	Rural	78.15	76.87	78.09	74.38	4032	5856	78.13	75.91
	Missing	30.23	24.07	100.00	71.43	21	21	41.18	33.82
Cause of Death	Cancer	82.58	82.31	81.73	80.35	14982	16581	82.24	81.54
	CVD excl. IHD	82.74	82.23	80.16	79.85	11883	8022	81.73	81.31
	IHD	83.61	82.58	82.54	80.41	11973	13047	83.20	81.73
	Respiratory	82.84	83.14	83.44	83.87	3744	4164	83.09	83.41
	Congenital, Perinatal, SIDS	79.62	72.63	75.58	74.73	189	207	78.19	73.31
	Unintentional Injury	77.87	66.97	75.39	63.58	1452	2121	76.95	65.69
	Suicide	67.68	63.43	64.79	56.04	438	1152	66.52	60.38
	Violent	70.00	56.60	62.07	51.85	60	90	67.42	55.00
	Other incl. Not Dead/Linked	80.99	80.29	79.25	79.03	11085	8604	80.28	79.78
District Health Board	Auckland	81.16	79.44	80.95	75.41	4911	4110	81.08	77.93
	Waikato	81.85	82.22	80.80	77.44	4560	4665	81.43	80.28
	Southland	82.57	80.56	84.60	80.05	1713	1671	83.37	80.37
	Northland	79.48	77.40	79.63	74.28	2208	2466	79.54	76.22
	Waitemata	82.26	80.41	80.52	77.20	5154	4833	81.57	79.18
	Counties Manukau	78.21	76.89	77.89	76.43	3867	4014	78.09	76.72

Variable	2001-2004		2004-2006		2001-2006				
	% linked		% linked		N linked		% linked		
	Females	Males	Females	Males	Females	Males	Females	Males	
Lakes	81.47	78.38	81.03	77.52	1386	1437	81.29	78.06	
Bay of Plenty	81.50	80.75	79.84	78.88	2919	3216	80.81	80.00	
Tairāwhiti	79.61	77.49	81.38	78.01	750	741	80.32	77.67	
Taranaki	85.68	84.25	84.18	80.72	1905	1812	85.09	82.85	
Hawke's Bay	83.75	81.20	79.37	80.82	2634	2454	82.03	81.05	
Whanganui	84.07	81.87	84.78	79.70	1266	1191	84.34	80.99	
Midcentral	82.28	81.58	80.44	77.12	2691	2607	81.55	79.83	
Hutt	84.07	83.27	81.23	82.90	1971	1806	82.96	83.13	
Capital and Coast	80.36	79.61	79.02	81.57	3045	2877	79.85	80.39	
Wairarapa	84.48	81.71	84.27	84.31	705	720	84.39	82.78	
Nelson Marlborough	83.00	80.93	80.09	78.87	2118	2064	81.81	80.07	
West Coast	75.97	76.92	76.23	75.86	444	558	76.07	76.54	
Canterbury	84.94	82.23	81.68	81.23	7269	6684	83.61	81.83	
South Canterbury	85.64	85.80	82.75	81.52	1125	1110	84.47	84.10	
Otago	83.31	83.81	81.26	80.32	3159	2946	82.53	82.47	
Area outside DHB	0	7.14	0	0	0	6	0	7.14	
Territorial Authority	Far North	74.27	72.87	76.53	73.13	735	933	75.18	72.97
	Whangarei	81.58	80.86	80.78	72.79	1203	1194	81.27	77.82
	Kaipara	84.95	78.86	84.48	82.29	273	339	84.78	80.29
	Rodney	83.33	82.18	77.86	75.22	1089	1167	81.09	79.48
	North Shore	82.47	80.96	81.28	80.15	2358	1977	82.00	80.65
	Waitakere	81.29	78.61	81.25	75.24	1707	1692	81.28	77.32
	Auckland	81.16	79.44	80.95	75.41	4911	4110	81.08	77.93
	Manukau	78.46	75.95	77.83	76.50	2763	2874	78.22	76.16
	Papakura	78.44	79.68	75.17	76.62	567	516	77.17	78.39
	Franklin	76.56	79.30	80.92	75.95	537	624	78.36	77.97
	Thames Coromandel	84.70	81.60	80.15	76.30	519	543	82.80	79.50
	Hauraki	83.92	82.53	82.73	80.00	282	321	83.43	81.47
	Waikato	82.17	83.97	81.59	79.69	513	558	81.95	82.33
	Matamata-Piako	81.93	79.88	85.53	80.26	456	459	83.42	80.04
	Hamilton	81.88	82.79	80.96	75.03	1533	1419	81.50	79.55
Waipa	80.36	80.79	75.56	80.79	636	633	78.52	80.79	

Variable	2001-2004		2004-2006		2001-2006			
	% linked		% linked		N linked		% linked	
	Females	Males	Females	Males	Females	Males	Females	Males
Otorohanga	85.07	79.49	87.23	74.00	99	99	85.96	77.34
South Waikato	82.81	82.82	78.99	78.24	267	321	81.21	80.86
Waitomo	76.04	84.17	81.25	75.00	126	147	78.13	80.98
Taupo	82.17	76.20	84.65	76.19	438	462	83.18	76.20
Western Bay of Plenty	79.90	80.08	82.82	74.77	540	669	81.05	78.06
Tauranga	81.22	80.25	78.56	80.07	1674	1749	80.11	80.17
Rotorua	81.16	79.43	79.42	78.19	945	975	80.44	78.96
Whakatane	85.76	81.79	80.08	80.81	483	540	83.45	81.39
Kawerau	84.44	89.04	81.82	70.37	72	84	83.15	84.00
Opotiki	75.93	80.80	82.28	79.57	147	174	78.61	80.28
Gisborne	79.61	77.49	81.38	78.01	753	741	80.32	77.67
Wairoa	80.33	77.95	74.19	81.01	144	162	78.26	79.13
Hastings	84.18	80.44	77.85	79.78	1209	1101	81.63	80.17
Napier	83.80	82.23	80.80	82.45	1086	987	82.60	82.32
Central Hawkes Bay	83.44	82.69	86.30	80.23	189	198	84.38	81.82
New Plymouth	85.06	84.53	85.09	81.14	1311	1158	85.07	83.20
Stratford	86.54	80.73	86.11	78.31	150	153	86.36	79.69
South Taranaki	87.16	84.66	80.61	80.59	444	501	84.70	83.06
Ruapehu	74.14	81.88	81.25	70.99	165	216	77.36	76.79
Wanganui	84.62	82.81	84.49	81.18	981	885	84.57	82.14
Rangitikei	83.15	80.00	87.04	78.81	246	255	84.59	79.57
Manawatu	80.58	78.70	78.72	73.63	399	405	79.88	76.76
Palmerston North	82.15	80.61	81.39	77.15	1116	939	81.83	79.16
Tararua	84.07	83.97	83.11	77.04	312	303	83.69	81.45
Horowhenua	82.82	82.24	78.26	79.78	723	783	81.05	81.31
Kapiti Coast	73.43	74.86	74.38	77.62	855	900	73.79	75.97
Porirua	82.90	82.72	82.78	79.25	492	507	82.86	81.38
Upper Hutt	84.12	83.64	78.14	82.82	609	510	81.88	83.33
Lower Hutt	84.04	83.13	82.56	82.94	1362	1293	83.46	83.06
Wellington	83.36	82.13	80.77	83.89	1836	1653	82.37	82.82
Masterton	82.95	84.10	84.23	81.50	438	459	83.49	83.03
Carterton	87.36	84.51	87.93	96.61	126	117	87.59	90.00

Variable		2001-2004		2004-2006		2001-2006			
		% linked		% linked		N linked		% linked	
		Females	Males	Females	Males	Females	Males	Females	Males
	South Wairarapa	86.54	73.28	80.70	83.10	135	144	84.47	77.01
	Tasman	84.63	79.23	77.74	77.09	573	618	81.77	78.35
	Nelson	82.43	80.87	80.89	81.27	780	705	81.81	81.03
	Marlborough	82.36	82.51	81.09	78.12	765	741	81.84	80.63
	Kaikoura	82.86	72.09	83.87	84.85	54	57	83.33	77.63
	Buller	69.17	74.82	74.16	74.42	147	168	71.29	74.67
	Grey	81.21	81.95	79.52	74.31	198	249	80.65	79.30
	Westland	75.32	70.97	74.51	80.30	96	141	75.00	74.21
	Hurunui	78.57	82.41	81.48	80.82	99	147	79.84	81.77
	Waimakariri	82.24	84.99	82.79	77.56	465	552	82.48	81.66
	Christchurch	85.66	82.72	81.53	82.05	5883	5070	83.98	82.45
	Banks Peninsula	80.00	82.98	68.42	73.17	66	108	75.00	80.00
	Selwyn	75.00	70.20	81.82	76.87	177	252	77.97	73.04
	Ashburton	84.80	81.98	84.02	81.13	522	495	84.49	81.68
	Timaru	85.84	86.01	83.62	81.44	951	900	84.92	84.16
	Mackenzie	73.68	81.82	83.33	86.21	30	60	78.38	83.56
	Waimate	86.44	86.21	75.44	80.00	144	150	82.86	83.98
	Chatham Islands	100.00	87.50	66.67	0	6	9	83.33	70.00
	Waitaki	85.68	84.05	74.55	79.00	483	468	81.48	82.11
	Central Otago	84.95	86.00	84.03	81.56	258	288	84.59	84.16
	Queenstown-Lakes	78.43	76.06	82.26	66.25	132	162	79.88	72.52
	Dunedin	83.19	83.53	81.67	81.63	2127	1827	82.61	82.82
	Clutha	79.35	85.20	86.49	77.33	243	285	82.03	81.79
	Southland	79.90	80.14	71.79	76.97	246	351	76.95	78.96
	Gore	81.40	82.63	87.70	81.74	246	252	84.01	82.30
	Invercargill	83.72	80.82	87.24	81.95	1137	987	85.10	81.27
	TLA Not Applicable	0	7.14	0	0	0	6	0	6.90
Months Since Census	negative, 0-6 mths	80.30	78.96	0	0	6171	6204	80.30	78.96
	7-12 mths	82.83	81.45	0	0	5340	5319	82.83	81.45
	13-18 mths	83.41	81.20	0	0	5982	5937	83.41	81.20
	19-24 mths	82.14	80.79	0	0	5292	5040	82.14	80.79

Variable	2001-2004		2004-2006		2001-2006			
	% linked		% linked		N linked		% linked	
	Females	Males	Females	Males	Females	Males	Females	Males
25-30 mths	82.86	81.42	0	0	6090	5931	82.86	81.42
31-36 mths	81.97	80.80	80.88	77.15	5451	5169	81.88	80.53
37-42 mths	0	0	81.49	79.93	5895	5607	81.49	79.93
43-48 mths	0	0	80.00	78.37	5301	5076	80.00	78.37
49-54 mths	0	0	80.80	79.15	5508	5196	80.80	79.15
55+ mths <sup>1</sup>	0	0	80.83	77.40	4776	4518	80.83	77.40

All the numbers are random rounded to a multiple of three as per Statistics New Zealand protocol. Minimum cell size is 6.

<sup>1</sup> 55-60 mths and 61+ mths were combined; due to the method of month calculation, the 61+ month category consisted of the last day of census follow up and linkage rate was 100%.

Logistic regression analyses were conducted to determine which variables were independent predictors of linkage. These variables were later used to create strata for the weighting and to assist in determining the order of collapsing strata; weaker predictors of linkage were collapsed first.

The variables included in the regression model were age, sex, prioritised ethnicity, NZ deprivation quintiles, TA, rurality, mobility, months since census, ICD and an age x sex interaction. Missing values were excluded. Several models were investigated and Table 2 below shows the parameter estimates and standard errors for the final model.

**Table 2: Parameter estimates and standard errors from logistic regression predicting linkage, 2001-2006.**

		Estimate	Std Error
Sex	Females	0.013	0.033
	Males (reference)	1	0
Prioritised Ethnicity	Asian	-0.481	0.053
	Maori	-0.274	0.025
	Pacific	-0.424	0.040
	NonMPA	1	0
Age Group	0-14 yrs	-0.353	0.093
	15-34 yrs	-0.927	0.053
	35-49 yrs	-0.660	0.044
	50-64 yrs	-0.175	0.036
	65-74 yrs	0.091	0.035
	75-84 yrs	0.119	0.033
	85+ (reference)	1	0
Percent Mobility	0-50% Mobility (reference)	1	0

		<b>Estimate</b>	<b>Std Error</b>
	51-55% Mobility	-0.025	0.022
	56-60% Mobility	-0.086	0.025
	>60% Mobility	-0.220	0.026
Rurality	Rural	-0.205	0.027
	Urban (reference)	1	0
NZ Deprivation Index	Dep 1-2 (reference)	1	0
	Dep 3-4	0.031	0.025
	Dep 5-6	0.050	0.025
	Dep 7-8	-0.007	0.025
	Dep 9-10	0.003	0.026
Months Since Census	0-18 mths (reference)	1	0
	19-36 mths	0.031	0.018
	37-high mths	-0.092	0.017
Cause of Death (detailed)	Stomach Cancer	0.080	0.071
	Colorectal Cancer	-0.051	0.038
	Pancreas Cancer	0.045	0.069
	Lung/Bronchus Cancer	0.009	0.035
	Melanoma	0.035	0.076
	Breast Cancer	0.050	0.052
	Prostate Cancer	-0.014	0.053
	Brain/Nervous System Cancer	-0.088	0.077
	Other Cancer	0.007	0.027
	IHD (reference)	1	0
	Other Heart Disease	-0.013	0.033
	Cerebrovascular Disease	-0.106	0.027
	Other Cardiovascular Disease	0.058	0.050
	Unintentional Injury other than RTC	-0.261	0.046
	RTC	-0.306	0.055
	Suicide	-0.447	0.049
	Violent	-0.458	0.135
	Communicable Diseases	-0.104	0.076
	Diabetes	-0.031	0.043
	Pnuemonia/Influenza	-0.113	0.059
	COPD	0.017	0.034
	Asthma	0.087	0.142
	Other Respiratory	0.149	0.088
	Congenital	0.042	0.112
	Perinatal	-1.552	0.577



	<b>Estimate</b>	<b>Std Error</b>
SIDS	-0.438	0.517
Other Causes	-0.141	0.025
Not Dead/Linked	-1.117	0.678
<b>Territorial Authority</b>		
Far North	-0.226	0.059
Whangarei	-0.017	0.053
Kaipara	0.150	0.103
Rodney	-0.096	0.055
North Shore	0.002	0.043
Waitakere	-0.002	0.045
Auckland (reference)	1	0
Manukau	-0.054	0.038
Papakura	-0.079	0.070
Franklin	-0.110	0.070
Thames Coromandel	-0.062	0.078
Hauraki	0.044	0.104
Waikato	0.128	0.080
Matamata-Piako	0.006	0.084
Hamilton	0.082	0.049
Waipa	-0.140	0.068
Otorohanga	0.148	0.171
South Waikato	0.074	0.101
Waitomo	-0.020	0.140
Taupo	0.060	0.079
Western Bay of Plenty	-0.091	0.071
Tauranga	-0.029	0.046
Rotorua	0.016	0.057
Whakatane	0.177	0.082
Kawerau	0.261	0.203
Opotiki	0.030	0.130
Gisborne	-0.046	0.065
Wairoa	0.014	0.131
Hastings	-0.018	0.055
Napier	0.041	0.060
Central Hawkes Bay	0.134	0.130
New Plymouth	0.139	0.058
Stratford	0.034	0.143
South Taranaki	0.193	0.088
Ruapehu	-0.122	0.114

	<b>Estimate</b>	<b>Std Error</b>
Wanganui	0.112	0.064
Rangitikei	0.059	0.111
Manawatu	-0.209	0.083
Palmerston North	0.008	0.056
Tararua	0.056	0.103
Horowhenua	-0.076	0.068
Kapiti Coast	-0.461	0.055
Porirua	0.181	0.081
Upper Hutt	0.029	0.077
Lower Hutt	0.123	0.055
Wellington	0.132	0.048
Masterton	0.074	0.087
Carterton	0.492	0.195
South Wairarapa	-0.092	0.141
Tasman	-0.135	0.072
Nelson	-0.013	0.066
Marlborough	-0.067	0.066
Kaikoura	-0.149	0.215
Buller	-0.490	0.115
Grey	-0.146	0.111
Westland	-0.370	0.135
Hurunui	0.081	0.153
Waimakariri	0.004	0.079
Christchurch	0.112	0.034
Banks Peninsula	-0.222	0.166
Selwyn	-0.134	0.113
Ashburton	0.045	0.083
Timaru	0.123	0.067
Mackenzie	0.094	0.253
Waimate	0.044	0.147
Chatham Islands	7.656	80.784
Waitaki	-0.071	0.083
Central Otago	0.170	0.114
Queenstown-Lakes	-0.250	0.123
Dunedin	0.025	0.047
Clutha	0.027	0.109
Southland	-0.147	0.096
Gore	0.043	0.115

		<b>Estimate</b>	<b>Std Error</b>
	Invercargill	0.047	0.061
	TLA Not Applicable	7.660	80.784
Age X Sex Interaction	Female 0-14 yrs	0.128	0.141
	Female 15-34 yrs	0.340	0.077
	Female 35-49 yrs	0.386	0.062
	Female 50-64 yrs	0.195	0.049
	Female 65-74 yrs	-0.013	0.046
	Female 75-84 yrs	-0.140	0.042

## WEIGHTING OF MORTALITY RECORDS

The incomplete linkage process results in only a proportion of mortality records in any given sociodemographic strata being linked to a respective census record. By weighting the number of linked mortality records in each stratum, it is possible to estimate the number of deaths in that stratum if all records were successfully linked.

Linkage weight calculation involved two separate processes for the linked and unlinked cohort records:

- 1) Weighting linked census-mortality records (n =109,789) by the inverse probability of linkage to estimate the total number of mortality records (N=135,853)
- 2) Weighting unlinked census records (n = 3,520,743) to adjust for nonlinkage of some mortality records.

The weights were transferred to the Cohort dataset (which includes all eligible census records) using the individual ID numbers in the Bias and Cohort datasets. Statistics New Zealand staff carried out the transfer of weights.

Weights were calculated separately for strata based on the sociodemographic variables that were predictors of linkage in the regression analyses:

- Age (age at census; 0-14, 15-34, 35-49, 50-64, 65-74, 75-84, 85+ yrs)
- Sex (male, female)
- Prioritised ethnicity (Maori, Pacific, Asian, nonMPA including missing)
- Rurality (missing, rural, urban)
- Mobility (missing, 0-50, 51-55, 56-60, 60+ percentiles- i.e. % of area unit residents that had moved since the 2001 census)
- TA (4 groups, see below)
- Months since census (0-18, 18-36, 36+ months)
- NZ Deprivation Index 2001 (missing NZDep, quintiles)
- Cause of death (ICD: Cancer, CVD excl. IHD, IHD, Respiratory, Congenital /Perinatal/SIDS, Suicide, Unintentional Injury, Violent, Other/Not dead).

Cluster analysis was used to group TAs systematically, based on the beta values obtained from the regression analysis. Six and four clusters were created, and four clusters were used for the final strata. The clustered TAs, associated betas and standard errors are shown in Table 3.

**Table 3: Parameter estimates, standard errors for TA clusters**

Territorial Authority	Cluster Number		Parameter Estimate	Standard Error
	6 clusters	4 clusters		
Auckland (Reference)	4	2	0	-
Buller	1	1	-0.471	0.115
Kapiti Coast	1	1	-0.455	0.055
Westland	1	1	-0.368	0.135
Queenstown-Lakes	2	1	-0.254	0.123

Banks Peninsula	2	1	-0.214	0.166
Far North	2	1	-0.208	0.058
Manawatu	2	1	-0.204	0.083
Grey	2	1	-0.146	0.111
Southland	2	1	-0.142	0.095
Waipa	2	1	-0.139	0.068
Selwyn	2	1	-0.138	0.113
Tasman	2	1	-0.131	0.072
Kaikoura	2	1	-0.125	0.215
Ruapehu	3	1	-0.109	0.114
Franklin	3	1	-0.097	0.070
Rodney	3	1	-0.086	0.055
South Wairarapa	3	1	-0.085	0.140
Western Bay of Plenty	3	2	-0.076	0.071
Papakura	3	2	-0.075	0.070
Waitaki	3	2	-0.062	0.083
Horowhenua	3	2	-0.059	0.068
Marlborough	3	2	-0.058	0.066
Thames Coromandel	3	2	-0.051	0.078
Manukau	3	2	-0.042	0.038
Gisborne	3	2	-0.030	0.065
Tauranga	4	2	-0.015	0.046
Hastings	4	2	-0.015	0.055
Nelson	4	2	-0.009	0.066
Whangarei	4	2	-0.006	0.053
Waitomo	4	2	-0.006	0.140
North Shore	4	2	-0.003	0.043
Waimakariri	4	2	0.004	0.079
Palmerston North	4	2	0.006	0.056
Matamata-Piako	4	2	0.009	0.084
Waitakere	4	2	0.009	0.045
Wairoa	4	2	0.011	0.131
Rotorua	4	3	0.021	0.057
Upper Hutt	4	3	0.028	0.077
Stratford	4	3	0.028	0.143
Dunedin	4	3	0.028	0.047
Clutha	4	3	0.039	0.109
Opotiki	4	3	0.041	0.130
Napier	4	3	0.044	0.059
Gore	4	3	0.046	0.115
Ashburton	4	3	0.051	0.083
Waimate	4	3	0.055	0.147
Invercargill	4	3	0.058	0.061
Tararua	4	3	0.061	0.103
Hauraki	4	3	0.061	0.104
Rangitikei	4	3	0.066	0.111
Taupo	4	3	0.066	0.079
Mackenzie	4	3	0.067	0.253
Masterton	4	3	0.074	0.087
South Waikato	4	3	0.078	0.100
Hamilton	5	3	0.093	0.049
Hurunui	5	4	0.115	0.153
Christchurch	5	4	0.117	0.034
Timaru	5	4	0.125	0.067

Wanganui	5	4	0.127	0.064
Wellington	5	4	0.131	0.048
Central Hawkes Bay	5	4	0.134	0.129
Waikato	5	4	0.137	0.080
Lower Hutt	5	4	0.137	0.055
New Plymouth	5	4	0.146	0.058
Kaipara	5	4	0.157	0.103
Otorohanga	5	4	0.163	0.171
Central Otago	5	4	0.178	0.114
Porirua	5	4	0.179	0.081
Whakatane	5	4	0.188	0.081
South Taranaki	5	4	0.202	0.088
Kawerau	5	4	0.269	0.202
Carterton	5	4	0.497	0.194
TLA Not Applicable	6	4	7.710	80.711
Chatham Islands	6	4	7.716	80.711

Some linkage variables had to be re-derived due to inconsistencies between the 2001-2004 and 2004-2006 datasets, e.g. age, months since census (based on age at census night and age at death). Additionally, different variables were used in the strata for the linkage weighting than those used in 2001-2004, partially for practicality but also due to differences in the results of the logistic regression used to determine strata variables. NZ deprivation was included in the strata as it was found to be significantly related to linkage, though NZ Deprivation was not an important predictor of linkage for the 2001-2004 cohort. Additionally, the number of months since census for death date was also included in strata as it was a strong predictor of linkage; these were also grouped into 18 month blocks to investigate potential differences between the 2001-2004 and 2004-2006 cohorts. Territorial Authority (TA), rather than Regional Health Authority (RHA) was used as a geographic variable in the strata to improve sensitivity to differences in linkage as a function of geographic location. The cut-off values for the mobility groups were also altered slightly from the groupings used for the 2001-2004 cohort to ensure an even distribution of individuals across levels.

Complete cross-classification of the bias dataset by the strata variables resulted in 7[Age] x 2[Sex] x 4[Ethnicity] x 5[Mobility] x 3[Rurality] x 4[TA] x 3[Months since Census] x 6[NZ Dep] x 9[ICD] = 544,320 strata. The number of strata eligible for collapsing was 28,975 strata at the maximum detail level. Many strata had no observations or few observations; therefore some strata (n= 24,024) were collapsed to ensure sufficient cell sizes and linkage rates, to avoid weights of zero (due to no deaths in strata) or undefined weights (due to no linked census-mortality records).

For the linkage (and unlock) weighting, the method of collapsing strata to obtain sufficient cell sizes for weight derivation differed considerably from previous years. Whereas collapsing had previously been done manually and was based on the number and proportion linked within each stratum, the methodology used here was automated and based on pre-defined acceptance criteria. Instead of collapsing individual cells within strata if linkage rate or cell numbers were too low, the criteria used for acceptance for linkage weight

calculation was **> 5 records linked**. That is, any strata, including those created by aggregation, with more than 5 links was removed and assigned its own independent weight. The remaining strata that did not meet criteria were separated and then collapsed, variable-by variable, in an order based on the results of logistic regression analyses.

The number-based acceptance criteria (rather than rate-based criteria for acceptance used in the previous 2001-04 linkage weights) and the separate calculation of weights for the collapsed strata eliminated any underestimation of linkage rate in the weighted estimates. This provided a faster and more systematic, statistical approach to collapsing that was also easily applied to the unlock weighting.

The order for collapsing/aggregating strata was based on the strength of the relationship between the variables and linkage. The different steps in the collapsing process were:

- 1) a) Combine sex for 0-14years,  
b) Combine Maori and Pacific ethnicities for  $\geq 75$ years,  
c) Group missing ethnicity into nonMPA  
d) Combine rural/urban for Pacific and Asian ethnicities (all urban),
- 2) Collapse ICD from 8 to 6 groups
- 3) Collapse NZdep from quintiles to two-level decile grouping 1-6, 7-10
- 4) Collapse months since census from 0-18, 18-35, 36+ to 0-35, 36+
- 5) Collapse mobility from 0-50, 51-55, 56-60, 61+ to 0-55, 56+
- 6) Drop ICD
- 7) Drop NZdep
- 8) Drop months since census
- 9) Drop TA
- 10) Drop rurality
- 11) Drop mobility
- 12) Collapse ethnicity from four groups to Maori and nonMaori
- 13) Drop ethnicity
- 14) Drop sex
- 15) Drop age

Table 4 shows the number of acceptable and unacceptable strata, and the proportion of total strata at each collapsing step. The initial step made manual adjustments to the levels within strata prior to the collapsing process (see Steps 1a-1d). This resulted in a decrease in the total number of strata at the maximum-detail level. Each panel in Table 4 shows shows the number of acceptable and unacceptable strata after that collapsing step and the proportion of the total number of strata. The unacceptable strata go on to be collapsed at the next step so that the total number of strata for Steps 2-15 equals the number of acceptable strata at the previous step.

The actual number of mortality records in the acceptable and unacceptable strata decrease markedly at each step of the collapsing process. After Step 1, there are 65% and 35% of the total mortality records ( $n= 135,853$ ) in acceptable and unacceptable strata, respectively. At Step 5, this drops to 10% in the acceptable and 3% in the unacceptable strata. By Step 14 there

are 0.03 and 0.01% of the total mortality records in the acceptable and unacceptable strata, respectively.

The SAS code for stratification and collapsing of strata can be seen in Appendix C.

**Table 4: Number of acceptable and unacceptable strata before and after collapsing of variables for linkage weight calculation 2001-2006.**

INITIAL VALUES	N	% Total Strata
Acceptable	4932	16.75%
Unacceptable	24505	83.25%
Total	29437	
Step 1	N	% Total
Acceptable	4946	17.07%
Unacceptable	24029	82.93%
Total	28975	

2) collapse ICD	N	% Total
Acceptable	817	3.40%
Unacceptable	23212	96.60%
Total	24029	
3) collapse NZDep	N	% Total
Acceptable	4206	18.12%
Unacceptable	19006	81.88%
Total	23212	
4) collapse MthsSinceCen	N	% Total
Acceptable	2663	14.01
Unacceptable	16343	85.99
Total	19006	
5) collapse Mobility	N	% Total
Acceptable	3884	23.77
Unacceptable	12459	76.23
Total	16343	
6) drop ICD	N	% Total
Acceptable	8796	70.60
Unacceptable	3663	29.40
Total	12459	
7) drop NZDep	N	% Total
Acceptable	1036	28.28
Unacceptable	2627	71.72
Total	3663	
8) drop MthsSinceCen	N	% Total
Acceptable	1062	40.43
Unacceptable	1565	59.57
Total	2627	



9) drop TA	N	% Total
Acceptable	1235	78.91
Unacceptable	330	21.09
Total	1565	
10) drop Rurality	N	% Total
Acceptable	71	21.52
Unacceptable	659	78.48
Total	330	
11) drop Mobility	N	% Total
Acceptable	109	42.08
Unacceptable	150	57.92
Total	259	
12) Collapse Ethnicity	N	% Total
Acceptable	54	36.00
Unacceptable	96	64.00
Total	150	
13) drop Ethnicity	N	% Total
Acceptable	43	44.79
Unacceptable	53	55.21
Total	96	
14) Drop Sex	N	% Total
Acceptable	38	71.70
Unacceptable	15	28.30
Total	53	
15) Drop Age	N	% Total
Acceptable	15	100
Unacceptable	0	
Total	15	

## ***Weighting of linked records within n = 28,975 ‘acceptable’ strata***

First, a base weight (**W\_base**) was calculated, based on the number of eligible deaths/mortality records and the number of linked deaths of the final strata (after collapsing), using the formula:

$$W_L^i = N_D^i / N_L^i$$

Where  $W_L^i = W\_base$ , base weight for strata  $i$ ,

$N_D^i$  = total number of mortality records in strata  $i$ ,

$N_L^i$  = number of mortality records linked to a census record in strata  $i$ .

We then determined the number of weighted linked records for each of the acceptable strata,  $N_W^i$  **by multiplying the number of linked records by the base weight**, i.e.:

$$N_W^i = W_L^i \times N_L^i$$

*For example if 121 of 200 mortality records for Pacific females aged 15-34 were linked to a census record then applying a weight of  $200/121 = 1.653$  to all linked mortality records for Pacific females aged 25-44 years will weight up the linked deaths to approximate the known actual number of deaths. That is  $1.643 \times 121 = 200$ .*

Using this methodology, weights were calculated for strata that often had much broader variable groupings than the cells to which they were eventually applied. This results in some discrepancies between the weighted estimates and actual number of linked census-mortality records within different strata. Consequently, to obtain weighted estimates that accurately represented the total number of mortality records when analysed for ethnicity and cause of death (ICD), we performed a secondary adjustment to the original weightings, consistent with previous methodology. The linked weighted death values were multiplied by the adjustment factors to obtain adjusted weighted number of deaths. This ensured that the weighted values accurately estimated the total number of deaths for prioritised ethnicity and cause of death.

An adjustment factor was calculated for the [Age x [Sex] x [Ethnicity] strata using the formula:

$$W\_AgeEthAdj = N_A^i / N_{LW}^i$$

Where  $N_A^i$  = the number of deaths in strata  $i$ ,

$N_{LW}^i$  = the number of weighted linked mortality records in strata  $i$ .

A different adjustment factor, **W\_AgeICDAdj**, was also calculated for the [Age] x [Sex] x [Cause of death] strata, using 9 ICD categories.

*For example, if the weighted number of linked mortality records for the stratum of the 5yr age group 25-29yr old Pacific females was 38, but the actual number of total deaths for that stratum was 42, then a second weighted estimate would be calculated by applying the weight  $42/38 = 1.105$  to all the linked mortality records so that they would approximate the total number of actual deaths.*

For some strata, there were some eligible, but no linked deaths at the end of the collapsing process, due to the different groupings of variables used for strata and weighting. These strata were Pacific 5-9yr old females, and 20-24yr old males with ICD = IHD, 75-79yr old males with ICD = Violent, 90-95yr old males with ICD = Congenital, Perinatal, SIDS. This meant that adjustment factors for these strata could not be applied to the base weights. To remedy this, these individuals were assigned a link in the bias file prior to the collapsing of strata and a  $W\_base = 1$  (due to the linkage rate of 100%). This “knowledge” was also applied in the cohort file by randomly selecting the same number of people in their respective age and sex strata, and assigning them as linked.

**Figure 1: Diagram of collapsing of strata and weight calculation**

a)

Mobility	0-50%	51-55%	56-60%	61+
Maori	6 out of 10 linked	7 out of 15 linked	4 out of 5 linked	2 out of 10 linked

In Figure 1.A, four different cells within the Maori and mobility strata are represented. Of these, the 0-50% and 51-55% mobility cells are acceptable (both have 5+ mortality records linked to census records). Base weights (**W\_base**) are then calculated for these by dividing the total number of eligible mortality records for that stratum ( $N_D$ ) by the number of linked records in that stratum ( $N_L$ ). For example, the weight for the cell with 0-50% mobility would be  $10/6$  or 1.667. The remaining strata are collapsed.

b)

Mobility	0-55%	56+%
Maori		6 out of 15 linked

In Figure 1.B, the unacceptable strata have been collapsed. Now the new collapsed stratum has an acceptable number of linked records and a weight can be calculated ( $W\_base = 15/6$  or 2.5). Note that weights are calculated for the collapsed strata separately to the acceptable, non-collapsed strata.

After all strata contain an acceptable number of linked records, the final weights for each stratum are then applied to each of the individual linked records within that stratum. Unlinked records are not weighted.

The total number of weighted deaths can then be calculated by multiplying the number of linked deaths by the weights for each of the strata and summing the products; e.g.  $6 \times 1.667 + 7 \times 2.14 + 6 \times 2.5 = 40$ . This is equal to the total number of eligible mortality records.

The success of weighting was assessed by comparing the weighted number of linked deaths and the known number of actual deaths, by the different demographic variables. The distribution of  $W\_AgeEthAdj$  adjusted linkage weights can be seen in Table 5. Note that some of these weights were less than  $<1.0$ ; this is a result of having to adjust the  $W\_Base$  weighted estimates

to account for the overestimation of the number of eligible mortality records in the finer 5-year age group strata.

**Table 5: Distribution of linkage weights**

Statistic	W_AgeEthAdj Weight
Mean	1.24
Standard deviation	0.22
Maximum	4.24
Minimum	0.74
Percentile 1	0.98
5	1.00
10	1.02
25	1.11
50	1.19
75	1.31
90	1.48
95	1.63
99	2.04

Weighted counts adjusted for age, sex, ethnicity by demographic variables are shown in Table 6. Generally, there is good agreement between the weighted estimates of deaths and the known number of actual deaths; as intended, the numbers agree exactly by the strata sex, age and ethnicity for the W\_AgeEthAdj deaths, and sex, age and ICD for the W\_AgeICDAdj deaths. Most other estimates were located within  $\pm 3\%$  of the actual number of deaths. Large disparities between the estimated and actual number of deaths were mostly limited to strata with small cell sizes (less than 100) or missing values. Note also that accurate estimates for the geographic variable TA were obtained, showing that it is possible to accurately weight based on these variables if they are included within the weighting strata (cf. Fawcett et al., 2008). Nevertheless, a few instances of discrepancy exist which should be noted for future cohort analyses:

- Weighted (W\_AgeEthAdj) deaths are 4% lower in Kapiti than actual
- Weighted deaths for Asthma are 5% higher than actual
- Weighted deaths for Violent causes of death are 7% lower than actual.

**Table 6: Actual, linked and weighted deaths for 2001-2006 bias dataset (all numbers random rounded to near multiple of 3).**

		Deaths		Weighted Deaths		Ratio
		Linked	Actual	W_base	W_AgeEthAdj	W_AgeEthAdj/ Actual Deaths**
Sex	Males	53985	67515	67497	67518	1.00
	Females	55806	68337	68355	68334	1.00
Prioritised Ethnicity	Maori	9384	12744	12795	12744	1.00
	Pacific	2793	3885	3837	3885	1.00
	Asian	1368	1929	1932	1929	1.00

		Deaths		Weighted Deaths		Ratio
		Linked	Actual	W_base	W_AgeEthAdj	W_AgeEthAdj/ Actual Deaths**
NonMPAandMissing		96243	117294	117285	117294	1.00
Age Group	0-14 yrs	804	1134	1137	1134	1.00
	15-34 yrs	2511	4218	4218	4218	1.00
	35-49 yrs	5535	7905	7905	7908	1.00
	50-64 yrs	16158	20325	20325	20325	1.00
	65-74 yrs	23769	28584	28584	28584	1.00
	75-84 yrs	36624	44127	44124	44127	1.00
	85+ years	24393	29559	29559	29559	1.00
Age Group (detailed)	0- 4 yrs	243	327	339	327	1.00
	5- 9 yrs	147	207	213	207	1.00
	10-14 yrs	411	600	585	600	1.00
	15-19 yrs	618	960	1056	960	1.00
	20-24 yrs	468	849	807	852	1.00
	25-29 yrs	552	1005	903	1005	1.00
	30-34 yrs	873	1401	1455	1401	1.00
	35-39 yrs	1155	1857	1689	1854	1.00
	40-44 yrs	1866	2658	2661	2658	1.00
	45-49 yrs	2514	3393	3555	3393	1.00
	50-54 yrs	3984	5241	5025	5241	1.00
	55-59 yrs	5052	6375	6372	6372	1.00
	60-64 yrs	7119	8709	8928	8709	1.00
	65-69 yrs	9636	11634	11634	11634	1.00
	70-74 yrs	14133	16947	16947	16950	1.00
	75-79 yrs	18396	21975	22149	21975	1.00
	80-84 yrs	18228	22149	21975	22152	1.00
	85-89 yrs	15102	18420	18300	18420	1.00
	90-94 yrs	7119	8628	8625	8631	1.00
95+ yrs	2172	2508	2634	2511	1.00	
NZ Deprivation Index	Missing	36	99	51	48	0.48
	Dep 1-2	16302	20013	20037	20037	1.00
	Dep 3-4	20574	25215	25191	25188	1.00
	Dep 5-6	24465	29853	29880	29877	1.00
	Dep 7-8	25671	31833	31857	31857	1.00
	Dep 9-10	22746	28836	28833	28842	1.00
Percent Mobility	Missing	15	72	33	33	0.46
	0-50% Mobility	38562	47073	47082	47055	1.00

		Deaths		Weighted Deaths		Ratio
		Linked	Actual	W_base	W_AgeEthAdj	W_AgeEthAdj/ Actual Deaths**
	51-55% Mobility	27138	33246	33255	33267	1.00
	56-60% Mobility	23094	28695	28788	28785	1.00
	>60% Mobility	20988	26766	26694	26712	1.00
Rurality	Missing	42	117	81	81	0.69
	Urban	99903	122919	122955	122964	1.00
	Rural	9846	12813	12813	12804	1.00
Cause of Death	Cancer	31563	38553	38577	38541	1.00
	CVD excl. IHD	19902	24405	24378	24378	1.00
	IHD	25020	30354	30390	30384	1.00
	Respiratory	7908	9498	9564	9555	1.01
	Congenital, Perinatal, SIDS	399	525	579	582	1.11
	Unintentional Injury	3573	5115	5040	5055	0.99
	Suicide	1593	2568	2454	2484	0.97
	Violent	150	249	225	231	0.93
	Other, Not Dead	19689	24588	24645	24642	1.00
Cause of Death (detailed)	IHD	25020	30351	30387	30384	1.00
	Suicide	1593	2568	2454	2484	0.97
	Violent	150	249	225	231	0.93
	Stomach Cancer	1176	1434	1458	1458	1.02
	Colorectal Cancer	4695	5742	5682	5673	0.99
	Pancreas Cancer	1308	1584	1599	1599	1.01
	Lung/Bronchus Cancer	5949	7260	7287	7269	1.00
	Melanoma	1032	1263	1269	1272	1.01
	Breast Cancer	2544	3093	3135	3129	1.01
	Prostate Cancer	2358	2838	2823	2820	0.99
	Brain/Nervous System Cancer	843	1083	1068	1071	0.99
	Other Cancer	11661	14262	14259	14250	1.00
	Other Heart Disease	6297	7731	7767	7764	1.00
	Cerebrovascular Disease	10935	13461	13323	13332	0.99
	Other Cardiovascular Disease	2673	3213	3288	3282	1.02
	Unintentional Injury oth	2208	3030	2976	2988	0.99
	RTC	1365	2088	2064	2067	0.99

		Deaths		Weighted Deaths		Ratio
		Linked	Actual	W_base	W_AgeEthAdj	W_AgeEthAdj/ Actual Deaths**
	Communicable Diseases	843	1083	1092	1092	1.01
	Diabetes	3240	4026	4101	4095	1.02
	Pnuemonia/Influenza	1674	2058	2058	2052	1.00
	COPD	6768	8130	8160	8151	1.00
	Asthma	270	336	351	354	1.05
	Other Respiratory	867	1032	1053	1050	1.02
	Congenital	381	495	558	558	1.13
	Perinatal	6	15	9	6	0.40
	SIDS	9	18	15	12	0.67
	Other Causes	13929	17412	17385	17394	1.00
	Not Dead/Linked	6	9	9	9	1.00
District Health Board	Northland	4674	6012	6039	6033	1.00
	Waitemata	9990	12423	12363	12378	1.00
	Auckland	9024	11334	11319	11331	1.00
	Counties Manukau	7878	10182	10179	10191	1.00
	Waikato	9225	11412	11439	11427	1.00
	Lakes	2823	3546	3567	3567	1.01
	Bay of Plenty	6138	7635	7617	7611	1.00
	Tairāwhiti	1491	1887	1899	1899	1.01
	Taranaki	3717	4425	4425	4428	1.00
	Hawke's Bay	5088	6240	6219	6219	1.00
	Whanganui	2454	2967	2970	2964	1.00
	Midcentral	5298	6567	6630	6624	1.01
	Hutt	3777	4548	4542	4542	1.00
	Capital and Coast	5922	7392	7326	7326	0.99
	Wairarapa	1425	1704	1719	1722	1.01
	Nelson Marlborough	4182	5169	5226	5226	1.01
	West Coast	1002	1314	1305	1302	0.99
	Canterbury	13950	16860	16860	16860	1.00
	South Canterbury	2238	2652	2655	2655	1.00
	Otago	6105	7401	7383	7386	1.00
Southland	3384	4134	4158	4152	1.00	
	Area outside DHB	6	48	6	6	0.13
Territorial Authority	Missing	6	48	6	6	0.13
	Auckland	9024	11334	11319	11331	1.00
	Waikato	1074	1305	1305	1308	1.00

	Deaths		Weighted Deaths		Ratio
	Linked	Actual	W_base	W_AgeEthAdj	W_AgeEthAdj/ Actual Deaths**
Southland	594	762	771	771	1.01
Far North	1668	2256	2283	2277	1.01
Whangarei	2394	3012	3018	3018	1.00
Kaipara	609	741	741	738	1.00
Rodney	2253	2808	2775	2775	0.99
North Shore	4338	5328	5313	5316	1.00
Waitakere	3396	4287	4278	4290	1.00
Manukau	5637	7305	7311	7329	1.00
Papakura	1083	1392	1389	1386	1.00
Franklin	1161	1485	1482	1479	1.00
Thames Coromandel	1062	1311	1302	1299	0.99
Hauraki	603	732	741	741	1.01
Matamata-Piako	915	1119	1119	1122	1.00
Hamilton	2952	3666	3663	3660	1.00
Waipa	1266	1590	1620	1620	1.02
Otorohanga	195	240	243	243	1.01
South Waikato	588	726	726	723	1.00
Waitomo	276	345	345	342	0.99
Taupo	900	1134	1152	1152	1.02
Western Bay of Plenty	1209	1524	1512	1509	0.99
Tauranga	3426	4275	4257	4254	1.00
Rotorua	1920	2412	2418	2415	1.00
Whakatane	1020	1239	1254	1254	1.01
Kawerau	156	189	189	189	1.00
Opotiki	321	405	408	405	1.00
Gisborne	1494	1887	1899	1899	1.01
Wairoa	309	390	390	390	1.00
Hastings	2310	2853	2829	2829	0.99
Napier	2073	2514	2520	2523	1.00
Central Hawkes Bay	387	465	465	465	1.00
New Plymouth	2469	2934	2931	2931	1.00
Stratford	306	369	372	369	1.00
South Taranaki	942	1125	1125	1125	1.00
Ruapehu	378	492	492	489	0.99
Wanganui	1863	2235	2226	2223	0.99
Rangitikei	504	615	627	627	1.02



	Deaths		Weighted Deaths		Ratio
	Linked	Actual	W_base	W_AgeEthAdj	W_AgeEthAdj/ Actual Deaths**
Manawatu	798	1020	1041	1041	1.02
Palmerston North	2055	2550	2553	2550	1.00
Tararua	615	744	756	753	1.01
Horowhenua	1506	1857	1872	1869	1.01
Kapiti Coast	1755	2340	2247	2244	0.96
Porirua	1002	1218	1236	1239	1.02
Upper Hutt	1119	1359	1356	1356	1.00
Lower Hutt	2658	3192	3186	3189	1.00
Wellington	3492	4224	4251	4254	1.01
Masterton	900	1080	1086	1086	1.01
Carterton	246	276	282	282	1.02
South Wairarapa	279	348	348	351	1.01
Tasman	1191	1491	1518	1518	1.02
Nelson	1482	1821	1815	1815	1.00
Marlborough	1506	1857	1890	1893	1.02
Kaikoura	114	141	141	141	1.00
Buller	318	435	411	414	0.95
Grey	447	564	579	579	1.03
Westland	237	318	318	315	0.99
Hurunui	249	306	300	297	0.97
Waimakariri	1017	1239	1236	1236	1.00
Christchurch	10953	13152	13134	13128	1.00
Banks Peninsula	174	225	228	228	1.01
Selwyn	429	570	603	603	1.06
Ashburton	1017	1224	1224	1224	1.00
Timaru	1851	2190	2184	2184	1.00
Mackenzie	90	108	114	114	1.06
Waimate	297	354	357	357	1.01
Chatham Islands	12	18	15	15	0.83
Waitaki	954	1164	1164	1161	1.00
Central Otago	546	648	642	639	0.99
Queenstown-Lakes	291	387	381	381	0.98
Dunedin	3954	4782	4785	4788	1.00
Clutha	525	639	633	633	0.99
Gore	498	597	597	594	0.99
Invercargill	2127	2553	2571	2568	1.01

\*\*ratios very unstable due to random rounding when either/both W\_AgeEthAdj and Actual Deaths less than 20.

## ***Weighting of nonlinked census records (n =3,520,743)***

Linked census-mortality records only account for approx 1% of the total number of census records. Additionally there will be some unlinked census records for people who actually died, but where the mortality outcome is misclassified as still alive. In order for of the weighted sum of ALL census records in each cohort to equal the total number of census records, each unlinked census record must be weighted with a value slightly less than 1.0 to account for the fact that some individuals did die during follow up although they were not classified as such. This can be conceptualised as:

$$\mathbf{N}_C^i = \mathbf{N}_{LW}^i + \mathbf{N}_{UW}^i$$

Where  $\mathbf{N}_C^i$  = total number of cohort members in strata  $i$ .

$\mathbf{N}_{LW}^i = \mathbf{N}_L^i \times \mathbf{W}_L^i$  or the weighted num. linked cohort members.

$\mathbf{N}_{UW}^i = \mathbf{N}_U^i \times \mathbf{W}_U^i$  or the weighted num. unlinked cohort members.

Therefore, the weight for unlinked records,  $\mathbf{W}_U^i$ , can be calculated thusly:

$$\mathbf{W}_U^i = (\mathbf{N}_C^i - \mathbf{N}_{LW}^i) / \mathbf{N}_U^i$$

That is, by dividing the difference between the number of cohort members and weighted number of linked cohort members for each strata by the number of unlinked cohort members.

Data was stratified by 5-year age groups, sex, prioritised ethnicity, NZDep and rurality. As with linked records, two weights were calculated for these strata-  $\mathbf{W}_{Base}$  and  $\mathbf{W}_{AgeEthAdj}$ , which were applied to all unlinked records.

The weighted and actual counts for the linked and unlinked records for 2001-2004 and 2001-2006 are shown in Table 7.

Proportion error was calculated as:

weighted unlinked census records

(unlinked census records- (weighted linked deaths – unweighted linked deaths))

Weighted cohort estimates for the 2001-2006 cohort was more accurate than for 2001-2004. For the 2001-2004 data, error rate appeared to differ by age group; weighted estimates were least accurate for age groups less than 20 years old (proportion error = 0.96) and over 75 years old (proportion error > 1.03).

**Table 7: Actual deaths, weighted counts and differences for census records, 2001-2004 and 2001-2006 cohorts.**

			01-04					01-06				
			Linked Deaths		Unlinked Census Records			Linked Deaths		Unlinked Census Records		
Sex	Ethnicity	Age	Eligible	W_Age EthAdj	Eligible	W_Age EthAdj	Proportion Error	Eligible	W_Age EthAdj	Eligible	W_Age EthAdj	Proportion Error
Males	NZ Maori	0- 4 yrs	39	60	33660	32460	0.96	54	75	33648	33624	1.00
		5- 9 yrs	24	36	33288	32103	0.96	36	54	33276	33258	1.00
		10-14 yrs	33	48	30996	29892	0.96	78	132	30954	30912	1.00
		15-19 yrs	66	117	24003	23148	0.97	105	195	23964	23865	1.00
		20-24 yrs	57	102	19647	19386	0.99	90	165	19614	19533	1.00
		25-29 yrs	60	111	18126	17886	0.99	96	195	18093	18018	1.00
		30-34 yrs	78	129	17754	17517	0.99	123	219	17709	17610	1.00
		35-39 yrs	96	144	17517	17286	0.99	165	309	17448	17349	1.00
		40-44 yrs	147	207	15021	14823	0.99	255	399	14910	14772	1.00
		45-49 yrs	201	285	11676	11520	0.99	345	495	11532	11340	1.00
		50-54 yrs	279	360	9006	8820	0.99	468	645	8817	8679	1.00
		55-59 yrs	297	378	6252	6120	0.99	513	678	6039	5889	1.00
		60-64 yrs	411	519	5061	4950	1.00	693	864	4779	4566	0.99
		65-69 yrs	417	525	3318	3240	1.01	663	831	3075	2901	1.00
		70-74 yrs	336	423	1887	1863	1.04	558	711	1668	1530	1.01
		75-79 yrs	228	282	855	825	1.03	369	468	714	621	1.01
		80-84 yrs	126	159	312	303	1.09	195	237	246	198	0.97

			01-04					01-06				
			Linked Deaths		Unlinked Census Records			Linked Deaths		Unlinked Census Records		
Sex	Ethnicity	Age	Eligible	W_Age EthAdj	Eligible	W_Age EthAdj	Proportion Error	Eligible	W_Age EthAdj	Eligible	W_Age EthAdj	Proportion Error
		85-89 yrs	66	84	102	99	1.18	84	111	84	63	1.11
		90-94 yrs	15	18	27	27	1.13	21	24	18	12	0.80
		95+ yrs	24	30	4518	4359	0.97	33	42	4512	4455	0.99
	Pacific	0- 4 yrs	18	18	12024	11595	0.96	18	18	12027	12024	1.00
		5- 9 yrs	6	6	11988	11559	0.96	9	12	11982	11979	1.00
		10-14 yrs	12	15	10851	10464	0.96	18	27	10845	10839	1.00
		15-19 yrs	24	42	8805	8490	0.97	39	54	8790	8760	1.00
		20-24 yrs	21	36	8094	7983	0.99	30	60	8085	8058	1.00
		25-29 yrs	15	24	7731	7626	0.99	24	51	7722	7704	1.00
		30-34 yrs	24	39	7620	7515	0.99	39	75	7605	7572	1.00
		35-39 yrs	30	42	6990	6894	0.99	48	87	6972	6945	1.00
		40-44 yrs	48	66	5601	5526	0.99	81	114	5571	5529	1.00
		45-49 yrs	51	69	4704	4638	0.99	81	111	4674	4638	1.00
		50-54 yrs	90	123	3636	3588	1.00	138	192	3588	3540	1.00
		55-59 yrs	78	105	2532	2499	1.00	138	189	2475	2424	1.00
		60-64 yrs	105	144	1932	1905	1.01	168	219	1866	1812	1.00
		65-69 yrs	117	153	1224	1209	1.02	198	264	1146	1077	1.00
		70-74 yrs	111	147	828	819	1.03	162	219	777	723	1.00
		75-79 yrs	99	135	390	375	1.06	153	204	339	297	1.03

			01-04					01-06				
			Linked Deaths		Unlinked Census Records			Linked Deaths		Unlinked Census Records		
Sex	Ethnicity	Age	Eligible	W_Age EthAdj	Eligible	W_Age EthAdj	Proportion Error	Eligible	W_Age EthAdj	Eligible	W_Age EthAdj	Proportion Error
		80-84 yrs	54	75	150	144	1.12	75	105	129	108	1.09
		85-89 yrs	24	33	36	33	1.22	30	39	30	21	1.00
		90-94 yrs	12	12	9	9	1.00	12	12	9	9	1.00
		95+ yrs	15	18	1971	1899	0.96	21	30	1962	1941	0.99
	Asian	0- 4 yrs	6	6	8061	7773	0.96	6	6	8061	8058	1.00
		5- 9 yrs	6	6	8433	8130	0.96	6	9	8430	8427	1.00
		10-14 yrs	6	6	9168	8841	0.96	6	9	9168	9165	1.00
		15-19 yrs	18	30	13065	12600	0.97	18	33	13062	13047	1.00
		20-24 yrs	15	24	11064	10914	0.99	18	36	11058	11043	1.00
		25-29 yrs	6	9	7470	7368	0.99	9	21	7464	7461	1.00
		30-34 yrs	12	21	7917	7812	0.99	15	30	7914	7899	1.00
		35-39 yrs	12	18	9807	9675	0.99	15	30	9804	9795	1.00
		40-44 yrs	21	33	8397	8286	0.99	36	54	8385	8364	1.00
		45-49 yrs	21	30	6795	6702	0.99	48	66	6771	6750	1.00
		50-54 yrs	30	42	5196	5127	0.99	60	75	5169	5151	1.00
		55-59 yrs	33	45	3180	3135	0.99	63	78	3150	3132	1.00
		60-64 yrs	45	60	2880	2841	0.99	78	111	2847	2820	1.00
		65-69 yrs	48	69	2046	2019	1.00	90	135	2004	1968	1.00
		70-74 yrs	57	75	1134	1119	1.00	93	129	1095	1053	0.99

			01-04					01-06				
			Linked Deaths		Unlinked Census Records			Linked Deaths		Unlinked Census Records		
Sex	Ethnicity	Age	Eligible	W_Age EthAdj	Eligible	W_Age EthAdj	Proportion Error	Eligible	W_Age EthAdj	Eligible	W_Age EthAdj	Proportion Error
		75-79 yrs	63	81	546	528	1.00	99	135	507	480	1.02
		80-84 yrs	39	54	252	243	1.03	69	87	225	201	0.97
		85-89 yrs	15	21	87	84	1.04	27	39	75	66	1.05
		90-94 yrs	9	6	27	27	0.90	9	15	24	21	1.17
		95+ yrs	9	9	1332	1284	0.96	9	15	1332	1323	1.00
	nonMPA	0- 4 yrs	45	60	79350	76524	0.96	60	84	79338	79314	1.00
		5- 9 yrs	24	33	88572	85416	0.96	39	54	88560	88545	1.00
		10-14 yrs	75	93	92583	89286	0.96	162	216	92496	92436	1.00
		15-19 yrs	174	285	83748	80763	0.97	276	399	83646	83451	1.00
		20-24 yrs	129	213	74304	73323	0.99	204	375	74229	74079	1.00
		25-29 yrs	144	237	78747	77706	0.99	213	390	78681	78540	1.00
		30-34 yrs	198	315	92412	91191	0.99	306	549	92304	92079	1.00
		35-39 yrs	267	384	101673	100329	0.99	411	714	101529	101325	1.00
		40-44 yrs	369	528	102945	101583	0.99	639	969	102675	102363	1.00
		45-49 yrs	543	744	94125	92880	0.99	936	1272	93732	93300	1.00
		50-54 yrs	942	1176	92757	90966	0.98	1608	2160	92094	91662	1.00
		55-59 yrs	1287	1599	72738	71316	0.98	2199	2781	71826	71235	1.00
		60-64 yrs	1863	2298	60336	59142	0.99	3219	3975	58977	58128	1.00
		65-69 yrs	2814	3324	49758	48765	0.99	4767	5703	47805	46899	1.00

			01-04					01-06				
			Linked Deaths		Unlinked Census Records			Linked Deaths		Unlinked Census Records		
Sex	Ethnicity	Age	Eligible	W_Age EthAdj	Eligible	W_Age EthAdj	Proportion Error	Eligible	W_Age EthAdj	Eligible	W_Age EthAdj	Proportion Error
		70-74 yrs	4446	5241	45036	44163	1.00	7401	8763	42081	40713	1.00
		75-79 yrs	5355	6324	31425	30306	1.00	9024	10665	27759	26106	1.00
		80-84 yrs	4776	5646	15945	15375	1.02	7656	9120	13065	11679	1.01
		85-89 yrs	3513	4260	6372	6144	1.09	5259	6366	4626	3570	1.01
		90-94 yrs	1395	1689	1395	1347	1.22	1860	2253	933	570	1.06
		95+ yrs	426	516	16014	15444	0.97	555	660	15885	15567	0.99
Females	NZ Māori	0- 4 yrs	30	39	31998	30858	0.96	33	42	31992	31974	1.00
		5- 9 yrs	12	18	31173	30063	0.96	18	24	31167	31158	1.00
		10-14 yrs	24	30	30243	29166	0.96	57	87	30213	30186	1.00
		15-19 yrs	33	54	24405	23535	0.97	45	78	24396	24369	1.00
		20-24 yrs	21	33	21666	21381	0.99	36	63	21654	21633	1.00
		25-29 yrs	42	63	21216	20934	0.99	66	99	21195	21162	1.00
		30-34 yrs	51	69	20667	20394	0.99	105	147	20613	20550	1.00
		35-39 yrs	84	111	19866	19602	0.99	150	213	19794	19740	1.00
		40-44 yrs	129	171	16932	16707	0.99	234	312	16824	16743	1.00
		45-49 yrs	147	195	12546	12381	0.99	258	357	12438	12345	1.00
		50-54 yrs	195	249	9630	9435	0.99	324	441	9501	9414	1.00
		55-59 yrs	231	291	6747	6606	0.99	429	549	6549	6426	1.00
		60-64 yrs	339	429	5475	5358	0.99	564	699	5253	5091	0.99

			01-04					01-06				
			Linked Deaths		Unlinked Census Records			Linked Deaths		Unlinked Census Records		
Sex	Ethnicity	Age	Eligible	W_Age EthAdj	Eligible	W_Age EthAdj	Proportion Error	Eligible	W_Age EthAdj	Eligible	W_Age EthAdj	Proportion Error
		65-69 yrs	339	420	3654	3573	1.00	549	696	3438	3300	1.00
		70-74 yrs	303	375	2367	2337	1.02	510	627	2166	2043	1.00
		75-79 yrs	255	321	1242	1197	1.02	396	519	1098	966	0.99
		80-84 yrs	147	186	570	549	1.03	258	333	462	387	1.00
		85-89 yrs	99	126	213	207	1.11	156	204	156	117	1.08
		90-94 yrs	33	42	57	54	1.13	42	51	48	39	1.00
		95+ yrs	30	39	3789	3657	0.97	51	66	3771	3729	0.99
	Pacific	0- 4 yrs	9	12	11697	11280	0.96	12	15	11691	11691	1.00
		5- 9 yrs	6	6	11133	10734	0.96	6	6	11133	11133	1.00
		10-14 yrs	6	9	10413	10044	0.96	9	9	10410	10410	1.00
		15-19 yrs	12	18	8961	8643	0.97	18	27	8955	8946	1.00
		20-24 yrs	9	15	8892	8778	0.99	15	18	8889	8880	1.00
		25-29 yrs	9	12	8418	8304	0.99	15	21	8409	8403	1.00
		30-34 yrs	15	21	8742	8625	0.99	27	42	8730	8718	1.00
		35-39 yrs	27	36	7710	7608	0.99	42	54	7695	7677	1.00
		40-44 yrs	30	39	6090	6009	0.99	48	63	6072	6057	1.00
		45-49 yrs	45	57	4725	4662	0.99	72	96	4698	4680	1.00
		50-54 yrs	45	60	3774	3723	0.99	87	111	3732	3705	1.00
		55-59 yrs	63	84	2706	2670	0.99	114	144	2655	2622	1.00



			01-04					01-06				
			Linked Deaths		Unlinked Census Records			Linked Deaths		Unlinked Census Records		
Sex	Ethnicity	Age	Eligible	W_Age EthAdj	Eligible	W_Age EthAdj	Proportion Error	Eligible	W_Age EthAdj	Eligible	W_Age EthAdj	Proportion Error
		60-64 yrs	81	105	2145	2115	1.00	123	168	2100	2067	1.01
		65-69 yrs	99	135	1542	1521	1.01	153	204	1488	1431	1.00
		70-74 yrs	90	123	1104	1089	1.02	144	204	1047	999	1.01
		75-79 yrs	81	117	621	600	1.03	123	186	576	525	1.02
		80-84 yrs	75	108	312	303	1.09	123	174	264	222	1.04
		85-89 yrs	39	54	96	93	1.15	57	78	78	60	1.05
		90-94 yrs	15	18	39	39	1.08	24	30	33	27	1.00
		95+ yrs	21	24	1860	1794	0.97	24	36	1854	1836	1.00
	Asian	0- 4 yrs	6	6	7896	7617	0.96	6	6	7893	7890	1.00
		5- 9 yrs	6	6	8022	7734	0.96	6	6	8022	8022	1.00
		10-14 yrs	6	6	8718	8406	0.96	9	9	8715	8709	1.00
		15-19 yrs	9	9	12618	12165	0.96	6	12	12618	12615	1.00
		20-24 yrs	9	12	11640	11487	0.99	9	12	11640	11634	1.00
		25-29 yrs	6	6	9414	9285	0.99	6	9	9414	9411	1.00
		30-34 yrs	12	15	11004	10857	0.99	18	21	11001	10989	1.00
		35-39 yrs	12	18	12006	11844	0.99	18	30	12003	11991	1.00
		40-44 yrs	18	24	10611	10464	0.99	30	39	10599	10587	1.00
		45-49 yrs	18	21	8241	8130	0.99	30	39	8229	8217	1.00
		50-54 yrs	18	24	5721	5643	0.99	39	51	5700	5685	1.00

			01-04					01-06				
			Linked Deaths		Unlinked Census Records			Linked Deaths		Unlinked Census Records		
Sex	Ethnicity	Age	Eligible	W_Age EthAdj	Eligible	W_Age EthAdj	Proportion Error	Eligible	W_Age EthAdj	Eligible	W_Age EthAdj	Proportion Error
		55-59 yrs	18	24	3558	3510	0.99	30	42	3546	3537	1.00
		60-64 yrs	30	39	3009	2967	0.99	48	63	2991	2976	1.00
		65-69 yrs	39	54	2082	2055	0.99	66	93	2058	2034	1.00
		70-74 yrs	42	54	1230	1215	1.00	69	99	1206	1176	1.00
		75-79 yrs	54	72	744	717	0.99	84	117	714	681	1.00
		80-84 yrs	48	69	375	363	1.03	66	90	357	336	1.01
		85-89 yrs	36	48	165	159	1.04	54	75	147	129	1.02
		90-94 yrs	18	21	51	48	1.00	24	33	42	36	1.09
		95+ yrs	21	24	1605	1548	0.97	24	27	1602	1590	0.99
	nonMPA	0- 4 yrs	51	63	75294	72609	0.96	66	84	75276	75255	1.00
		5- 9 yrs	27	33	83598	80619	0.96	39	54	83586	83574	1.00
		10-14 yrs	39	48	88089	84951	0.96	75	105	88053	88026	1.00
		15-19 yrs	66	99	79920	77073	0.96	102	159	79884	79833	1.00
		20-24 yrs	39	57	73830	72855	0.99	69	120	73803	73770	1.00
		25-29 yrs	81	117	84252	83139	0.99	132	213	84204	84138	1.00
		30-34 yrs	147	204	100203	98880	0.99	240	315	100113	99999	1.00
		35-39 yrs	183	234	108510	107076	0.99	303	423	108390	108285	1.00
		40-44 yrs	291	363	106929	105516	0.99	543	708	106680	106515	1.00
		45-49 yrs	405	507	96675	95397	0.99	750	960	96330	96111	1.00

			01-04					01-06				
			Linked Deaths		Unlinked Census Records			Linked Deaths		Unlinked Census Records		
Sex	Ethnicity	Age	Eligible	W_Age EthAdj	Eligible	W_Age EthAdj	Proportion Error	Eligible	W_Age EthAdj	Eligible	W_Age EthAdj	Proportion Error
		50-54 yrs	720	864	94062	92286	0.98	1251	1551	93531	93270	1.00
		55-59 yrs	894	1068	73983	72570	0.98	1554	1893	73320	73002	1.00
		60-64 yrs	1284	1527	62937	61725	0.98	2208	2595	62016	61584	1.00
		65-69 yrs	1773	2091	52977	51978	0.99	3114	3672	51636	51075	1.00
		70-74 yrs	2961	3489	51375	50436	0.99	5145	6138	49194	48225	1.00
		75-79 yrs	4644	5538	43758	42198	0.98	8088	9615	40311	38670	1.00
		80-84 yrs	5688	6810	29217	28176	1.00	9681	11868	25224	23223	1.01
		85-89 yrs	5880	7065	15207	14664	1.05	9297	11337	11787	9912	1.02
		90-94 yrs	3573	4299	4716	4548	1.14	5076	6141	3213	2193	1.02
		95+ yrs	1479	1779	16446	15861	0.98	1938	2241	15990	15375	0.98

## MORTALITY RATES

Following the weighting of linked and unlinked cohort records, mortality rates were calculated by ethnicity and income group for the periods 2001-03 and 2003-06 for all-cause and cause specific mortality for all ages (1-74 yrs) as well as by separate age groups (1-14, 15-24, 25-44, 45-64, 65-74 yrs). These were compared with mortality rates from previous years (1981-84, 1986-89, 1991-94, 1996-99, 2001-04), with a particular focus on changes and differences since 1996-99 and 2001-04. Note that these two periods consisted of a 3 year follow-up after each census, whereas the 2001-03 and 2003-06 periods consisted of a 5 year follow-up divided into two equal 2.5 year periods.

### ***Investigating why mortality rates drop more than expected between 2001-03 and 2004-06***

When examining the results of these analyses, it became apparent that observed mortality rates for the 2003-2006 period showed a general tendency to be much lower than mortality rates for the 2001-03 and other preceding periods (Tan et al., in preparation). In particular, the decline in mortality rates from 2001-03 to 2003-06 using the revised weights were implausibly large, especially for Pacific, Asian and low-income groups. The two main contenders for bias causing this were:

Residual linkage bias, whereby the linkage weights calculated above did not adequately correct for lower linkage rates with increasing times since census night.

Emigration, meaning that 'eligible' deaths among those 2001 census respondents are increasingly missed with increasing time since census. Theoretically, this could be avoided if censoring occurred as census respondents emigrated, but this is beyond the scope of the NZCMS. In the absence of being able to correctly census person-time, rates are increasingly underestimated with increasing time since census causing a misclassification bias of the mortality outcome.

What follows is a detailed investigation of option 1, which we rule out. We do not have sufficient data to directly assess option 2, but in the following section we conclude on the basis of limited data, theory, and having ruled out option 1 that option 2 is the likely bias that affects comparisons of mortality rates over time.

### ***Option 1: Residual linkage bias by time since census***

It was possible that our automated weighting algorithms, which differed to previous weighting methods, systematically under-adjusted for decreasing linkage over time. This could have arisen if the number of eligible deaths in the existing dataset was insufficient for separate and stable calculation, and subsequent aggregation of some cells by time since census inadvertently removed the ability to correct for any bias by time since census.

To check for underlying bias in the linkage weights by time since census for the cohort 2001-06 (using the periods 0-30 and 30-60 months after census), we conducted an additional adjustment to our  $W\_AgeEthAdj$  weights (adjusted by age, sex and ethnicity), in the same manner that the  $W\_AgeEthAdj$  weights were calculated: an adjustment factor was calculated for the [Age] x [Sex] x [Months Since Census] Strata using the formula:

$$W\_AgeEthMthAdj = N_A^i / N_{LW}^i$$

Where  $N_A^i$  = the number of deaths in strata  $i$ ,

$N_{LW}^i$  = the number of weighted linked mortality records in strata  $i$ .

The ratio of the mean weights within strata of sex by age by ethnicity (and sex by age by deprivation) for 30-60 months compared to 0-30 months since census was calculated for both the  $W\_AgeEthMthAdj$  and  $W\_AgeEthAdj$  weights. Next, the ratio of the  $W\_AgeEthMthAdj$  ratio to the  $W\_AgeEthAdj$  ratio was calculated. These calculations were conducted on the Bias data-set only (i.e. all eligible mortality records, with a dummy variable for linkage to the census). The age groups 1-14, 15-24, 25-44, 45-64, and 65-74 years were used to form the strata, as well as prioritised ethnicity or NZ deprivation index was used (the latter as a proxy variable for income). These values are shown for sex, age and months since census and prioritised ethnicity in Table 8.

By way of example, consider New Zealand Māori males aged 1-14 years. The mean  $W\_AgeEthAdj$  in the first 30 months was 1.50, and for the 30-60 month period was 1.70 – a ratio of 1.13. Post-hoc forced adjustment to give ‘exact’ counts by time since census gave mean weights of 1.40 and 1.67 – a ratio of 1.19. If we presume the latter weights are ‘more correct’ for comparing mortality rates over time, then it is the comparison of the 1.13 and 1.19 ratios that is key, and the AEM:AE ratio of 1.05 represents this comparison. Thus, for this example strata of Māori males aged 1-14, it would appear that using forced weights to correct for time since will weight deaths in the second 30 months more so, and mean that any apparent reduction in mortality rates over time would be less.

However, if we examine all other AEM:AE ratios, they tend to be close to 1.00 and appear to randomly vary between just less, the same and just more than 1.00. That is, there is no evidence overall (and on average) of inadequacy of the  $W\_AgeEthAdj$  weights, nor of any systematic bias by sex, age or ethnicity.

**Table 8: Average AgeEthAdj and AgeEthMthAdj weights for 2001-06 cohort by Prioritised ethnicity, age and months since census, including ratios for 0-30:30-60 months since census periods and Ratio for AgeEthMthAdj ratio: AgeEthAdj ratio.**

Ethnicity	Age	Months Since Census	Males			Females		
			Mean W_AgeEthAdj (AE)	Mean W_AgeEthMthAdj (AEM)	AEM ratio : AE ratio	Mean W_AgeEthAdj (AE)	Mean W_AgeEthMthAdj (AEM)	AEM ratio : AE ratio
NZ Māori	1-14	0-30	1.50	1.40	.	1.33	1.38	.
		30-60	1.70	1.67	.	1.48	1.51	.
		Ratio	1.13	1.19	1.05	1.11	1.09	0.98
	15-24	0-30	1.77	1.73	.	1.78	2.21	.
		30-60	1.92	1.87	.	1.82	2.28	.
		Ratio	1.08	1.08	1.00	1.02	1.03	1.01
	25-44	0-30	1.69	1.72	.	1.34	1.35	.
		30-60	1.88	1.92	.	1.41	1.41	.
		Ratio	1.11	1.12	1.00	1.05	1.04	0.99
	45-64	0-30	1.31	1.30	.	1.29	1.30	.
		30-60	1.35	1.34	.	1.32	1.33	.
		Ratio	1.03	1.03	1.00	1.02	1.02	1.00
	65-74	0-30	1.28	1.28	.	1.25	1.24	.
		30-60	1.27	1.28	.	1.26	1.26	.
		Ratio	0.99	1.00	1.01	1.01	1.02	1.01
	75-84	0-30	1.26	1.26	.	1.29	1.29	.
		30-60	1.24	1.25	.	1.36	1.36	.
		Ratio	0.98	0.99	1.01	1.05	1.05	1.00
85+	0-30	1.28	1.22	.	1.27	1.32	.	
	30-60	1.31	1.24	.	1.36	1.36	.	
	Ratio	1.02	1.02	0.99	1.07	1.03	0.96	
Pacific	1-14	0-30	1.24	1.57	.	1.16	1.16	.
		30-60	1.42	1.50	.	1.32	1.33	.
		Ratio	1.15	0.96	0.83	1.14	1.15	1.01
	15-24	0-30	1.63	1.88	.	1.51	1.55	.
		30-60	1.56	1.73	.	1.42	1.42	.
		Ratio	0.96	0.92	0.96	0.94	0.92	0.97
	25-44	0-30	1.62	1.65	.	1.37	1.44	.
		30-60	1.81	1.84	.	1.35	1.40	.

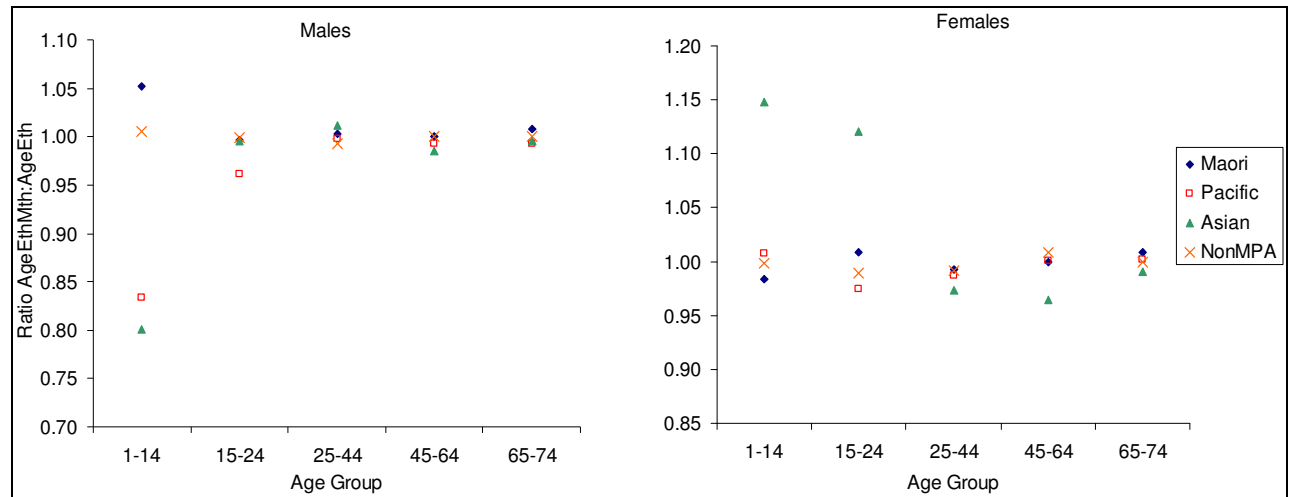
Ethnicity	Age	Months Since Census	Males			Females		
			Mean W_AgeEthAdj (AE)	Mean W_AgeEthMthAdj (AEM)	AEM ratio : AE ratio	Mean W_AgeEthAdj (AE)	Mean W_AgeEthMthAdj (AEM)	AEM ratio : AE ratio
		Ratio	1.12	1.12	1.00	0.99	0.97	0.99
	45-64	0-30	1.36	1.39	.	1.36	1.37	.
		30-60	1.37	1.39	.	1.27	1.28	.
		Ratio	1.01	1.00	0.99	0.93	0.93	1.00
	65-74	0-30	1.33	1.31	.	1.34	1.29	.
		30-60	1.36	1.33	.	1.40	1.35	.
		Ratio	1.02	1.02	0.99	1.04	1.05	1.00
	75-84	0-30	1.37	1.41	.	1.46	1.41	.
		30-60	1.35	1.39	.	1.48	1.43	.
		Ratio	0.99	0.99	1.00	1.01	1.01	1.00
	85+	0-30	1.32	1.26	.	1.38	1.37	.
		30-60	1.34	1.28	.	1.43	1.36	.
		Ratio	1.02	1.02	1.00	1.04	0.99	0.96
Asian	1-14	0-30	1.41	1.75	.	1.54	1.13	.
		30-60	1.71	1.70	.	1.14	0.96	.
		Ratio	1.21	0.97	0.80	0.74	0.85	1.15
	15-24	0-30	1.70	2.62	.	1.38	1.98	.
		30-60	1.87	2.87	.	1.63	2.62	.
		Ratio	1.10	1.10	1.00	1.18	1.32	1.12
	25-44	0-30	1.68	1.94	.	1.43	1.51	.
		30-60	1.73	2.02	.	1.46	1.50	.
		Ratio	1.03	1.04	1.01	1.02	0.99	0.97
	45-64	0-30	1.37	1.38	.	1.41	1.52	.
		30-60	1.33	1.32	.	1.28	1.33	.
		Ratio	0.97	0.96	0.99	0.91	0.88	0.96
	65-74	0-30	1.38	1.35	.	1.36	1.43	.
		30-60	1.51	1.47	.	1.46	1.52	.
		Ratio	1.09	1.09	1.00	1.07	1.06	0.99
	75-84	0-30	1.35	1.39	.	1.42	1.51	.
		30-60	1.30	1.35	.	1.38	1.46	.
		Ratio	0.96	0.97	1.01	0.97	0.97	0.99
	85+	0-30	1.34	1.22	.	1.30	1.23	.
		30-60	1.47	1.36	.	1.38	1.31	.

			Males			Females		
Ethnicity	Age	Months Since Census	Mean	Mean	AEM ratio : AE ratio	Mean	Mean	AEM ratio : AE ratio
			W_AgeEthAdj (AE)	W_AgeEthMthAdj (AEM)		W_AgeEthAdj (AE)	W_AgeEthMthAdj (AEM)	
		Ratio	1.10	1.11	1.02	1.06	1.07	1.00
nonMPA	1-14	0-30	1.31	1.33	.	1.29	1.32	.
		30-60	1.44	1.47	.	1.40	1.43	.
		Ratio	1.10	1.11	1.01	1.09	1.08	1.00
	15-24	0-30	1.57	1.60	.	1.59	1.52	.
		30-60	1.70	1.73	.	1.65	1.56	.
		Ratio	1.08	1.08	1.00	1.04	1.03	0.99
	25-44	0-30	1.61	1.64	.	1.33	1.37	.
		30-60	1.74	1.76	.	1.40	1.43	.
		Ratio	1.08	1.07	0.99	1.05	1.04	0.99
	45-64	0-30	1.25	1.25	.	1.21	1.20	.
		30-60	1.31	1.31	.	1.22	1.22	.
		Ratio	1.05	1.05	1.00	1.01	1.02	1.01
	65-74	0-30	1.18	1.18	.	1.18	1.18	.
		30-60	1.19	1.19	.	1.19	1.19	.
		Ratio	1.01	1.01	1.00	1.01	1.01	1.00
	75-84	0-30	1.19	1.19	.	1.20	1.09	.
		30-60	1.19	1.19	.	1.22	1.11	.
		Ratio	1.00	1.00	1.00	1.02	1.02	1.00
	85+	0-30	1.22	1.22	.	1.21	1.20	.
		30-60	1.20	1.20	.	1.21	1.21	.
		Ratio	0.98	0.98	1.00	1.00	1.01	1.01



As a further check of whether there was any systematic residual bias, Figure 2 plots the AEM:AE ratios by ethnicity and age group, for male and females. Linear regressions by age found no significant linear relationship with AEM:AE ratio. Note that the most deviant points are for the 1-14 and 15-24 age groups; this is most likely due to the small cell sizes and subsequent greater aggregation for weighting of these strata.

**Figure 2: Plots of the ratio of the AgeEthMthAdj 0-30:30-60mth ratio to AgeEthAdj 0-30:30-60mth ratio by age and ethnicity.**



In addition to larger than expected declines in the mortality rates overall for 30-60 months post census compared to 0-30 months, the declines were even more marked for Pacific people. If our linkage weights were unsuccessful in fully adjusting for decay in linkage in the 30-60 month period after census relative to the 0-30 month period, then we would have expected the forced AgeEthMth ratio to be higher than the AgeEth ratio for Pacific; but rather we see instability, or perhaps even lower ratios. This further suggests that our linkage weights did not introduce any bias based on linkage over time, although they may be unstable due to small cell sizes at younger ages.

**Table 9: Average AgeEthAdj and AgeEthMthAdj weights for 2001-06 cohort by NZ Deprivation Index, age and months since census, including ratios for 0-30:30-60 months since census periods and Ratio for AgeEthMthAdj ratio: AgeEthAdj ratio.**

NZ Deprivation	Age	Months Since Census	Males			Females		
			Mean W_AgeEthAdj (AE)	Mean W_AgeEthMthAdj (AEM)	AEM ratio : AE ratio	Mean W_AgeEthAdj (AE)	Mean W_AgeEthMthAdj (AEM)	AEM ratio : AE ratio
Dep 1-2	1-14	0-30	1.27	1.27	.	1.21	1.23	.
		30-60	1.41	1.44	.	1.35	1.37	.
		Ratio	1.11	1.13	1.02	1.12	1.11	1.00
	15-24	0-30	1.61	1.69	.	1.60	1.66	.
		30-60	1.66	1.71	.	1.78	1.71	.
		Ratio	1.03	1.01	0.98	1.11	1.03	0.93
	25-44	0-30	1.60	1.64	.	1.30	1.32	.
		30-60	1.67	1.69	.	1.43	1.44	.
		Ratio	1.04	1.03	0.99	1.10	1.09	0.99
	45-64	0-30	1.26	1.26	.	1.19	1.19	.
		30-60	1.32	1.32	.	1.24	1.24	.
		Ratio	1.05	1.05	1.00	1.04	1.04	1.00
	65-74	0-30	1.18	1.18	.	1.21	1.21	.
		30-60	1.20	1.20	.	1.23	1.24	.
		Ratio	1.02	1.02	1.00	1.02	1.02	1.01
	75-84	0-30	1.18	1.18	.	1.20	1.10	.
		30-60	1.18	1.18	.	1.50	1.13	.
		Ratio	1.00	1.00	1.00	1.25	1.03	0.82
85+	0-30	1.24	1.24	.	1.20	1.20	.	
	30-60	1.20	1.19	.	1.21	1.20	.	
	Ratio	0.97	0.96	0.99	1.01	1.00	0.99	
Dep 3-4	1-14	0-30	1.26	1.32	.	1.26	1.28	.
		30-60	1.47	1.51	.	1.53	1.53	.
		Ratio	1.17	1.14	0.98	1.21	1.20	0.98
	15-24	0-30	1.54	1.68	.	1.59	1.65	.
		30-60	1.63	1.68	.	1.77	1.72	.
		Ratio	1.06	1.00	0.94	1.11	1.04	0.94
	25-44	0-30	1.57	1.61	.	1.32	1.34	.
		30-60	1.73	1.77	.	1.42	1.46	.

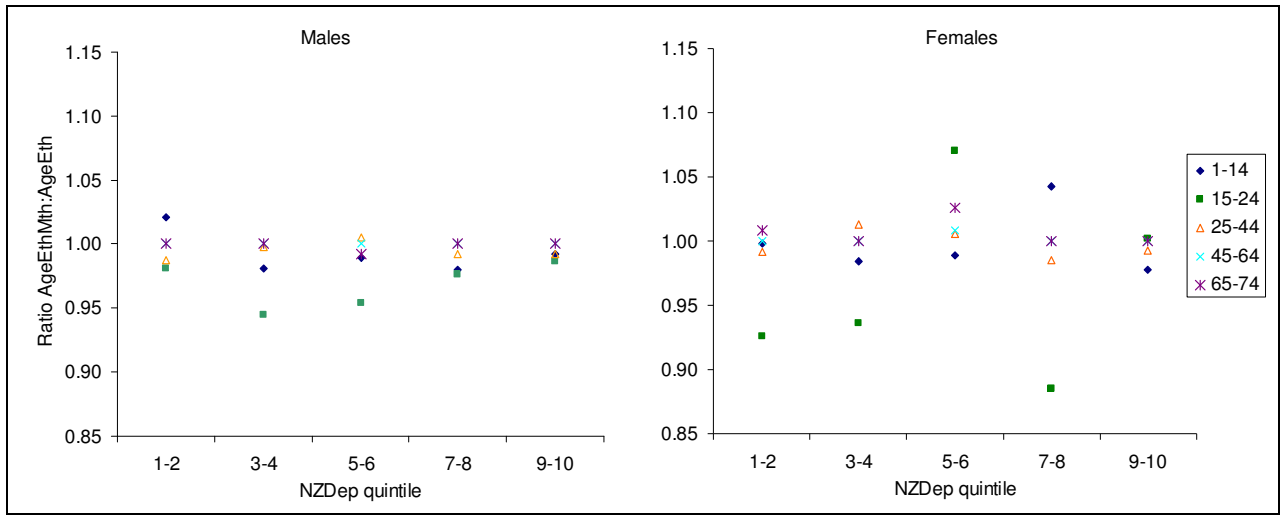
NZ Deprivation	Age	Months Since Census	Males			Females		
			Mean W_AgeEthAdj (AE)	Mean W_AgeEthMthAdj (AEM)	AEM ratio : AE ratio	Mean W_AgeEthAdj (AE)	Mean W_AgeEthMthAdj (AEM)	AEM ratio : AE ratio
		Ratio	1.10	1.10	1.00	1.08	1.09	1.01
	45-64	0-30	1.24	1.24	.	1.21	1.21	.
		30-60	1.29	1.29	.	1.21	1.21	.
		Ratio	1.04	1.04	1.00	1.00	1.00	1.00
	65-74	0-30	1.19	1.19	.	1.20	1.20	.
		30-60	1.19	1.19	.	1.19	1.19	.
		Ratio	1.00	1.00	1.00	0.99	0.99	1.00
	75-84	0-30	1.20	1.20	.	1.19	1.08	.
		30-60	1.19	1.19	.	1.23	1.12	.
		Ratio	0.99	0.99	1.00	1.03	1.04	1.00
	85+	0-30	1.22	1.22	.	1.22	1.22	.
		30-60	1.16	1.16	.	1.23	1.23	.
		Ratio	0.95	0.95	1.00	1.01	1.01	1.00
Dep 5-6	1-14	0-30	1.29	1.33	.	1.17	1.20	.
		30-60	1.51	1.54	.	1.37	1.39	.
		Ratio	1.17	1.16	0.99	1.17	1.16	0.99
	15-24	0-30	1.55	1.68	.	1.53	1.59	.
		30-60	1.76	1.82	.	1.60	1.78	.
		Ratio	1.14	1.08	0.95	1.05	1.12	1.07
	25-44	0-30	1.63	1.66	.	1.32	1.36	.
		30-60	1.68	1.72	.	1.37	1.42	.
		Ratio	1.03	1.04	1.01	1.04	1.04	1.01
	45-64	0-30	1.26	1.26	.	1.22	1.22	.
		30-60	1.30	1.30	.	1.22	1.23	.
		Ratio	1.03	1.03	1.00	1.00	1.01	1.01
	65-74	0-30	1.18	1.18	.	1.20	1.19	.
		30-60	1.22	1.21	.	1.17	1.19	.
		Ratio	1.03	1.03	0.99	0.98	1.00	1.03
	75-84	0-30	1.19	1.19	.	1.20	1.10	.
		30-60	1.19	1.19	.	1.21	1.10	.
		Ratio	1.00	1.00	1.00	1.01	1.00	0.99
	85+	0-30	1.19	1.19	.	1.21	1.21	.
		30-60	1.19	1.19	.	1.19	1.19	.

NZ Deprivation	Age	Months Since Census	Males			Females		
			Mean W_AgeEthAdj (AE)	Mean W_AgeEthMthAdj (AEM)	AEM ratio : AE ratio	Mean W_AgeEthAdj (AE)	Mean W_AgeEthMthAdj (AEM)	AEM ratio : AE ratio
		Ratio	1.00	1.00	1.00	0.98	0.98	1.00
Dep 7-8	1-14	0-30	1.44	1.47	.	1.48	1.45	.
		30-60	1.63	1.63	.	1.38	1.41	.
		Ratio	1.13	1.11	0.98	0.93	0.97	1.04
	15-24	0-30	1.68	1.76	.	1.62	1.90	.
		30-60	1.75	1.79	.	1.59	1.65	.
		Ratio	1.04	1.02	0.98	0.98	0.87	0.88
	25-44	0-30	1.64	1.68	.	1.37	1.41	.
		30-60	1.84	1.87	.	1.42	1.44	.
		Ratio	1.12	1.11	0.99	1.04	1.02	0.99
	45-64	0-30	1.27	1.26	.	1.24	1.25	.
		30-60	1.33	1.33	.	1.27	1.28	.
		Ratio	1.05	1.06	1.01	1.02	1.02	1.00
	65-74	0-30	1.21	1.21	.	1.19	1.19	.
		30-60	1.21	1.21	.	1.21	1.21	.
		Ratio	1.00	1.00	1.00	1.02	1.02	1.00
	75-84	0-30	1.20	1.20	.	1.21	1.10	.
		30-60	1.19	1.19	.	1.22	1.11	.
		Ratio	0.99	0.99	1.00	0.98	1.01	1.03
	85+	0-30	1.23	1.23	.	1.20	1.19	.
		30-60	1.23	1.23	.	1.23	1.23	.
		Ratio	1.00	1.00	1.00	1.03	1.03	1.01
Dep 9-10	1-14	0-30	1.42	1.45	.	1.33	1.37	.
		30-60	1.55	1.57	.	1.43	1.44	.
		Ratio	1.09	1.08	0.99	1.08	1.05	0.98
	15-24	0-30	1.67	1.73	.	1.68	1.87	.
		30-60	1.84	1.88	.	1.65	1.84	.
		Ratio	1.10	1.09	0.99	0.98	0.98	1.00
	25-44	0-30	1.67	1.72	.	1.35	1.38	.
		30-60	1.85	1.89	.	1.38	1.40	.
		Ratio	1.11	1.10	0.99	1.02	1.01	0.99
	45-64	0-30	1.30	1.30	.	1.28	1.28	.
		30-60	1.34	1.34	.	1.25	1.25	.

NZ Deprivation	Age	Months Since Census	Males			Females		
			Mean W_AgeEthAdj (AE)	Mean W_AgeEthMthAdj (AEM)	AEM ratio : AE ratio	Mean W_AgeEthAdj (AE)	Mean W_AgeEthMthAdj (AEM)	AEM ratio : AE ratio
		Ratio	1.03	1.03	1.00	0.98	0.98	1.00
	65-74	0-30	1.21	1.21	.	1.21	1.21	.
		30-60	1.22	1.22	.	1.22	1.22	.
		Ratio	1.01	1.01	1.00	1.01	1.01	1.00
	75-84	0-30	1.19	1.19	.	1.23	1.13	.
		30-60	1.21	1.21	.	1.25	1.15	.
		Ratio	1.02	1.02	1.00	1.02	1.02	1.00
	85+	0-30	1.22	1.21	.	1.21	1.21	.
		30-60	1.23	1.23	.	1.23	1.23	.
		Ratio	1.01	1.02	1.01	1.02	1.02	1.00

Table 9 shows the similar ratios for sex by age by deprivation. 3 shows scatterplots of the ratios by sex, age and NZ Deprivation Index . Once again, there doesn't appear to be any consistent linear relationship between the change in ratios and NZ deprivation index or age group. Linear regression analyses found no significant change in ratios by NZ deprivation index.

**Figure 3: Plots of the ratio of the AgeEthMthAdj 0-30:30-60mth ratio to AgeEthAdj 0-30:30-60mth ratio by age and NZ Deprivation.**



## ***Option 2: Emigration***

About 75,000 New Zealanders permanently emigrate each year. As the NZCMS is a closed cohort, with no ability to censor census respondents when they emigrate (we do not link NZCMS data to immigration data), we therefore fail to observe deaths among those census respondents who subsequently emigrate. The midpoints of the 2001-03 to 2003-06 periods are 2.5 years apart. Assuming the 75,000 emigrants per year do not re-immigrate before death, and that their age and death rates are roughly comparable to the remaining census respondents, then this might suggest that mortality rates are 5% less in 2003-06 compared to 2001-03 – all else constant. Due to varying age distribution among emigrants, and probably varying death rates within these age groups (e.g. due to healthy migrant effect), the 5% estimate is tenuous; however, it seems likely that some increasing underestimation over time is occurring due to emigration.

In epidemiological terms, this diminishes sensitivity of ascertainment of the mortality outcome over time; i.e. we have misclassification bias of the mortality bias that is not corrected. This will result in increasing underestimation of all ethnic and socioeconomic groups' mortality rates since census, and presumably the standardised rate differences (SRDs) too.

Additionally, Pacific mortality rates appear to decrease much faster than expected, relative to other ethnicities. This is consistent with the possibility of many Pacific people returning home (out of New Zealand) and dying, resulting in misclassification of the mortality outcome which is differential by ethnicity, which results in the underestimation of Pacific-nonMPA standardised rate ratios.

Although we cannot prove it, it is likely that Maori-nonMPA, income SRRs and possibly Asian-nonMPA SRRs will still remain valid over time, based on the assumption that percentage falls in their rates (due to emigrant bias) is comparable over time..

Note that the existing time series of 3-year cohort studies should be valid for comparing SRRs over time, as it is the same three-year period after each census that is being compared over time and we assume any biases occurring within this three-year follow-up are constant over time.

## ***Future Directions***

The next linkage of 2006-11 mortality data will allow closer examination of the increased mortality rates in 2006-07 compared to the 2005-06 year. It is expected that this will vary most in percentage terms for Pacific people. We think this linkage will then permit additional internal adjustments to the dataset to account for the above emigrant bias. For example, we could use a parametric regression model to estimate the 'step change' from the 2005-06 to 2006-07 year that is 'beyond' secular trends in mortality, and use the magnitude of this step change by sex, age, ethnicity (and income) to additionally weight mortality records over time since census.

We could also examine emigration data by ethnicity and socioeconomic position. However, this is problematic for two reasons. First, data on ethnicity and socioeconomic position is not recorded on emigrants. Second, even if we could estimate the former distribution, we do not have direct estimates of their mortality rates once emigrating. Thus, we do not believe that this is a particularly productive line of analysis.

Ideally, we would link the NZCMS data to migration data, and therefore 'properly' censor the person time of follow-up. However, this would be a large undertaking in resources, and may not be of sufficient added value to be approved by Statistics New Zealand (and potential funders of the NZCMS).

Assuming the first 'step change' method can be implemented, we will be in a better position to report accurate NZCMS mortality rates by time since census after the 2006-11 linkage has been undertaken.



## UNLOCK RATIOS FOR 2004-2006

Unlock ratios are the adjustment ratios used to correct for the numerator-denominator bias in the calculation of ethnic-specific mortality rates. The numerator-denominator bias arises from differences in the collection and recording of ethnicity data on census and mortality records. This results in individuals being counted in different ethnicity strata in the census population and the individual mortality data, and consequently creates a bias in the calculation of mortality rates using unlinked data. This generally emerges as the substantial undercounting of Maori and/or Pacific deaths relative to census data.

Unlock ratios were calculated to compare the extent of bias between ethnicity counts in the census and mortality data, as well as the census and NHI data. This was done with the unlock or highly probable link (HPL) dataset, a subset of linked mortality-census records for which there is a high probability of actual true links. These records agreed exactly on sex, date of birth and country of birth, and were files in which ethnicity was not involved in the linkage process. A summary of the variables included in the 2004-2006 unlock dataset can be seen in Table 58 in Appendix A.

Ethnicity could be classified using one of three definitions:

1) **SOLE:**

An individual was assigned as Maori if Maori was the only ethnic group self identified. Similarly, an individual was assigned as Pacific or Asian if it was the only self-identified ethnic group. All others were assigned as non-Maori non-Pacific non-Asian (nonMPA). The nonMPA group also included some extra decedents that, for example, self-identified multiple ethnic groups.

2) **PRIORITISED:**

An individual was assigned as Maori if any of the three possible self-identified ethnicity responses was Maori. For non-Maori, an individual was assigned as Pacific if one of the self-identified ethnic groups was Pacific. For non-Maori non-Pacific, an individual was assigned as Asian if one of the self-identified ethnic groups was Asian. The remaining individuals were assigned as nonMPA (equivalent to sole nonMPA).

3) **TOTAL:**

An individual was assigned as Maori if any ethnic group identified on the census or mortality record was Maori. The same was done for Pacific, Asian, nonMPA ethnicities; individuals could be assigned multiple ethnic groups and consequently the sum of counts across ethnic groups will be greater than actual number of decedents.

## ***Weighting of unlock dataset to represent all mortality records***

The proportions of ethnicities in the HPL and mortality (bias) dataset were different and so a simple cross-classification of mortality and census data ethnicity would have been considerably biased. Thus, it was necessary to weight up the ethnicity counts in the HPL dataset (n = 53,446 deaths), to represent the full mortality dataset (n = 135,853).

*For example, if there were 25 mortality records of 15-29 Asian females living in the Southern RHA and of these, 16 were included in the HPL data set, each of these 20 records would be weighted by  $25/16 = 1.56$  to make them representative of all the eligible mortality records for that strata.*

Weighting occurred within the strata of:

- Sex (male, female)
- Age group (based on age at census night: 0-14, 15-34, 35-49, 50-64, 65-74, 75-84, 85+ yrs)
- Ethnicity (prioritised; Māori, Pacific, Asian, nonMPA including missing).
- Rurality (rural including missing, urban)
- Level of Mobility in the area unit (individuals with missing mobility, ≤50%, 51-55%, 56-60%, 61+)
- Cause of death (9 groups Cancer; CVD excl IHD; IHD; Respiratory; Congenital/Perinatal/SIDS; Unintentional injury; Suicide; Violent; Other Causes including missing)
- NZ Deprivation Index (1-2, 3-4, 5-6 + missing, 7-8, 9-10)
- Regional Health Authority (Northern, Midland, Central and Southern)

*Note that a critical assumption for the validity of the adjustment ratios is that within each stratum of [sex] by [age] by [ethnicity] by [mobility] by [ICD] by [rurality] by [NZDep] by [RHA], the distribution of census ethnicity was the same for the HPL and the full mortality dataset, and consequently any biases affecting an individuals' self-identified ethnicity on the census between HPL and mortality datasets are controlled for by the strata.*

There were some differences in the variables used for the calculation of the unlock ratios compared to the 2001-2004 cohort; the grouping of the age variable was less detailed than the 2001-2004 cohort, however it is expected that this will make little difference as weights were adjusted for age (and ethnicity) using the 5-year age groups, which was not done for the 2001-2004 cohort and would eliminate any discrepancies in estimates. RHA and NZ Deprivation were also included in the strata, based on the results of the logistic regression analysis predicting HPL; these were not significant in the 2001-2004 analyses. TA was not included in the strata as it was too disaggregated for the HPL 2004-2006 dataset to permit sufficient cell sizes.

Logistic regression was used with the strata variables predicting HPL to determine the order of collapsing strata for these variables, similar to that

done for the linkage weights. Betas and standard errors are shown in Table 10.

**Table 10: Parameter estimates and standard errors from HPL logistic regression.**

Parameter		Estimate	Standard Error
Age	0-14 years	-0.739	0.114
	15-34 years	-1.055	0.074
	35-49 years	-0.672	0.056
	50-64 years	-0.174	0.045
	65-74 years	0.040	0.039
	75-84 years	0.033	0.033
	85 years+ (reference)	1	0
Sex	Females	0.611	0.076
	Males (reference)	1	0
Ethnicity	Maori	-0.357	0.036
	Pacific	-0.504	0.060
	Asian	-0.496	0.079
	NonMPA incl. missing (reference)	1	0
Rurality	Rural (including missing)	-0.197	0.036
	Urban (reference)	1	0
RHA	Northern (reference)	1	0
	Midland	0.035	0.030
	Central	0.067	0.029
	Southern	0.053	0.031
Mobility		0.002	0.00
Cause of Death	Cancer	0.066	0.031
	CVD excl. IHD	-0.089	0.033
	IHD (Reference)	1	0
	Respiratory	0.106	0.047
	Congenital, Perinatal, SIDS	0.234	0.180
	Unintentional Injury	-0.217	0.058
	Suicide	-0.362	0.075
	Violent	-0.351	0.229
Other incl. not dead	-0.136	0.032	
NZ Deprivation Index	1-2 (reference)	1	0
	3-4	0.074	0.037
	5-6 (including missing)	0.047	0.035
	7-8	-0.031	0.036
	9-10	-0.022	0.036

Aside from sex and ethnicity, results showed that RHA, NZDep, ICD and mobility were the weakest predictors of an HPL (in that order), and consequently it was decided to collapse strata variables in that order. The criterion for acceptance was  $n > 3$  for each cell. A total of  $n = 10,533$  maximum detail strata were collapsed to ensure sufficient cell sizes for weight calculation. The method of collapsing was the same as that used for calculating linkage weights. The number of acceptable and unacceptable strata at each step is shown in Table 11.

The order of collapsing of strata variables was as follows:

- 1) a) Combine sex for children 0-14 years  
b) Combine ethnicity for Maori and Pacific aged  $\geq 75$  years  
(Both set to Maori)  
c) Combine rurality for Pacific and Asian ethnicities (all urban)
- 2) Collapse RHA to 2 categories: Northern/Midland and Central/Southern
- 3) Collapse NZDep from quintiles to 1-6, 7-10.
- 4) Collapse ICD to 6 categories: CVD and IHD, Cancer, Respiratory, Congenital/Perinatal/SIDS, Injury/Suicide/Intentional, Other/Not dead
- 5) Collapse mobility from 0-50, 51-55, 56-60, 60+ to 0-55, 56+
- 6) Drop RHA
- 7) Drop NZDep
- 8) Drop ICD
- 9) Drop mobility
- 10) Drop rurality
- 11) Collapse ethnicity
- 12) Drop ethnicity
- 13) Drop age

Note that dropping the sex variable had no effect on the number of acceptable and unacceptable strata, and therefore this step was not included in the final collapsing process.

**Table 11: Number of acceptable and unacceptable strata before and after collapsing of variables for weight calculation for Unlock 2004-2006 cohort.**

INITIAL VALUES	N	% Total
Acceptable	2698	25.24
Unacceptable	7993	74.76
Total	10691	
Step 1	N	% Total
Acceptable	2714	25.77
Unacceptable	7819	74.23
Total	10533	

2) collapse RHA	N	% Total
Acceptable	722	9.23
Unacceptable	7097	90.77
Total	7819	
3) collapse NZDep	N	% Total
Acceptable	1885	26.56
Unacceptable	5212	73.44
Total	7097	
4) collapse ICD	N	% Total
Acceptable	697	13.37
Unacceptable	4515	86.63
Total	5212	
5) collapse Mobility	N	% Total
Acceptable	1307	28.95
Unacceptable	3208	71.05
Total	4515	

6) drop RHA	N	% Total
Acceptable	1104	34.41
Unacceptable	2104	65.59
Total	3208	
7) drop NZDep	N	% Total
Acceptable	718	34.13
Unacceptable	1386	65.87
Total	2104	
8) drop ICD	N	% Total
Acceptable	1260	90.91
Unacceptable	126	9.09
Total	1386	
9) drop Mobility	N	% Total
Acceptable	4	3.17
Unacceptable	122	96.83
Total	126	

10) drop Rurality	N	% Total
Acceptable	254	72.36
Unacceptable	97	27.64
Total	351	
11) Collapse Ethnicity	N	% Total
Acceptable	5	5.15
Unacceptable	92	94.85
Total	97	

12) drop Ethnicity	N	% Total
Acceptable	65	70.65
Unacceptable	27	29.35
Total	92	
13) drop Age	N	% Total
Acceptable	27	100.00
Total	27	

Weights were calculated using the same methodology as that used for calculating linkage weights. The distribution of unlock weights can be seen in Table 12. Weighted estimates and actual numbers of deaths for the main sociodemographic variables can be seen in Table 13 below, and agree very well (given random rounding to a near multiple of three).

There were two strata, 5-9yr old Pacific and Asian females, in which there were some individuals dead but none in the HPL data set and none linked. All these individuals were assigned an unlock flag = 1 so that weights and adjustment factors could be calculated for these strata. These individuals were also assigned an unlock flag = 1 and included in the unlock ratio calculations.

**Table 12: Distribution of Unlock weights for 2004-2006 cohort**

Statistic	W_AgeEthAdj Weight
Mean	1.31
Standard deviation	0.30
Maximum	6.19
Minimum	0.57
Percentile 1	0.96
5	1.00
10	1.04
25	1.14
50	1.24
75	1.40
90	1.62
95	1.83
99	2.52

**Table 13: Sex-Age-Ethnicity-adjusted weighted and actual deaths for 2004-2006 unlock cohort.**

		Deaths		Weighted Deaths		Ratio
		HPL	Actual	W_base	W_AgeEthAdj	W_AgeEthAdj/ Actual Deaths
Sex	Males	19965	26361	26358	26364	1.00
	Females	20733	27078	27084	27078	1.00
Prioritised Ethnicity	Maori	3462	5205	5235	5205	1.00
	Pacific	930	1449	1422	1449	1.00
	Asian	507	771	768	768	1.00
	NonMPAandMissing	35799	46020	46020	46017	1.00
Age Group	0-14 yrs	303	486	483	483	0.99
	15-34 yrs	873	1641	1638	1641	1.00
	35-49 yrs	2274	3519	3519	3519	1.00
	50-64 yrs	6510	8604	8604	8601	1.00
	65-74 yrs	9348	11766	11769	11769	1.00
	75-84 yrs	14133	17949	17949	17949	1.00
	85+ years	7257	9483	9480	9483	1.00
Age Group (detailed)	0- 4 yrs	54	75	81	75	1.00
	5- 9 yrs	45	72	69	75	1.04
	10-14 yrs	204	333	333	333	1.00
	15-19 yrs	204	360	381	360	1.00
	20-24 yrs	162	315	318	318	1.01
	25-29 yrs	186	390	351	387	0.99
	30-34 yrs	324	573	588	576	1.01
	35-39 yrs	432	792	696	789	1.00
	40-44 yrs	783	1209	1209	1209	1.00
	45-49 yrs	1059	1518	1614	1518	1.00
	50-54 yrs	1608	2226	2154	2229	1.00
	55-59 yrs	2061	2745	2724	2748	1.00
	60-64 yrs	2838	3630	3726	3630	1.00
	65-69 yrs	3816	4791	4833	4791	1.00
	70-74 yrs	5532	6978	6936	6975	1.00
	75-79 yrs	7272	9144	9207	9141	1.00
	80-84 yrs	6861	8805	8742	8805	1.00
	85-89 yrs	4989	6507	6507	6507	1.00
	90-94 yrs	1833	2433	2400	2433	1.00
95+ yrs	432	543	573	543	1.00	
NZ	Dep 1-2	6051	7878	7902	7896	1.00

		Deaths		Weighted Deaths		Ratio
		HPL	Actual	W_base	W_AgeEthAdj	W_AgeEthAdj/ Actual Deaths
Deprivation Index	Dep 3-4	7737	9906	9945	9945	1.00
	Dep 5-6	9255	11961	11961	11952	1.00
	Dep 7-8	9330	12327	12312	12318	1.00
	Dep 9-10	8325	11367	11322	11331	1.00
Percent Mobility	Missing	12	21	21	21	1.00
	0-50% Mobility	14448	18618	18597	18588	1.00
	51-55% Mobility	9990	12981	12999	13002	1.00
	56-60% Mobility	8571	11367	11442	11448	1.01
	>60% Mobility	7674	10458	10383	10386	0.99
Rurality	Missing	15	21	27	24	1.14
	Urban	37053	48408	48408	48417	1.00
	Rural	3627	5010	5007	4998	1.00
Cause of Death	Cancer	11979	15309	15312	15291	1.00
	CVD excl. IHD	7221	9513	9453	9459	0.99
	IHD	9144	11778	11811	11811	1.00
	Respiratory	2943	3684	3690	3684	1.00
	Congenital, Perinatal, SIDS	132	177	195	195	1.10
	Unintentional Injury	1254	1926	1881	1884	0.98
	Suicide	597	1056	1071	1083	1.03
	Violent	45	81	90	90	1.11
	Other incl. Not Dead/Linked	7383	9921	9945	9942	1.00
Cause of Death (detailed)	IHD	9144	11778	11811	11811	1.00
	Suicide	600	1056	1071	1083	1.03
	Violent	42	81	90	93	1.15
	Stomach Cancer	405	516	525	525	1.02
	Colorectal Cancer	1854	2346	2334	2328	0.99
	Pancreas Cancer	546	696	696	696	1.00
	Lung/Bronchus Cancer	2259	2901	2907	2898	1.00
	Melanoma	381	498	489	492	0.99
	Breast Cancer	966	1233	1242	1239	1.00
	Prostate Cancer	888	1119	1107	1104	0.99
	Brain/Nervous System Can	321	429	426	429	1.00
	Other Cancer	4359	5571	5580	5577	1.00
	Other Heart Disease	2277	3012	3006	3009	1.00

		Deaths		Weighted Deaths		Ratio
		HPL	Actual	W_base	W_AgeEthAdj	W_AgeEthAdj/ Actual Deaths
	Cerebrovascular Disease	3966	5247	5169	5175	0.99
	Other Cardiovascular Disease	978	1257	1275	1275	1.01
	Unintentional Injury other than RTC	789	1149	1113	1116	0.97
	RTC	465	777	768	765	0.98
	Communicable Diseases	294	411	408	408	0.99
	Diabetes	1245	1635	1692	1689	1.03
	Pnuemonia/Influenza	624	819	828	825	1.01
	COPD	2523	3141	3156	3150	1.00
	Asthma	87	120	117	120	1.00
	Other Respiratory	339	423	414	417	0.99
	Congenital	132	177	195	195	1.10
	Other Causes	5217	7053	7017	7014	0.99
	Not Dead/Linked	6	6	6	6	1.00
RHA	Northern	11403	15393	15321	15333	1.00
	Midland	8769	11586	11622	11613	1.00
	Central	10539	13650	13722	13716	1.00
	Southern	9987	12816	12777	12783	1.00

Note: Age calculated as Age at Census not Age at Death.

In order to calculate the ratios to adjust for the numerator-denominator bias, we first determined the extent of the bias by cross-classifying census ethnicity by death registration form and NHI database ethnicities. Those individuals that were not linked but assigned an unlock flag = 1 had census ethnicity imputed from mortality data.

The heterogeneity of the numerator-denominator bias was assessed by further cross-classifying census, mortality and NHI ethnicity by the sociodemographic variables: sex, age at death, NZ deprivation, Territorial Authority, rurality and ICD. This was performed for the total, prioritised and sole ethnicity classifications separately.

Adjustment or unlock ratios were then calculated for each of the ethnicity classifications as the ratio of estimated census ethnicity counts to the weighted estimate of mortality (or NHI) ethnicity counts. These counts and ratios have been random rounded so will not necessarily add up exactly.



## ***Unlock Ratios for Mortality Data***

The tables below show the number of deaths by census and mortality record ethnicity using the Total (Table 14), Prioritised (Table 15) and Sole (Table 16) definitions. The results are similar to that obtained for the 2001-2004 cohort.

For the total ethnicity counts, there is very little difference between census and mortality estimated deaths. Note that the different ethnicity groups are not mutually exclusive (e.g. an individual identified as nonAsian could also be counted as Maori and nonPacific). The ratio for individuals identified as nonMPA group is close to 1, whereas for the corresponding group who reported only ethnicity within the three Maori, Pacific or Asian categories, there were notably fewer in the census data (a ratio of 0.89). This is a result of more people self-identifying as two or more ethnicity in the census data more than in the mortality data.

Using the prioritised and sole ethnicity definitions, there is a general trend for mortality ethnicity data to overestimate the number of deaths for Pacific and Maori, and slightly underestimate the number of deaths for Asian and NonMPA ethnicities. These biases tend to increase as more restrictive ethnicity definitions are used, i.e. from total to prioritised to sole ethnicity.

**Table 14: Census Total Ethnicity by Mortality Total Ethnicity, 2004-06.**

Total Ethnicity	Census Deaths	Mortality Deaths	Census to Mortality Ratio
Maori	5,136	5,205	0.99
Non Maori	48,306	48,240	1.00
Pacific	1,449	1,482	0.98
Non Pacific	51,993	51,963	1.00
Asian	804	816	0.99
Non Asian	52,638	52,626	1.00
Non MPA	47,262	46,530	1.02
Maori/Pacific/Asian	6,180	6,912	0.89

**Table 15: Census Prioritised Ethnicity by Mortality Prioritised Ethnicity, 2004-06.**

Census Prioritised Ethnicity	Mortality Prioritised Ethnicity				Total Census Deaths	Census to Mortality Ratio
	Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
Maori	4,692	12	6	429	<b>5,136</b>	0.99
Pacific	18	1,317	6	51	<b>1,386</b>	0.96
Asian	.	33	714	30	<b>777</b>	1.01
Non MPA	495	84	48	45,513	<b>46,143</b>	1.00
<b>Total</b>	<b>5,205</b>	<b>1,449</b>	<b>771</b>	<b>46,020</b>		

**Table 16: Census Sole Ethnicity by Mortality Sole Ethnicity, 2004-06.**

Census Sole Ethnicity	Mortality Sole Ethnicity				Total Census Deaths	Census to Mortality Ratio
	Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
Maori	4,386	12	6	738	<b>4,095</b>	0.86
Pacific	18	1,263	6	108	<b>1,284</b>	0.96
Asian	.	6	690	84	<b>744</b>	1.01
Remainder <sup>1</sup>	363	60	39	45,678	<b>47,322</b>	1.02
<b>Total</b>	<b>4,764</b>	<b>1,338</b>	<b>735</b>	<b>46,602</b>		

<sup>1</sup> The "remainder" group includes any individuals that reported a nonMaori NonPacific NonAsian ethnic group, as well as extra decedents or census respondednts that self-identified multiple ethnic groups and consequently was not eligible for a sole ethnic group classification.

The tables below present count of of deaths by census and mortality ethnicity for the strata variables sex, age, NZ deprivation, RHA, rurality and cause of death.

## Sex

Census to mortality ratios for total, prioritised and sole ethnicity by sex are all very close to 1, with the exception of Maori sole ethnicity which was lower.

**Table 17: Census Total Ethnicity by Mortality Total Ethnicity stratified by Sex, 2004-06.**

Sex	Total Ethnicity	Census Deaths	Mortality Deaths	Census to Mortality Ratio
Males	Maori	2,793	2,841	0.98
	Non Maori	23,571	23,523	1.00
	Pacific	798	810	0.99
	Non Pacific	25,563	25,551	1.00
	Asian	459	459	1.00
	Non Asian	25,905	25,902	1.00
	Non MPA	22,932	22,560	1.02
	Maori/Pacific/Asian	3,429	3,804	0.90
Females	Maori	2,343	2,364	0.99
	Non Maori	24,735	24,717	1.00
	Pacific	651	669	0.97
	Non Pacific	26,430	26,409	1.00
	Asian	348	357	0.97
	Non Asian	26,733	26,724	1.00
	Non MPA	24,333	23,970	1.02
	Maori/Pacific/Asian	2,748	3,111	0.88

**Table 18: Census Prioritised Ethnicity by Mortality Prioritised Ethnicity stratified by Sex, 2004-06.**

Sex	Census Prioritised Ethnicity	Mortality Prioritised Ethnicity				Total Census Deaths	Census to Mortality Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
Males	Maori	2,556	9	6	222	<b>2,793</b>	0.98
	Pacific	9	723	6	27	<b>756</b>	0.96
	Asian	.	18	405	18	<b>444</b>	1.02
	Non MPA	276	39	30	22,023	<b>22,368</b>	1.00
	<b>Total</b>	<b>2,841</b>	<b>789</b>	<b>435</b>	<b>22,296</b>		
Females	Maori	2,133	6	.	204	<b>2,343</b>	0.99
	Pacific	12	594	6	21	<b>630</b>	0.95
	Asian	.	15	312	9	<b>336</b>	1.01
	Non MPA	219	45	18	23,487	<b>23,772</b>	1.00
	<b>Total</b>	<b>2,364</b>	<b>660</b>	<b>333</b>	<b>23,724</b>		

**Table 19: Census Sole Ethnicity by Mortality Sole Ethnicity stratified by Sex, 2004-06.**

Sex	Census Sole Ethnicity	Mortality Sole Ethnicity				Total Census Deaths	Census to Mortality Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
Males	Maori	2,394	6	6	390	<b>2,268</b>	0.87
	Pacific	9	693	6	60	<b>714</b>	0.98
	Asian	.	6	396	42	<b>417</b>	0.98
	Remainder	204	27	24	22,110	<b>22,965</b>	1.02
	<b>Total</b>	<b>2,604</b>	<b>732</b>	<b>426</b>	<b>22,602</b>		
Females	Maori	1,992	6	.	345	<b>1,827</b>	0.85
	Pacific	9	570	6	48	<b>570</b>	0.94
	Asian	.	6	294	39	<b>324</b>	1.04
	Remainder	159	33	12	23,568	<b>24,357</b>	1.01
	<b>Total</b>	<b>2,160</b>	<b>609</b>	<b>312</b>	<b>24,003</b>		

## Age

For individuals greater than 35 years, there appears to be relatively little difference between ethnic counts on the census and mortality data.

Mortality ethnicity counts for the younger age groups (<35 years) appear to underestimate census ethnicity counts; census to mortality ratios for Maori Pacific and nonMPA are all considerably larger than 1.0 for total ethnicity. Using a prioritised ethnicity definition, ratios are closer to 1.0. This suggests some of this underestimation is due to differential classification of multiple ethnicities in the census and mortality data; for instance approximately 15 Pacific individuals on the mortality data were also classified as Maori, compared to approximately 20 on the census data. Note that the ratio decreases even further when a sole ethnicity definition is used.

**Table 20: Census Total Ethnicity by Mortality Total Ethnicity stratified by Age, 2004-06.**

Age	Total Ethnicity	Census Deaths	Mortality Deaths	Census to Mortality Ratio
0-14 years	Maori	69	63	1.10
	Non Maori	120	126	0.95
	Pacific	21	15	1.40
	Non Pacific	171	177	0.97
	Asian	18	12	1.50
	Non Asian	177	177	1.00
	Non MPA	126	105	1.20
	Maori/Pacific/Asian	66	87	0.76
15-34 years	Maori	459	483	0.95
	Non Maori	987	960	1.03
	Pacific	132	111	1.19
	Non Pacific	1,311	1,329	0.99
	Asian	39	27	1.44
	Non Asian	1,407	1,413	1.00
	Non MPA	1,017	912	1.12
	Maori/Pacific/Asian	426	531	0.80
35-49 years	Maori	765	780	0.98
	Non Maori	1,980	1,965	1.01
	Pacific	186	180	1.03
	Non Pacific	2,562	2,571	1.00
	Asian	87	96	0.91
	Non Asian	2,658	2,652	1.00
	Non MPA	1,890	1,779	1.06
	Maori/Pacific/Asian	858	969	0.89
50-64 years	Maori	1,443	1,458	0.99
	Non Maori	5,445	5,427	1.00
	Pacific	363	375	0.97
	Non Pacific	6,525	6,513	1.00
	Asian	171	168	1.02
	Non Asian	6,717	6,720	1.00
	Non MPA	5,157	4,989	1.03
	Maori/Pacific/Asian	1,728	1,899	0.91
65-74 years	Maori	1,251	1,257	1.00
	Non Maori	7,836	7,824	1.00
	Pacific	339	345	0.98
	Non Pacific	8,748	8,745	1.00
	Asian	192	201	0.96
	Non Asian	8,895	8,886	1.00
	Non MPA	7,512	7,383	1.02
	Maori/Pacific/Asian	1,575	1,701	0.93
75-84 years	Maori	840	852	0.99
	Non Maori	16,041	16,026	1.00
	Pacific	294	321	0.92

Age	Total Ethnicity	Census Deaths	Mortality Deaths	Census to Mortality Ratio
	Non Pacific	16,584	16,554	1.00
	Asian	189	198	0.95
	Non Asian	16,689	16,680	1.00
	Non MPA	15,765	15,636	1.01
	Maori/Pacific/Asian	1,113	1,242	0.90
>=85 years	Maori	315	306	1.03
	Non Maori	15,900	15,906	1.00
	Pacific	120	138	0.87
	Non Pacific	16,092	16,077	1.00
	Asian	111	114	0.97
	Non Asian	16,101	16,098	1.00
	Non MPA	15,804	15,726	1.00
	Maori/Pacific/Asian	411	486	0.85

**Table 21: Census Prioritised Ethnicity by Mortality Prioritised Ethnicity stratified by Age, 2004-06.**

Age	Census Prioritised Ethnicity	Mortality Prioritised Ethnicity				Total Census Deaths	Census to Mortality Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
0-14 years	Maori	60	6	.	6	<b>69</b>	1.10
	Pacific	.	9	.	.	<b>12</b>	1.00
	Asian	.	.	12	6	<b>18</b>	1.50
	Non MPA	6	.	.	93	<b>96</b>	0.97
	<b>Total</b>	<b>63</b>	<b>12</b>	<b>12</b>	<b>99</b>		
15-34 years	Maori	423	6	.	36	<b>459</b>	0.95
	Pacific	9	96	.	12	<b>111</b>	1.16
	Asian	.	6	27	6	<b>30</b>	1.11
	Non MPA	54	6	6	786	<b>846</b>	1.01
	<b>Total</b>	<b>483</b>	<b>96</b>	<b>27</b>	<b>834</b>		
35-49 years	Maori	711	6	6	51	<b>765</b>	0.98
	Pacific	6	159	.	6	<b>171</b>	1.00
	Asian	.	6	78	6	<b>84</b>	0.97
	Non MPA	63	9	6	1,647	<b>1,722</b>	1.01
	<b>Total</b>	<b>780</b>	<b>171</b>	<b>87</b>	<b>1,710</b>		
50-64 years	Maori	1,338	6	6	99	<b>1,443</b>	0.99
	Pacific	6	342	6	9	<b>354</b>	0.96
	Asian	.	9	147	6	<b>162</b>	1.06
	Non MPA	120	12	6	4,791	<b>4,929</b>	1.00
	<b>Total</b>	<b>1,458</b>	<b>369</b>	<b>153</b>	<b>4,905</b>		
65-74 years	Maori	1,161	6	.	87	<b>1,251</b>	0.99
	Pacific	6	321	6	6	<b>330</b>	0.96
	Asian	.	6	180	6	<b>189</b>	1.00
	Non MPA	96	15	9	7,197	<b>7,317</b>	1.00
	<b>Total</b>	<b>1,260</b>	<b>342</b>	<b>189</b>	<b>7,293</b>		
75-84 years	Maori	744	6	.	93	<b>840</b>	0.99
	Pacific	6	279	6	9	<b>288</b>	0.91

Age	Census Prioritised Ethnicity	Mortality Prioritised Ethnicity				Total Census Deaths	Census to Mortality Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
>=85 years	Asian	.	9	171	6	<b>186</b>	0.98
	Non MPA	105	27	18	15,414	<b>15,564</b>	1.00
	<b>Total</b>	<b>852</b>	<b>318</b>	<b>189</b>	<b>15,519</b>		
	Maori	255	.	.	60	<b>315</b>	1.03
	Pacific	.	111	.	9	<b>120</b>	0.87
	Asian	.	6	99	6	<b>108</b>	0.97
	Non MPA	51	24	9	15,585	<b>15,672</b>	1.00
<b>Total</b>	<b>306</b>	<b>138</b>	<b>111</b>	<b>15,657</b>			

**Table 22: Census Sole Ethnicity by Mortality Sole Ethnicity stratified by Age, 2004-06.**

Age	Census Sole Ethnicity	Mortality Sole Ethnicity				Total Census Deaths	Census to Mortality Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
0-14 years	Maori	54	6	.	12	<b>39</b>	0.68
	Pacific	.	9	.	6	<b>9</b>	0.75
	Asian	.	.	12	6	<b>18</b>	1.50
	Remainder	6	.	.	93	<b>129</b>	1.19
	<b>Total</b>	<b>57</b>	<b>12</b>	<b>12</b>	<b>108</b>		
15-34 years	Maori	360	6	.	96	<b>294</b>	0.72
	Pacific	9	84	.	21	<b>87</b>	1.04
	Asian	.	.	24	6	<b>27</b>	1.13
	Remainder	39	6	6	801	<b>1,032</b>	1.12
	<b>Total</b>	<b>408</b>	<b>84</b>	<b>24</b>	<b>924</b>		
35-49 years	Maori	663	6	6	102	<b>609</b>	0.84
	Pacific	6	150	.	15	<b>162</b>	1.06
	Asian	.	6	72	15	<b>78</b>	1.00
	Remainder	54	6	6	1,659	<b>1,899</b>	1.06
	<b>Total</b>	<b>723</b>	<b>153</b>	<b>78</b>	<b>1,788</b>		
50-64 years	Maori	1,284	6	6	153	<b>1,215</b>	0.88
	Pacific	6	339	6	15	<b>342</b>	0.96
	Asian	.	6	144	18	<b>159</b>	1.06
	Remainder	93	9	6	4,821	<b>5,172</b>	1.03
	<b>Total</b>	<b>1,377</b>	<b>357</b>	<b>150</b>	<b>5,004</b>		
65-74 years	Maori	1,110	6	.	141	<b>1,074</b>	0.91
	Pacific	6	312	6	15	<b>312</b>	0.97
	Asian	.	.	180	12	<b>183</b>	0.97
	Remainder	69	9	9	7,230	<b>7,515</b>	1.02
	<b>Total</b>	<b>1,179</b>	<b>321</b>	<b>189</b>	<b>7,395</b>		
75-84 years	Maori	684	6	.	156	<b>657</b>	0.87
	Pacific	6	264	6	24	<b>267</b>	0.92
	Asian	.	6	162	21	<b>183</b>	1.02
	Remainder	72	21	18	15,453	<b>15,774</b>	1.01
	<b>Total</b>	<b>756</b>	<b>291</b>	<b>180</b>	<b>15,651</b>		
>=85 years	Maori	234	.	.	78	<b>207</b>	0.79
	Pacific	.	102	.	15	<b>102</b>	0.85

Age	Census Sole Ethnicity	Mortality Sole Ethnicity				Total Census Deaths	Census to Mortality Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
	Asian	.	6	96	12	<b>99</b>	0.97
	Remainder	27	15	6	15,627	<b>15,804</b>	1.00
	<b>Total</b>	<b>261</b>	<b>120</b>	<b>102</b>	<b>15,732</b>		

## NZ Deprivation

Most ethnicity counts are close to 1.00, although there appears to be an overestimation in the mortality records, relative to the census data, for the lower NZdep deciles for total and prioritised Pacific ethnicity as well as sole Maori ethnicity (i.e. ratios < 1.0).

**Table 23: Census Total Ethnicity by Mortality Total Ethnicity stratified by NZdeprivation, 2004-06.**

NZ Deprivation Index	Total Ethnicity	Census Deaths	Mortality Deaths	Census to Mortality Ratio
Dep 1-4	Maori	696	672	1.04
	Non Maori	17,145	17,169	1.00
	Pacific	147	174	0.84
	Non Pacific	17,691	17,667	1.00
	Asian	303	318	0.95
	Non Asian	17,538	17,523	1.00
	Non MPA	16,977	16,824	1.01
	Maori/Pacific/Asian	864	1,014	0.85
Dep 5-6 & Missing	Maori	687	678	1.01
	Non Maori	11,268	11,274	1.00
	Pacific	183	189	0.97
	Non Pacific	11,772	11,763	1.00
	Asian	180	171	1.05
	Non Asian	11,772	11,781	1.00
	Non MPA	11,118	11,022	1.01
	Maori/Pacific/Asian	834	930	0.90
Dep 7-8	Maori	1,140	1,164	0.98
	Non Maori	11,178	11,154	1.00
	Pacific	276	282	0.98
	Non Pacific	12,039	12,036	1.00
	Asian	171	177	0.97
	Non Asian	12,147	12,141	1.00
	Non MPA	10,992	10,839	1.01
	Maori/Pacific/Asian	1,326	1,479	0.90
Dep 9-10	Maori	2,616	2,691	0.97
	Non Maori	8,718	8,640	1.01
	Pacific	840	834	1.01
	Non Pacific	10,491	10,497	1.00

NZ Deprivation Index	Total Ethnicity	Census Deaths	Mortality Deaths	Census to Mortality Ratio
	Asian	150	153	0.98
	Non Asian	11,184	11,181	1.00
	Non MPA	8,175	7,845	1.04
	Maori/Pacific/Asian	3,156	3,489	0.90

**Table 24: Census Prioritised Ethnicity by Mortality Prioritised Ethnicity stratified by NZdeprivation, 2004-06.**

NZ Deprivation Index	Census Prioritised Ethnicity	Mortality Prioritised Ethnicity				Total Census Deaths	Census to Mortality Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
Dep 1-4	Maori	576	6	6	111	<b>696</b>	1.04
	Pacific	6	132	.	12	<b>144</b>	0.86
	Asian	.	15	276	6	<b>297</b>	0.98
	Non MPA	96	21	24	16,566	<b>16,707</b>	1.00
	<b>Total</b>	<b>672</b>	<b>168</b>	<b>303</b>	<b>16,698</b>		
Dep 5-6 & Missing	Maori	594	.	.	90	<b>687</b>	1.01
	Pacific	6	156	6	9	<b>168</b>	0.90
	Asian	.	15	150	9	<b>174</b>	1.12
	Non MPA	78	15	9	10,821	<b>10,923</b>	1.00
	<b>Total</b>	<b>678</b>	<b>186</b>	<b>156</b>	<b>10,935</b>		
Dep 7-8	Maori	1,038	6	.	102	<b>1,140</b>	0.98
	Pacific	6	249	6	18	<b>267</b>	0.96
	Asian	.	6	159	6	<b>171</b>	1.00
	Non MPA	126	24	9	10,581	<b>10,743</b>	1.00
	<b>Total</b>	<b>1,164</b>	<b>279</b>	<b>171</b>	<b>10,704</b>		
Dep 9-10	Maori	2,484	6	6	123	<b>2,616</b>	0.97
	Pacific	12	783	6	15	<b>810</b>	0.99
	Asian	.	6	132	9	<b>141</b>	1.00
	Non MPA	195	27	9	7,542	<b>7,767</b>	1.01
	<b>Total</b>	<b>2,691</b>	<b>816</b>	<b>141</b>	<b>7,686</b>		

**Table 25: Census Sole Ethnicity by Mortality Sole Ethnicity stratified by NZdeprivation, 2004-06.**

NZ Deprivation Index	Census Sole Ethnicity	Mortality Sole Ethnicity				Total Census Deaths	Census to Mortality Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
Dep 1-4	Maori	504	6	6	186	<b>465</b>	0.82
	Pacific	6	120	.	24	<b>111</b>	0.79
	Asian	.	6	264	33	<b>285</b>	0.99
	Remainder	66	18	18	16,602	<b>16,980</b>	1.01
	<b>Total</b>	<b>570</b>	<b>141</b>	<b>288</b>	<b>16,845</b>		
Dep 5-6 & Missing	Maori	549	.	.	138	<b>510</b>	0.84
	Pacific	6	144	6	21	<b>144</b>	0.91
	Asian	.	6	141	27	<b>159</b>	1.06



NZ Deprivation Index	Census Sole Ethnicity	Mortality Sole Ethnicity				Total Census Deaths	Census to Mortality Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
	Remainder	54	12	9	10,854	<b>11,133</b>	1.01
	<b>Total</b>	<b>606</b>	<b>159</b>	<b>150</b>	<b>11,040</b>		
Dep 7-8	Maori	963	6	.	177	<b>912</b>	0.87
	Pacific	6	234	6	30	<b>240</b>	0.94
	Asian	.	6	156	12	<b>162</b>	1.00
	Remainder	87	21	6	10,629	<b>11,001</b>	1.01
	<b>Total</b>	<b>1,053</b>	<b>255</b>	<b>162</b>	<b>10,848</b>		
Dep 9-10	Maori	2,370	6	6	237	<b>2,205</b>	0.87
	Pacific	9	765	6	30	<b>786</b>	1.00
	Asian	.	6	129	9	<b>132</b>	0.96
	Remainder	156	15	9	7,590	<b>8,205</b>	1.04
	<b>Total</b>	<b>2,538</b>	<b>789</b>	<b>138</b>	<b>7,869</b>		

## RHA

Ethnicity counts in the census and mortality data are largely concordant when stratified by regional health authority. However, Maori ethnicity counts are approximately equal for the census and mortality data across all RHAs when using total or prioritised ethnicity definitions, but are largely overestimated for sole ethnicity in the mortality data relative to census data, especially so in the Southern RHA (ratio = 0.77; Table 29). This suggests, similar to the 2001-2004 cohort, underrecording of multiple ethnicities on mortality data relative to census data is most prominent in Southern New Zealand.

**Table 26: Census Total Ethnicity by Mortality Total Ethnicity stratified by RHA, 2004-06.**

RHA	Total Ethnicity	Census Deaths	Mortality Deaths	Census to Mortality Ratio
Northern	Maori	1,566	1,596	0.98
	Non Maori	13,764	13,734	1.00
	Pacific	1,023	1,059	0.97
	Non Pacific	14,310	14,271	1.00
	Asian	459	471	0.97
	Non Asian	14,877	14,862	1.00
	Non MPA	12,681	12,486	1.02
	Maori/Pacific/Asian	2,649	2,844	0.93
Midland	Maori	1,965	1,989	0.99
	Non Maori	9,651	9,624	1.00
	Pacific	102	84	1.21
	Non Pacific	11,514	11,529	1.00
	Asian	63	69	0.91
	Non Asian	11,553	11,547	1.00
	Non MPA	9,831	9,591	1.03

RHA	Total Ethnicity	Census Deaths	Mortality Deaths	Census to Mortality Ratio
	Maori/Pacific/Asian	1,782	2,022	0.88
Central	Maori	1,200	1,227	0.98
	Non Maori	12,516	12,486	1.00
	Pacific	246	255	0.96
	Non Pacific	13,467	13,461	1.00
	Asian	198	189	1.05
	Non Asian	13,518	13,527	1.00
	Non MPA	12,390	12,186	1.02
	Maori/Pacific/Asian	1,323	1,530	0.86
Southern	Maori	405	393	1.03
	Non Maori	12,378	12,390	1.00
	Pacific	78	84	0.93
	Non Pacific	12,702	12,699	1.00
	Asian	90	90	1.00
	Non Asian	12,693	12,693	1.00
	Non MPA	12,357	12,267	1.01
	Maori/Pacific/Asian	423	516	0.82

**Table 27: Census Prioritised Ethnicity by Mortality Prioritised Ethnicity stratified by RHA, 2004-06.**

RHA	Census Prioritised Ethnicity	Mortality Prioritised Ethnicity				Total Census Deaths	Census to Mortality Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
Northern	Maori	1,428	6	6	135	<b>1,566</b>	0.98
	Pacific	15	960	6	18	<b>993</b>	0.96
	Asian	.	24	411	9	<b>447</b>	1.03
	Non MPA	156	51	18	12,099	<b>12,327</b>	1.01
	<b>Total</b>	<b>1,596</b>	<b>1,038</b>	<b>435</b>	<b>12,264</b>		
Midland	Maori	1,830	6	.	129	<b>1,965</b>	0.99
	Pacific	6	72	6	15	<b>87</b>	1.07
	Asian	.	6	54	6	<b>60</b>	0.95
	Non MPA	159	6	12	9,330	<b>9,504</b>	1.00
	<b>Total</b>	<b>1,992</b>	<b>81</b>	<b>63</b>	<b>9,477</b>		
Central	Maori	1,110	6	.	87	<b>1,200</b>	0.98
	Pacific	6	219	6	6	<b>231</b>	0.94
	Asian	.	6	177	9	<b>189</b>	1.03
	Non MPA	114	18	6	11,955	<b>12,096</b>	1.00
	<b>Total</b>	<b>1,227</b>	<b>246</b>	<b>183</b>	<b>12,057</b>		
Southern	Maori	324	6	6	75	<b>405</b>	1.04
	Pacific	.	69	.	9	<b>78</b>	0.93
	Asian	.	6	72	9	<b>87</b>	1.04
	Non MPA	69	12	12	12,126	<b>12,216</b>	1.00
	<b>Total</b>	<b>390</b>	<b>84</b>	<b>84</b>	<b>12,222</b>		

**Table 28: Census Sole Ethnicity by Mortality Sole Ethnicity stratified by RHA, 2004-06.**

RHA	Census Sole Ethnicity	Mortality Sole Ethnicity				Total Census Deaths	Census to Mortality Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
Northern	Maori	1,290	6	6	273	<b>1,236</b>	0.88
	Pacific	15	924	6	57	<b>936</b>	0.97
	Asian	.	6	408	36	<b>441</b>	1.04
	Remainder	102	36	15	12,177	<b>12,717</b>	1.01
	<b>Total</b>	<b>1,407</b>	<b>966</b>	<b>426</b>	<b>12,540</b>		
Midland	Maori	1,761	6	.	201	<b>1,647</b>	0.88
	Pacific	6	66	6	18	<b>72</b>	1.00
	Asian	.	.	51	6	<b>51</b>	0.81
	Remainder	117	6	12	9,375	<b>9,840</b>	1.03
	<b>Total</b>	<b>1,881</b>	<b>72</b>	<b>63</b>	<b>9,597</b>		
Central	Maori	1,032	6	.	165	<b>933</b>	0.83
	Pacific	6	204	6	24	<b>204</b>	0.91
	Asian	.	6	165	21	<b>177</b>	1.04
	Remainder	87	15	6	11,985	<b>12,402</b>	1.02
	<b>Total</b>	<b>1,122</b>	<b>225</b>	<b>171</b>	<b>12,198</b>		
Southern	Maori	303	6	6	96	<b>276</b>	0.77
	Pacific	.	69	.	9	<b>69</b>	0.88
	Asian	.	.	69	18	<b>72</b>	0.92
	Remainder	54	9	12	12,144	<b>12,360</b>	1.01
	<b>Total</b>	<b>357</b>	<b>78</b>	<b>78</b>	<b>12,267</b>		

## Rurality

Ethnicity counts in the census and mortality data are largely concordant when stratified by rurality for Maori and nonMPA individuals. Numbers are too small for Asian and Pacific ethnicities in rural areas for any strong conclusions to be drawn.

**Table 29: Census Total Ethnicity by Mortality Total Ethnicity stratified by Rurality, 2004-06.**

Rurality	Total Ethnicity	Census Deaths	Mortality Deaths	Census to Mortality Ratio
All Urban	Maori	4,107	4,149	0.99
	Non Maori	44,007	43,962	1.00
	Pacific	1,428	1,458	0.98
	Non Pacific	46,689	46,656	1.00
	Asian	792	807	0.98
	Non Asian	47,322	47,307	1.00
	Non MPA	42,840	42,219	1.01
	Maori/Pacific/Asian	5,274	5,898	0.89
NonUrban or Missing	Maori	1,029	1,056	0.97

Rurality	Total Ethnicity	Census Deaths	Mortality Deaths	Census to Mortality Ratio
	Non Maori	4,299	4,275	1.01
	Pacific	27	24	1.13
	Non Pacific	5,304	5,304	1.00
	Asian	15	9	1.67
	Non Asian	5,316	5,319	1.00
	Non MPA	4,422	4,311	1.03
	Maori/Pacific/Asian	906	1,017	0.89

**Table 30: Census Prioritised Ethnicity by Mortality Prioritised Ethnicity stratified by Rurality, 2004-06.**

Rurality	Census Prioritised Ethnicity	Mortality Prioritised Ethnicity				Total Census Deaths	Census to Mortality Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
All Urban	Maori	3,732	12	6	360	<b>4,107</b>	0.99
	Pacific	18	1,299	6	45	<b>1,365</b>	0.96
	Asian	.	33	708	27	<b>768</b>	1.01
	Non MPA	399	84	45	41,343	<b>41,874</b>	1.00
	<b>Total</b>	<b>4,152</b>	<b>1,425</b>	<b>762</b>	<b>41,775</b>		
NonUrban or Missing	Maori	960	6	.	69	<b>1,029</b>	0.98
	Pacific	6	18	.	6	<b>24</b>	1.00
	Asian	.	.	6	6	<b>9</b>	1.00
	Non MPA	93	6	6	4,170	<b>4,269</b>	1.01
	<b>Total</b>	<b>1,053</b>	<b>24</b>	<b>9</b>	<b>4,245</b>		

**Table 31: Census Sole Ethnicity by Mortality Sole Ethnicity stratified by Rurality, 2004-06.**

Rurality	Census Sole Ethnicity	Mortality Sole Ethnicity				Total Census Deaths	Census to Mortality Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
All Urban	Maori	3,465	12	6	627	<b>3,213</b>	0.85
	Pacific	18	1,242	6	102	<b>1,266</b>	0.96
	Asian	.	6	684	78	<b>735</b>	1.01
	Remainder	294	60	36	41,484	<b>42,903</b>	1.01
	<b>Total</b>	<b>3,777</b>	<b>1,320</b>	<b>729</b>	<b>42,291</b>		
NonUrban or Missing	Maori	918	.	.	111	<b>882</b>	0.89
	Pacific	6	18	.	6	<b>21</b>	1.17
	Asian	.	.	6	6	<b>9</b>	1.00
	Remainder	69	6	6	4,197	<b>4,422</b>	1.03
	<b>Total</b>	<b>987</b>	<b>18</b>	<b>9</b>	<b>4,314</b>		

## Cause of Death

Ethnicity counts in the census and mortality data are largely concordant when stratified by cause of death. Note that a similar pattern to that found with RHA stratification can be seen for the Maori unlock ratios; greater overestimation of Maori in mortality relative to census data, across all ICDs for sole ethnicity compared to total or prioritised ethnicity.

**Table 32: Census Total Ethnicity by Mortality Total Ethnicity stratified by ICD, 2004-06.**

Cause of Death	Total Ethnicity	Census Deaths	Mortality Deaths	Census to Mortality Ratio
Cancer	Maori	1,545	1,515	1.02
	Non Maori	13,746	13,776	1.00
	Pacific	372	381	0.98
	Non Pacific	14,919	14,910	1.00
	Asian	270	279	0.97
	Non Asian	15,024	15,012	1.00
	Non MPA	13,485	13,275	1.02
	Maori/Pacific/Asian	1,806	2,013	0.90
CVD & IHD	Maori	1,683	1,695	0.99
	Non Maori	19,587	19,575	1.00
	Pacific	528	558	0.95
	Non Pacific	20,742	20,712	1.00
	Asian	282	279	1.01
	Non Asian	20,988	20,991	1.00
	Non MPA	19,131	18,912	1.01
	Maori/Pacific/Asian	2,139	2,358	0.91
Respiratory	Maori	375	396	0.95
	Non Maori	3,312	3,291	1.01
	Pacific	72	78	0.92
	Non Pacific	3,612	3,606	1.00
	Asian	30	30	1.00
	Non Asian	3,654	3,654	1.00
	Non MPA	3,288	3,213	1.02
	Maori/Pacific/Asian	399	471	0.85
Unintentional Injury, Suicide, Violent	Maori	621	657	0.95
	Non Maori	2,436	2,403	1.01
	Pacific	129	108	1.19
	Non Pacific	2,931	2,952	0.99
	Asian	72	60	1.20
	Non Asian	2,988	2,997	1.00
	Non MPA	2,454	2,337	1.05
	Maori/Pacific/Asian	606	723	0.84
Other Causes	Maori	912	942	0.97

Cause of Death	Total Ethnicity	Census Deaths	Mortality Deaths	Census to Mortality Ratio
	Non Maori	9,225	9,195	1.00
	Pacific	351	357	0.98
	Non Pacific	9,786	9,783	1.00
	Asian	156	165	0.95
	Non Asian	9,981	9,972	1.00
	Non MPA	8,904	8,790	1.01
	Maori/Pacific/Asian	1,233	1,347	0.92

**Table 33: Census Prioritised Ethnicity by Mortality Prioritised Ethnicity stratified by ICD, 2004-06.**

Cause of Death	Census Prioritised Ethnicity	Mortality Prioritised Ethnicity				Total Census Deaths	Census to Mortality Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
Cancer	Maori	1,374	6	6	168	<b>1,545</b>	1.02
	Pacific	6	345	6	12	<b>360</b>	0.96
	Asian	.	9	240	12	<b>264</b>	1.00
	Non MPA	141	18	21	12,945	<b>13,125</b>	1.00
	<b>Total</b>	<b>1,515</b>	<b>375</b>	<b>264</b>	<b>13,140</b>		
CVD & IHD	Maori	1,545	6	.	129	<b>1,683</b>	0.99
	Pacific	6	501	6	9	<b>516</b>	0.93
	Asian	.	15	258	6	<b>273</b>	1.02
	Non MPA	144	33	12	18,612	<b>18,801</b>	1.00
	<b>Total</b>	<b>1,695</b>	<b>555</b>	<b>267</b>	<b>18,756</b>		
Respiratory	Maori	342	6	.	30	<b>375</b>	0.95
	Pacific	.	66	.	6	<b>69</b>	0.88
	Asian	.	6	24	6	<b>27</b>	1.00
	Non MPA	51	9	6	3,150	<b>3,213</b>	1.01
	<b>Total</b>	<b>396</b>	<b>78</b>	<b>27</b>	<b>3,186</b>		
Unintentional Injury, Suicide, Violent	Maori	573	6	.	48	<b>621</b>	0.95
	Pacific	6	81	.	15	<b>102</b>	1.06
	Asian	.	6	54	6	<b>66</b>	1.16
	Non MPA	78	9	6	2,181	<b>2,268</b>	1.01
	<b>Total</b>	<b>657</b>	<b>96</b>	<b>57</b>	<b>2,247</b>		
Other Causes	Maori	858	6	6	51	<b>912</b>	0.97
	Pacific	6	324	6	12	<b>342</b>	0.99
	Asian	.	6	138	6	<b>150</b>	0.94
	Non MPA	81	15	15	8,622	<b>8,733</b>	1.00
	<b>Total</b>	<b>945</b>	<b>345</b>	<b>159</b>	<b>8,691</b>		

**Table 34: Census Sole Ethnicity by Mortality Sole Ethnicity stratified by ICD, 2004-06.**

Cause of Death	Census Sole Ethnicity	Mortality Sole Ethnicity				Total Census Deaths	Census to Mortality Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		

Cause of Death	Census Sole Ethnicity	Mortality Sole Ethnicity				Total Census Deaths	Census to Mortality Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
Cancer	Maori	1,296	6	6	249	<b>1,215</b>	0.87
	Pacific	6	333	6	24	<b>330</b>	0.95
	Asian	.	6	234	27	<b>246</b>	0.98
	Remainder	96	12	15	12,996	<b>13,503</b>	1.02
	<b>Total</b>	<b>1,395</b>	<b>348</b>	<b>252</b>	<b>13,299</b>		
CVD & IHD	Maori	1,461	6	.	216	<b>1,380</b>	0.88
	Pacific	6	483	6	27	<b>483</b>	0.94
	Asian	.	6	243	27	<b>267</b>	1.07
	Remainder	105	24	6	18,663	<b>19,143</b>	1.01
	<b>Total</b>	<b>1,575</b>	<b>516</b>	<b>249</b>	<b>18,930</b>		
Respiratory	Maori	330	6	.	45	<b>303</b>	0.82
	Pacific	.	66	.	6	<b>66</b>	0.92
	Asian	.	6	24	6	<b>24</b>	0.89
	Remainder	39	6	6	3,165	<b>3,294</b>	1.02
	<b>Total</b>	<b>369</b>	<b>72</b>	<b>27</b>	<b>3,216</b>		
Unintentional Injury, Suicide, Violent	Maori	507	.	.	111	<b>444</b>	0.77
	Pacific	6	69	.	24	<b>81</b>	1.04
	Asian	.	6	51	15	<b>60</b>	1.18
	Remainder	60	6	6	2,202	<b>2,469</b>	1.05
	<b>Total</b>	<b>576</b>	<b>78</b>	<b>51</b>	<b>2,352</b>		
Other Causes	Maori	792	6	6	117	<b>753</b>	0.88
	Pacific	6	309	6	30	<b>324</b>	1.00
	Asian	.	6	138	12	<b>144</b>	0.94
	Remainder	60	12	15	8,652	<b>8,913</b>	1.01
	<b>Total</b>	<b>855</b>	<b>324</b>	<b>153</b>	<b>8,808</b>		

## Unlock Ratios for NHI data

As some researchers and health analysts use NHI ethnicity, unlock ratios from census and NHI data were calculated and shown below. Note these analyses use NHI ethnicity at event of death

There is considerably greater overestimation of mortality total and prioritised counts using NHI ethnicity data, compared to census, for Maori, Pacific and Asian ethnicities (ratios ranging from 1.01-1.20)

**Table 35: Census Total Ethnicity by NHI Total Ethnicity, 2004-06.**

Total Ethnicity	Census Deaths	NHI Deaths	Census to NHI Ratio
Maori	5,136	4,536	1.13
Non Maori	48,306	48,909	0.99
Pacific	1,449	1,293	1.12
Non Pacific	51,993	52,149	1.00
Asian	804	681	1.18

Total Ethnicity	Census Deaths	NHI Deaths	Census to NHI Ratio
Non Asian	52,638	52,761	1.00
Non MPA	47,262	47,328	1.00
Maori/Pacific/Asian	6,180	6,114	1.01



## **Conclusions**

These results generally resemble that obtained with the 2001-2004 unlock data; there is little difference in the Census and Mortality ethnicity counts when using the Total and Prioritised definitions. Sole ethnicity counts for census and mortality data, and ethnicity counts for all three definitions when comparing census and NHI data appear to be less congruent.

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## Appendix A

**Table 36: Variables and formats in Bias dataset**

Variable	Type	Format	Description
AgeAtCensus_mths_m	Num		Age at Census (Mortality)
DHB_m	Char		District Health Board 2001 (Mortality)
Eth_A_Mort	Num	FEETH.	Asian recorded on Mortality [.,0,4]
Eth_A_NHI	Num	FEETH.	Asian recorded on NHI [.,0,4]
Eth_E_Mort	Num	FEETH.	NZ European/Pakeha recorded on Mortality [.,0,6]
Eth_E_NHI	Num	FEETH.	NZ European/Pakeha recorded on NHI [.,0,6]
Eth_M_Mort	Num	FEETH.	NZ Maori recorded on Mortality [.,0,1]
Eth_M_NHI	Num	FEETH.	NZ Maori recorded on NHI [.,0,1]
Eth_O_Mort	Num	FEETH.	nonMaori nonPacific nonAsian recorded on Mortality [.,0,5]
Eth_O_NHI	Num	FEETH.	nonMaori nonPacific nonAsian recorded on NHI [0,5]
Eth_P_Mort	Num	FEETH.	Pacific recorded on Mortality [.,0,2]
Eth_P_NHI	Num	FEETH.	Pacific recorded on NHI [.,0,2]
EthnicG1_m	Char		Detailed Ethnicity Option 1 (Mortality)
EthnicG2_m	Char		Detailed Ethnicity Option 2 (Mortality)
EthnicG3_m	Char		Detailed Ethnicity Option 3 (Mortality)
EthnicGp_m	Char		Detailed Ethnicity Prioritised (Mortality)
G_Rurality_mort	Num	F6RUR.	Rurality Indicator (Mortality)
ICD_Gp	Char	\$FMAJIC	Underlying Cause of Death
ID_BiasNew	Char		Unique Bias ID for NZCMS 2004-6 linkage
Link	Num	FLINK.	Matching Flag 1=Linked, 0=Not
MobilityGp_mort	Num		AU Mobility Indicator (% of AU moved since 5 years ago) (Mortality)
MthsSinceCen_m	Num		Months Since Census at Death
MthsSince_Diff	Num		
NZDep2001_m	Num	FDEPS.	NZ 2001 Deprivation Deciles (Mortality)
PriorEth_A_m	Num		Prioritised Ethnicity Asian (Mortality)
PriorEth_M_m	Num		Prioritised Ethnicity Maori (Mortality)
PriorEth_O_m	Num		Prioritised Ethnicity NonMPA (Mortality)
PriorEth_P_m	Num		Prioritised Ethnicity Pacific (Mortality)
PriorEth_m	Num	FEETH.	Prioritised Ethnicity (Mortality)
Sex_Mort	Num	FVSEX.	Sex from Mortality dataset
Source	Num		Dataset Source (2001 or 2004)
TA_mort	Num	F95TLA.	TLA (Mortality)
Unlock	Num		Flag whether in unlock dataset
cenage_months	Num		Age at Census (months) using Census Date of Birth
id_bias	Char		ID variable
sf01_fdec_mort	Num		2001 full socfrag decile (Mortality)

**Table 37: Variables and Formats in Unlock (04-06) dataset**

Variable	Type	Format	Description
AgeC_mths_m	Num		Age at Census (months) using Mortality Date of Birth
AgeCen_Cen	Num		Age at Census (yrs) using Census Date of Birth
AgeCen_m	Num		Age at Census using Mortality Date of Birth
DHB_m	Char		District Health Board 2001 (Mortality)
Eth_A_Mort	Num	FEETH.	Asian recorded on Mortality [.,0,4]
Eth_A_NHI	Num	FEETH.	Asian recorded on NHI [.,0,4]
Eth_E_Mort	Num	FEETH.	NZ European/Pakeha recorded on Mortality [.,0,6]
Eth_E_NHI	Num	FEETH.	NZ European/Pakeha recorded on NHI [.,0,6]
Eth_M_Mort	Num	FEETH.	NZ Maori recorded on Mortality [.,0,1]
Eth_M_NHI	Num	FEETH.	NZ Maori recorded on NHI [.,0,1]
Eth_O_Mort	Num	FEETH.	nonMaori nonPacific nonAsian recorded on Mortality [.,0,5]
Eth_O_NHI	Num	FEETH.	nonMaori nonPacific nonAsian recorded on NHI [.,0,5]
Eth_P_Mort	Num	FEETH.	Pacific recorded on Mortality [.,0,2]
Eth_P_NHI	Num	FEETH.	Pacific recorded on NHI [.,0,2]
EthnicG1_m	Char		Detailed Ethnicity Option 1 (Mortality)
EthnicG2_m	Char		Detailed Ethnicity Option 2 (Mortality)
EthnicG3_m	Char		Detailed Ethnicity Option 3 (Mortality)
EthnicGp_m	Char		Detailed Ethnicity Prioritised (Mortality)
G_Rurality	Num		Rurality Indicator
G_TA2001	Num		Territorial Authority
G_URProfile	Num		Usual Residence Profile
ICD_Dt	Char	\$FICDDT	ICD Cause of Death Further Details
ICD_Gp	Char	\$FNICD.	Underlying Cause of Death
Link	Num		Matching Flag 1=Linked, 0=Not
MBAU	Char		MB=Meshblock pass, AU=AreaUnit Pass, CM=CR MB pass, CA=CR AU pass
MobilityGp	Num		AU Mobility Indicator (% of AU moved since 5 years ago)
MthsSinceCen	Num		Months since census before death
NZDep2001_m	Num		NZ 2001 Deprivation Deciles (Mortality)
PassGp	Num		Pass for NZCMS 2004-6 (Grpd: 7 & 8 -> 708, 11 & 13 -->1113)
RC_m	Char		Regional Council 2001 (Mortality)
RHA_m	Char		RHA 2001 (Mortality)
SameBirthDate	Char		Census and Mortality agree on Birthdate
Sex_Mort	Num	FVSEX.	Sex from Mortality dataset
UnLockFlag	Num		UnLockFlag 1=Exact for Sex, Day, Mth, Year, COB, otherwise = 0
cenage_months	Num		Age at Census (months) using Census Date of Birth
cob_cen	Num		Country of Birth using Census
dthage_cen	Num		Death Age using Census date of birth
dthage_months_cen	Num		Death Age (months) using Census date of birth
dthage_months_mort	Num		Death Age (months) using Mortality date of birth
eth_asian_cen	Num		Asian recorded on Census
eth_nonmpa_cen	Num		NonMaoriNonPacificNonAsian recorded on Census
eth_pacific_cen	Num		Pacific recorded on Census
ethnic_grp1_code	Char	\$5	ethnic_grp1_code
ethnic_grp2_code	Char	\$5	ethnic_grp2_code
ethnic_grp3_code	Char	\$5	ethnic_grp3_code
ethnic_grp4_code	Char	\$5	ethnic_grp4_code
ethnic_grp5_code	Char	\$5	ethnic_grp5_code

ethnic_grp6_code	Char	\$5	ethnic_grp6_code
ethnicity_cen	Num		Census ethnicity
id_unlock	Char		Unique Unlock id
sex_cen	Num		Sex from Census dataset
sf01_fdec	Num		2001 full socfrag decile

## Appendix B

**Table 38: Census Prioritised Ethnicity by NHI Prioritised Ethnicity, 2004-06.**

Census Prioritised Ethnicity	NHI Prioritised Ethnicity				Total Census Deaths	Census to NHI Ratio
	Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
Maori	4,161	9	6	963	<b>5,136</b>	1.13
Pacific	30	1,188	6	162	<b>1,386</b>	1.08
Asian	.	33	627	120	<b>777</b>	1.20
Non MPA	342	45	18	45,735	<b>46,143</b>	0.98
<b>Total</b>	<b>4,533</b>	<b>1,278</b>	<b>648</b>	<b>46,983</b>		

**Table 39: Census Sole Ethnicity by NHI Sole Ethnicity, 2004-06.**

Census Sole Ethnicity	NHI Sole Ethnicity				Total Census Deaths	Census to NHI Ratio
	Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
Maori	3,912	9	6	1,215	<b>4,095</b>	0.97
Pacific	30	1,167	6	183	<b>1,284</b>	1.05
Asian	.	9	621	150	<b>744</b>	1.16
Remainder	264	33	12	45,828	<b>47,322</b>	1.00
<b>Total</b>	<b>4,206</b>	<b>1,221</b>	<b>642</b>	<b>47,376</b>		

**Table 40: Census Prioritised Ethnicity by NHI Prioritised Ethnicity, 2004-06.**

Census Prioritised Ethnicity	NHI Prioritised Ethnicity				Total Census Deaths	Census to NHI Ratio
	Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
Maori	4,161	9	6	963	<b>5,136</b>	1.13
Pacific	30	1,188	6	162	<b>1,386</b>	1.08
Asian	.	33	627	120	<b>777</b>	1.20
Non MPA	342	45	18	45,735	<b>46,143</b>	0.98
<b>Total</b>	<b>4,533</b>	<b>1,278</b>	<b>648</b>	<b>46,983</b>		

**Table 41: Census Sole Ethnicity by NHI Sole Ethnicity, 2004-06.**

Census Sole Ethnicity	NHI Sole Ethnicity				Total Census Deaths	Census to NHI Ratio
	Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
Maori	3,912	9	6	1,215	<b>4,095</b>	0.97
Pacific	30	1,167	6	183	<b>1,284</b>	1.05
Asian	.	9	621	150	<b>744</b>	1.16
Remainder	264	33	12	45,828	<b>47,322</b>	1.00
<b>Total</b>	<b>4,206</b>	<b>1,221</b>	<b>642</b>	<b>47,376</b>		

## Sex

**Table 42: Census Total Ethnicity by NHI Total Ethnicity stratified by Sex, 2004-06.**

Sex	Total Ethnicity	Census Deaths	NHI Deaths	Census to NHI Ratio
Males	Maori	2,793	2,397	1.17
	Non Maori	23,571	23,967	0.98
	Pacific	798	699	1.14
	Non Pacific	25,563	25,662	1.00
	Asian	459	384	1.20
	Non Asian	25,905	25,980	1.00
	Non MPA	22,932	23,064	0.99
	Maori/Pacific/Asian	3,429	3,300	1.04
Females	Maori	2,343	2,136	1.10
	Non Maori	24,735	24,942	0.99
	Pacific	651	594	1.10
	Non Pacific	26,430	26,487	1.00
	Asian	348	294	1.18
	Non Asian	26,733	26,784	1.00
	Non MPA	24,333	24,267	1.00
	Maori/Pacific/Asian	2,748	2,814	0.98

**Table 43: Census Prioritised Ethnicity by NHI Prioritised Ethnicity stratified by Sex, 2004-06.**

Sex	Census Prioritised Ethnicity	NHI Prioritised Ethnicity				Total Census Deaths	Census to NHI Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
Males	Maori	2,190	6	6	597	<b>2,793</b>	1.17
	Pacific	15	636	6	102	<b>756</b>	1.10
	Asian	.	24	348	69	<b>444</b>	1.22
	Non MPA	189	24	6	22,152	<b>22,368</b>	0.98
	<b>Total</b>	<b>2,397</b>	<b>690</b>	<b>363</b>	<b>22,917</b>		
Females	Maori	1,968	6	.	369	<b>2,343</b>	1.10
	Pacific	15	552	6	63	<b>630</b>	1.08
	Asian	.	9	276	51	<b>336</b>	1.17
	Non MPA	153	24	12	23,583	<b>23,772</b>	0.99
	<b>Total</b>	<b>2,136</b>	<b>585</b>	<b>288</b>	<b>24,066</b>		

**Table 44: Census Sole Ethnicity by NHI Sole Ethnicity stratified by Sex, 2004-06.**

Sex	Census Sole Ethnicity	NHI Sole Ethnicity				Total Census Deaths	Census to NHI Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
Males	Maori	2,085	6	6	702	<b>2,268</b>	1.01
	Pacific	15	624	6	108	<b>714</b>	1.09
	Asian	.	9	345	90	<b>417</b>	1.18
	Remainder	150	15	6	22,200	<b>22,965</b>	0.99
	<b>Total</b>	<b>2,250</b>	<b>654</b>	<b>354</b>	<b>23,100</b>		
Females	Maori	1,827	6	.	510	<b>1,827</b>	0.94
	Pacific	9	543	6	75	<b>570</b>	1.01
	Asian	.	6	273	60	<b>324</b>	1.15
	Remainder	114	18	9	23,628	<b>24,357</b>	1.00
	<b>Total</b>	<b>1,953</b>	<b>567</b>	<b>282</b>	<b>24,276</b>		

## Age

**Table 45: Census Total Ethnicity by NHI Total Ethnicity stratified by Age, 2004-06.**

Age	Total Ethnicity	Census Deaths	NHI Deaths	Census to NHI Ratio
0-14 years	Maori	69	66	1.05
	Non Maori	120	126	0.95
	Pacific	21	15	1.40
	Non Pacific	171	174	0.98
	Asian	18	15	1.20
	Non Asian	177	177	1.00
	Non MPA	126	108	1.17
	Maori/Pacific/Asian	66	84	0.79
15-34 years	Maori	459	384	1.20
	Non Maori	987	1,059	0.93
	Pacific	132	90	1.47
	Non Pacific	1,311	1,353	0.97
	Asian	39	24	1.63
	Non Asian	1,407	1,419	0.99
	Non MPA	1,017	978	1.04
	Maori/Pacific/Asian	426	465	0.92
35-49 years	Maori	765	699	1.09
	Non Maori	1,980	2,049	0.97
	Pacific	186	159	1.17
	Non Pacific	2,562	2,589	0.99
	Asian	87	75	1.16
	Non Asian	2,658	2,670	1.00
	Non MPA	1,890	1,875	1.01
	Maori/Pacific/Asian	858	873	0.98



Age	Total Ethnicity	Census Deaths	NHI Deaths	Census to NHI Ratio
50-64 years	Maori	1,443	1,266	1.14
	Non Maori	5,445	5,622	0.97
	Pacific	363	345	1.05
	Non Pacific	6,525	6,543	1.00
	Asian	171	141	1.21
	Non Asian	6,717	6,744	1.00
	Non MPA	5,157	5,238	0.98
	Maori/Pacific/Asian	1,728	1,647	1.05
65-74 years	Maori	1,251	1,131	1.11
	Non Maori	7,836	7,956	0.98
	Pacific	339	315	1.08
	Non Pacific	8,748	8,775	1.00
	Asian	192	168	1.14
	Non Asian	8,895	8,916	1.00
	Non MPA	7,512	7,554	0.99
	Maori/Pacific/Asian	1,575	1,530	1.03
75-84 years	Maori	840	741	1.13
	Non Maori	16,041	16,140	0.99
	Pacific	294	267	1.10
	Non Pacific	16,584	16,611	1.00
	Asian	189	165	1.15
	Non Asian	16,689	16,716	1.00
	Non MPA	15,765	15,786	1.00
	Maori/Pacific/Asian	1,113	1,095	1.02
85+ years	Maori	315	255	1.24
	Non Maori	15,900	15,957	1.00
	Pacific	120	108	1.11
	Non Pacific	16,092	16,104	1.00
	Asian	111	96	1.16
	Non Asian	16,101	16,116	1.00
	Non MPA	15,804	15,792	1.00
	Maori/Pacific/Asian	411	423	0.97

**Table 46: Census Prioritised Ethnicity by NHI Prioritised Ethnicity stratified by Age, 2004-06.**

Age	Census Prioritised Ethnicity	NHI Prioritised Ethnicity				Total Census Deaths	Census to NHI Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
0-14 years	Maori	60	6	.	6	<b>69</b>	1.05
	Pacific	.	12	.	.	<b>12</b>	0.80
	Asian	.	.	15	6	<b>18</b>	1.20
	Non MPA	6	.	.	93	<b>96</b>	0.97
	<b>Total</b>	<b>66</b>	<b>15</b>	<b>15</b>	<b>99</b>		
15-34 years	Maori	330	6	.	126	<b>459</b>	1.20
	Pacific	12	78	.	21	<b>111</b>	1.32
	Asian	.	6	18	6	<b>30</b>	1.43

Age	Census Prioritised Ethnicity	NHI Prioritised Ethnicity				Total Census Deaths	Census to NHI Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
35-49 years	Non MPA	42	6	6	798	<b>846</b>	0.89
	<b>Total</b>	<b>384</b>	<b>84</b>	<b>21</b>	<b>954</b>		
	Maori	642	6	6	126	<b>765</b>	1.09
	Pacific	9	144	.	21	<b>171</b>	1.12
	Asian	.	6	69	12	<b>84</b>	1.22
50-64 years	Non MPA	48	9	6	1,665	<b>1,722</b>	0.94
	<b>Total</b>	<b>699</b>	<b>153</b>	<b>69</b>	<b>1,824</b>		
	Maori	1,179	6	6	258	<b>1,443</b>	1.14
	Pacific	6	315	6	36	<b>354</b>	1.04
	Asian	.	12	126	27	<b>162</b>	1.23
65-74 years	Non MPA	81	9	6	4,830	<b>4,929</b>	0.96
	<b>Total</b>	<b>1,266</b>	<b>339</b>	<b>132</b>	<b>5,151</b>		
	Maori	1,053	6	.	195	<b>1,251</b>	1.11
	Pacific	6	300	6	27	<b>330</b>	1.06
	Asian	.	6	150	27	<b>189</b>	1.21
75-84 years	Non MPA	72	6	6	7,233	<b>7,317</b>	0.98
	<b>Total</b>	<b>1,131</b>	<b>312</b>	<b>156</b>	<b>7,485</b>		
	Maori	672	6	.	165	<b>840</b>	1.13
	Pacific	6	243	6	39	<b>288</b>	1.08
	Asian	.	6	156	27	<b>186</b>	1.17
85+ years	Non MPA	63	15	6	15,480	<b>15,564</b>	0.99
	<b>Total</b>	<b>741</b>	<b>267</b>	<b>159</b>	<b>15,714</b>		
	Maori	228	.	.	87	<b>315</b>	1.25
	Pacific	.	99	.	21	<b>120</b>	1.11
	Asian	.	6	90	15	<b>108</b>	1.16
	Non MPA	27	9	6	15,636	<b>15,672</b>	0.99
	<b>Total</b>	<b>252</b>	<b>108</b>	<b>93</b>	<b>15,756</b>		

**Table 47: Census Sole Ethnicity by NHI Sole Ethnicity stratified by Age, 2004-06.**

Age	Census Sole Ethnicity	NHI Sole Ethnicity				Total Census Deaths	Census to NHI Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
0-14 years	Maori	51	6	.	18	<b>39</b>	0.68
	Pacific	.	12	.	6	<b>9</b>	0.60
	Asian	.	.	15	6	<b>18</b>	1.20
	Remainder	6	.	.	93	<b>129</b>	1.19
	<b>Total</b>	<b>57</b>	<b>15</b>	<b>15</b>	<b>108</b>		
15-34 years	Maori	309	6	.	150	<b>294</b>	0.83
	Pacific	12	78	.	21	<b>87</b>	1.04
	Asian	.	.	18	9	<b>27</b>	1.50
	Remainder	36	6	6	810	<b>1,032</b>	1.05
	<b>Total</b>	<b>354</b>	<b>84</b>	<b>18</b>	<b>987</b>		
35-49 years	Maori	594	6	6	171	<b>609</b>	0.94
	Pacific	6	138	.	24	<b>162</b>	1.10
	Asian	.	6	69	12	<b>78</b>	1.13

Age	Census Sole Ethnicity	NHI Sole Ethnicity				Total Census Deaths	Census to NHI Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
50-64 years	Remainder	45	6	6	1,671	<b>1,899</b>	1.01
	<b>Total</b>	<b>645</b>	<b>147</b>	<b>69</b>	<b>1,881</b>		
	Maori	1,107	6	6	330	<b>1,215</b>	1.03
	Pacific	6	312	6	36	<b>342</b>	1.04
	Asian	.	6	126	33	<b>159</b>	1.20
65-74 years	Remainder	63	9	6	4,848	<b>5,172</b>	0.99
	<b>Total</b>	<b>1,176</b>	<b>330</b>	<b>132</b>	<b>5,250</b>		
	Maori	1,014	6	.	237	<b>1,074</b>	1.01
	Pacific	6	291	6	36	<b>312</b>	1.07
	Asian	.	.	150	39	<b>183</b>	1.17
75-84 years	Remainder	51	6	6	7,257	<b>7,515</b>	0.99
	<b>Total</b>	<b>1,068</b>	<b>291</b>	<b>156</b>	<b>7,569</b>		
	Maori	636	6	.	204	<b>657</b>	0.96
	Pacific	6	240	6	42	<b>267</b>	1.05
	Asian	.	6	150	36	<b>183</b>	1.17
85+ years	Remainder	45	9	6	15,504	<b>15,774</b>	1.00
	<b>Total</b>	<b>681</b>	<b>255</b>	<b>156</b>	<b>15,786</b>		
	Maori	207	.	.	105	<b>207</b>	0.92
	Pacific	.	93	.	24	<b>102</b>	1.03
	Asian	.	6	90	18	<b>99</b>	1.06
	Remainder	18	6	6	15,642	<b>15,804</b>	1.00
	<b>Total</b>	<b>225</b>	<b>99</b>	<b>93</b>	<b>15,792</b>		

## NZ Deprivation Index

**Table 48: Census Total Ethnicity by NHI Total Ethnicity stratified by NZ Deprivation, 2004-06.**

NZ Deprivation Index	Total Ethnicity	Census Deaths	NHI Deaths	Census to NHI Ratio
Dep 1-4	Maori	696	501	1.39
	Non Maori	17,145	17,340	0.99
	Pacific	147	135	1.09
	Non Pacific	17,691	17,709	1.00
	Asian	303	267	1.13
	Non Asian	17,538	17,574	1.00
	Non MPA	16,977	17,013	1.00
	Maori/Pacific/Asian	864	825	1.05
Dep 5-6 & Missing	Maori	687	570	1.21
	Non Maori	11,268	11,382	0.99
	Pacific	183	147	1.24
	Non Pacific	11,772	11,802	1.00
	Asian	180	144	1.25
	Non Asian	11,772	11,808	1.00
	Non MPA	11,118	11,148	1.00
	Maori/Pacific/Asian	834	804	1.04

NZ Deprivation Index	Total Ethnicity	Census Deaths	NHI Deaths	Census to NHI Ratio
Dep 7-8	Maori	1,140	1,056	1.08
	Non Maori	11,178	11,262	0.99
	Pacific	276	249	1.11
	Non Pacific	12,039	12,069	1.00
	Asian	171	147	1.16
	Non Asian	12,147	12,171	1.00
	Non MPA	10,992	10,962	1.00
	Maori/Pacific/Asian	1,326	1,356	0.98
Dep 9-10	Maori	2,616	2,406	1.09
	Non Maori	8,718	8,928	0.98
	Pacific	840	765	1.10
	Non Pacific	10,491	10,569	0.99
	Asian	150	123	1.22
	Non Asian	11,184	11,211	1.00
	Non MPA	8,175	8,202	1.00
	Maori/Pacific/Asian	3,156	3,126	1.01

**Table 49: Census Prioritised Ethnicity by NHI Prioritised Ethnicity stratified by NZ Deprivation, 2004-06.**

NZ Deprivation Index	Census Prioritised Ethnicity	NHI Prioritised Ethnicity				Total Census Deaths	Census to NHI Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
Dep 1-4	Maori	450	6	6	243	<b>696</b>	1.39
	Pacific	6	108	.	33	<b>144</b>	1.12
	Asian	.	9	249	36	<b>297</b>	1.14
	Non MPA	51	9	12	16,635	<b>16,707</b>	0.99
	<b>Total</b>	<b>501</b>	<b>129</b>	<b>261</b>	<b>16,947</b>		
Dep 5-6 & Missing	Maori	519	.	.	168	<b>687</b>	1.21
	Pacific	6	132	6	27	<b>168</b>	1.14
	Asian	.	6	141	30	<b>174</b>	1.21
	Non MPA	48	9	6	10,863	<b>10,923</b>	0.98
	<b>Total</b>	<b>570</b>	<b>147</b>	<b>144</b>	<b>11,094</b>		
Dep 7-8	Maori	945	6	.	198	<b>1,140</b>	1.08
	Pacific	12	222	6	30	<b>267</b>	1.07
	Asian	.	9	129	30	<b>171</b>	1.24
	Non MPA	102	15	6	10,620	<b>10,743</b>	0.99
	<b>Total</b>	<b>1,056</b>	<b>249</b>	<b>138</b>	<b>10,878</b>		
Dep 9-10	Maori	2,253	9	6	354	<b>2,616</b>	1.09
	Pacific	15	726	6	72	<b>810</b>	1.08
	Asian	.	9	105	24	<b>141</b>	1.31
	Non MPA	141	12	6	7,614	<b>7,767</b>	0.96
	<b>Total</b>	<b>2,406</b>	<b>750</b>	<b>108</b>	<b>8,064</b>		

**Table 50: Census Sole Ethnicity by NHI Sole Ethnicity stratified by NZ Deprivation, 2004-06.**

NZ Deprivation Index	Census Sole Ethnicity	NHI Sole Ethnicity				Total Census Deaths	Census to NHI Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
Dep 1-4	Maori	399	6	6	294	<b>465</b>	1.06
	Pacific	6	102	.	36	<b>111</b>	0.93
	Asian	.	6	249	42	<b>285</b>	1.10
	Remainder	39	9	12	16,647	<b>16,980</b>	1.00
	<b>Total</b>	<b>438</b>	<b>120</b>	<b>258</b>	<b>17,025</b>		
Dep 5-6 & Missing	Maori	480	.	.	204	<b>510</b>	0.98
	Pacific	6	129	6	30	<b>144</b>	1.02
	Asian	.	6	141	33	<b>159</b>	1.13
	Remainder	33	9	6	10,881	<b>11,133</b>	1.00
	<b>Total</b>	<b>519</b>	<b>141</b>	<b>141</b>	<b>11,151</b>		
Dep 7-8	Maori	894	6	.	246	<b>912</b>	0.93
	Pacific	12	222	6	30	<b>240</b>	1.01
	Asian	.	6	126	42	<b>162</b>	1.23
	Remainder	75	12	6	10,653	<b>11,001</b>	1.00
	<b>Total</b>	<b>978</b>	<b>237</b>	<b>132</b>	<b>10,971</b>		
Dep 9-10	Maori	2,139	9	6	468	<b>2,205</b>	0.97
	Pacific	12	714	6	84	<b>786</b>	1.08
	Asian	.	6	105	30	<b>132</b>	1.22
	Remainder	120	6	6	7,647	<b>8,205</b>	1.00
	<b>Total</b>	<b>2,271</b>	<b>729</b>	<b>108</b>	<b>8,229</b>		

## RHA

**Table 51: Census Total Ethnicity by NHI Total Ethnicity stratified by RHA, 2004-06.**

RHA	Total Ethnicity	Census Deaths	NHI Deaths	Census to NHI Ratio
Northern	Maori	1,566	1,374	1.14
	Non Maori	13,764	13,959	0.99
	Pacific	1,023	951	1.08
	Non Pacific	14,310	14,382	0.99
	Asian	459	405	1.13
	Non Asian	14,877	14,925	1.00
	Non MPA	12,681	12,759	0.99
	Maori/Pacific/Asian	2,649	2,574	1.03
Midland	Maori	1,965	1,839	1.07
	Non Maori	9,651	9,777	0.99
	Pacific	102	72	1.42
	Non Pacific	11,514	11,541	1.00
	Asian	63	54	1.17
	Non Asian	11,553	11,559	1.00

RHA	Total Ethnicity	Census Deaths	NHI Deaths	Census to NHI Ratio
Central	Non MPA	9,831	9,762	1.01
	Maori/Pacific/Asian	1,782	1,854	0.96
	Maori	1,200	1,014	1.18
	Non Maori	12,516	12,702	0.99
	Pacific	246	207	1.19
	Non Pacific	13,467	13,506	1.00
	Asian	198	150	1.32
	Non Asian	13,518	13,563	1.00
Southern	Non MPA	12,390	12,414	1.00
	Maori/Pacific/Asian	1,323	1,302	1.02
	Maori	405	309	1.31
	Non Maori	12,378	12,474	0.99
	Pacific	78	66	1.18
	Non Pacific	12,702	12,717	1.00
	Asian	90	69	1.30
	Non Asian	12,693	12,711	1.00
	Non MPA	12,357	12,393	1.00
	Maori/Pacific/Asian	423	387	1.09

**Table 52: Census Prioritised Ethnicity by NHI Prioritised Ethnicity stratified by RHA, 2004-06.**

RHA	Census Prioritised Ethnicity	NHI Prioritised Ethnicity				Total Census Deaths	Census to NHI Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
Northern	Maori	1,260	6	6	306	<b>1,566</b>	1.14
	Pacific	21	879	6	90	<b>993</b>	1.05
	Asian	.	21	372	51	<b>447</b>	1.16
	Non MPA	96	42	6	12,183	<b>12,327</b>	0.98
	<b>Total</b>	<b>1,374</b>	<b>942</b>	<b>384</b>	<b>12,636</b>		
Midland	Maori	1,707	6	.	255	<b>1,965</b>	1.07
	Pacific	6	63	6	18	<b>87</b>	1.32
	Asian	.	6	42	12	<b>60</b>	1.18
	Non MPA	129	6	6	9,375	<b>9,504</b>	0.98
	<b>Total</b>	<b>1,839</b>	<b>66</b>	<b>51</b>	<b>9,660</b>		
Central	Maori	921	6	.	273	<b>1,200</b>	1.18
	Pacific	6	192	6	33	<b>231</b>	1.13
	Asian	.	6	144	42	<b>189</b>	1.26
	Non MPA	84	6	6	11,997	<b>12,096</b>	0.98
	<b>Total</b>	<b>1,014</b>	<b>204</b>	<b>150</b>	<b>12,348</b>		
Southern	Maori	273	6	6	129	<b>405</b>	1.31
	Pacific	.	57	.	21	<b>78</b>	1.18
	Asian	.	6	66	18	<b>87</b>	1.32
	Non MPA	33	6	6	12,180	<b>12,216</b>	0.99
	<b>Total</b>	<b>309</b>	<b>66</b>	<b>66</b>	<b>12,345</b>		

**Table 53: Census Sole Ethnicity by NHI Sole Ethnicity stratified by RHA, 2004-06.**

RHA	Census Sole Ethnicity	NHI Sole Ethnicity				Total Census Deaths	Census to NHI Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
Northern	Maori	1,176	6	6	393	<b>1,236</b>	0.98
	Pacific	18	867	6	105	<b>936</b>	1.03
	Asian	.	9	372	63	<b>441</b>	1.17
	Remainder	66	27	6	12,228	<b>12,717</b>	0.99
	<b>Total</b>	<b>1,260</b>	<b>906</b>	<b>378</b>	<b>12,792</b>		
Midland	Maori	1,635	6	.	327	<b>1,647</b>	0.95
	Pacific	6	60	6	21	<b>72</b>	1.20
	Asian	.	.	42	12	<b>51</b>	1.00
	Remainder	93	6	6	9,408	<b>9,840</b>	1.01
	<b>Total</b>	<b>1,731</b>	<b>60</b>	<b>51</b>	<b>9,771</b>		
Central	Maori	861	6	.	333	<b>933</b>	0.98
	Pacific	6	186	6	39	<b>204</b>	1.03
	Asian	.	6	144	45	<b>177</b>	1.18
	Remainder	78	6	6	12,006	<b>12,402</b>	1.00
	<b>Total</b>	<b>948</b>	<b>198</b>	<b>150</b>	<b>12,417</b>		
Southern	Maori	243	6	6	162	<b>276</b>	1.03
	Pacific	.	54	.	21	<b>69</b>	1.21
	Asian	.	.	57	27	<b>72</b>	1.20
	Remainder	27	6	6	12,189	<b>12,360</b>	1.00
	<b>Total</b>	<b>267</b>	<b>57</b>	<b>60</b>	<b>12,396</b>		

## Rurality

**Table 54: Census Total Ethnicity by NHI Total Ethnicity stratified by Rurality, 2004-06.**

Rurality	Total Ethnicity	Census Deaths	NHI Deaths	Census to NHI Ratio
All Urban	Maori	4,107	3,603	1.14
	Non Maori	44,007	44,511	0.99
	Pacific	1,428	1,275	1.12
	Non Pacific	46,689	46,839	1.00
	Asian	792	672	1.18
	Non Asian	47,322	47,442	1.00
	Non MPA	42,840	42,903	1.00
	Maori/Pacific/Asian	5,274	5,211	1.01
NonUrban or Missing	Maori	1,029	930	1.11
	Non Maori	4,299	4,398	0.98
	Pacific	27	18	1.50
	Non Pacific	5,304	5,310	1.00
	Asian	15	9	1.67
	Non Asian	5,316	5,319	1.00

Rurality	Total Ethnicity	Census Deaths	NHI Deaths	Census to NHI Ratio
	Non MPA	4,422	4,425	1.00
	Maori/Pacific/Asian	906	903	1.00

**Table 55: Census Prioritised Ethnicity by NHI Prioritised Ethnicity stratified by Rurality, 2004-06.**

Rurality	Census Prioritised Ethnicity	NHI Prioritised Ethnicity				Total Census Deaths	Census to NHI Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
All Urban	Maori	3,291	9	6	807	<b>4,107</b>	1.14
	Pacific	30	1,173	6	156	<b>1,365</b>	1.09
	Asian	.	33	621	120	<b>768</b>	1.20
	Non MPA	282	45	18	41,529	<b>41,874</b>	0.98
	<b>Total</b>	<b>3,603</b>	<b>1,257</b>	<b>639</b>	<b>42,609</b>		
NonUrban or Missing	Maori	873	6	.	156	<b>1,029</b>	1.11
	Pacific	6	18	.	6	<b>24</b>	1.33
	Asian	.	.	6	6	<b>9</b>	1.00
	Non MPA	57	6	6	4,206	<b>4,269</b>	0.98
	<b>Total</b>	<b>930</b>	<b>18</b>	<b>9</b>	<b>4,374</b>		

**Table 56: Census Sole Ethnicity by NHI Sole Ethnicity stratified by Rurality, 2004-06.**

Rurality	Census Sole Ethnicity	NHI Sole Ethnicity				Total Census Deaths	Census to NHI Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
All Urban	Maori	3,084	9	6	1,017	<b>3,213</b>	0.96
	Pacific	27	1,155	6	177	<b>1,266</b>	1.05
	Asian	.	9	615	147	<b>735</b>	1.17
	Remainder	219	33	12	41,610	<b>42,903</b>	1.00
	<b>Total</b>	<b>3,330</b>	<b>1,206</b>	<b>630</b>	<b>42,948</b>		
NonUrban or Missing	Maori	828	.	.	198	<b>882</b>	1.00
	Pacific	6	15	.	9	<b>21</b>	1.40
	Asian	.	.	6	6	<b>9</b>	1.00
	Remainder	48	6	6	4,218	<b>4,422</b>	1.00
	<b>Total</b>	<b>879</b>	<b>15</b>	<b>9</b>	<b>4,425</b>		

## Cause of Death

**Table 57: Census Total Ethnicity by NHI Total Ethnicity stratified by ICD, 2004-06.**

Cause of Death	Census Prioritised Ethnicity	NHI Prioritised Ethnicity				Total Census Deaths	Census to NHI Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
Cancer	Maori	1,260	6	6	285	<b>1,545</b>	1.13



Cause of Death	Census Prioritised Ethnicity	NHI Prioritised Ethnicity				Total Census Deaths	Census to NHI Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
	Pacific	6	315	6	39	<b>360</b>	1.08
	Asian	.	6	225	30	<b>264</b>	1.14
	Non MPA	105	9	6	13,005	<b>13,125</b>	0.98
	<b>Total</b>	<b>1,371</b>	<b>333</b>	<b>231</b>	<b>13,356</b>		
CVD & IHD	Maori	1,350	6	.	327	<b>1,683</b>	1.15
	Pacific	6	444	6	63	<b>516</b>	1.07
	Asian	.	9	219	42	<b>273</b>	1.21
	Non MPA	99	24	6	18,675	<b>18,801</b>	0.98
	<b>Total</b>	<b>1,458</b>	<b>483</b>	<b>225</b>	<b>19,104</b>		
Respiratory	Maori	312	6	.	60	<b>375</b>	1.10
	Pacific	.	60	.	9	<b>69</b>	1.05
	Asian	.	6	18	9	<b>27</b>	1.29
	Non MPA	30	6	6	3,177	<b>3,213</b>	0.99
	<b>Total</b>	<b>342</b>	<b>66</b>	<b>21</b>	<b>3,255</b>		
Unintentional Injury, Suicide, Violent	Maori	456	6	.	165	<b>621</b>	1.20
	Pacific	12	66	.	24	<b>102</b>	1.36
	Asian	.	6	42	18	<b>66</b>	1.47
	Non MPA	54	6	6	2,211	<b>2,268</b>	0.94
	<b>Total</b>	<b>519</b>	<b>75</b>	<b>45</b>	<b>2,418</b>		
Other Causes	Maori	783	6	6	129	<b>912</b>	1.08
	Pacific	6	303	6	27	<b>342</b>	1.07
	Asian	.	9	120	21	<b>150</b>	1.16
	Non MPA	51	6	6	8,670	<b>8,733</b>	0.99
	<b>Total</b>	<b>843</b>	<b>321</b>	<b>129</b>	<b>8,850</b>		

**Table 58: Census Prioritised Ethnicity by NHI Prioritised Ethnicity stratified by ICD, 2004-06.**

Cause of Death	Census Prioritised Ethnicity	NHI Prioritised Ethnicity				Total Census Deaths	Census to NHI Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
Cancer	Maori	1,260	6	6	285	<b>1,545</b>	1.13
	Pacific	6	315	6	39	<b>360</b>	1.08
	Asian	.	6	225	30	<b>264</b>	1.14
	Non MPA	105	9	6	13,005	<b>13,125</b>	0.98
	<b>Total</b>	<b>1,371</b>	<b>333</b>	<b>231</b>	<b>13,356</b>		
CVD & IHD	Maori	1,350	6	.	327	<b>1,683</b>	1.15
	Pacific	6	444	6	63	<b>516</b>	1.07
	Asian	.	9	219	42	<b>273</b>	1.21
	Non MPA	99	24	6	18,675	<b>18,801</b>	0.98
	<b>Total</b>	<b>1,458</b>	<b>483</b>	<b>225</b>	<b>19,104</b>		
Respiratory	Maori	312	6	.	60	<b>375</b>	1.10
	Pacific	.	60	.	9	<b>69</b>	1.05
	Asian	.	6	18	9	<b>27</b>	1.29
	Non MPA	30	6	6	3,177	<b>3,213</b>	0.99
	<b>Total</b>	<b>342</b>	<b>66</b>	<b>21</b>	<b>3,255</b>		
Unintentional Injury,	Maori	456	6	.	165	<b>621</b>	1.20

Cause of Death	Census Prioritised Ethnicity	NHI Prioritised Ethnicity				Total Census Deaths	Census to NHI Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
Suicide, Violent	Pacific	12	66	.	24	<b>102</b>	1.36
	Asian	.	6	42	18	<b>66</b>	1.47
	Non MPA	54	6	6	2,211	<b>2,268</b>	0.94
	<b>Total</b>	<b>519</b>	<b>75</b>	<b>45</b>	<b>2,418</b>		
Other Causes	Maori	783	6	6	129	<b>912</b>	1.08
	Pacific	6	303	6	27	<b>342</b>	1.07
	Asian	.	9	120	21	<b>150</b>	1.16
	Non MPA	51	6	6	8,670	<b>8,733</b>	0.99
	<b>Total</b>	<b>843</b>	<b>321</b>	<b>129</b>	<b>8,850</b>		

**Table 59: Census Sole Ethnicity by NHI Sole Ethnicity stratified by ICD, 2004-06.**

Cause of Death	Census Sole Ethnicity	NHI Sole Ethnicity				Total Census Deaths	Census to NHI Ratio
		Maori Deaths	Pacific Deaths	Asian Deaths	NonMPA Deaths		
Cancer	Maori	1,173	6	6	372	<b>1,215</b>	0.97
	Pacific	6	309	6	42	<b>330</b>	1.05
	Asian	.	6	222	39	<b>246</b>	1.06
	Remainder	75	9	6	13,032	<b>13,503</b>	1.00
	<b>Total</b>	<b>1,257</b>	<b>315</b>	<b>231</b>	<b>13,485</b>		
CVD & IHD	Maori	1,287	6	.	387	<b>1,380</b>	1.00
	Pacific	6	438	6	72	<b>483</b>	1.05
	Asian	.	6	216	54	<b>267</b>	1.22
	Remainder	78	12	6	18,708	<b>19,143</b>	1.00
	<b>Total</b>	<b>1,374</b>	<b>459</b>	<b>219</b>	<b>19,218</b>		
Respiratory	Maori	294	6	.	78	<b>303</b>	0.95
	Pacific	.	54	.	9	<b>66</b>	1.10
	Asian	.	6	18	9	<b>24</b>	1.14
	Remainder	24	6	6	3,186	<b>3,294</b>	1.00
	<b>Total</b>	<b>318</b>	<b>60</b>	<b>21</b>	<b>3,285</b>		
Unintentional Injury, Suicide, Violent	Maori	426	.	.	198	<b>444</b>	0.93
	Pacific	12	66	.	27	<b>81</b>	1.13
	Asian	.	6	42	18	<b>60</b>	1.43
	Remainder	42	6	6	2,220	<b>2,469</b>	1.00
	<b>Total</b>	<b>477</b>	<b>72</b>	<b>42</b>	<b>2,463</b>		
Other Causes	Maori	732	6	6	183	<b>753</b>	0.97
	Pacific	6	303	6	30	<b>324</b>	1.05
	Asian	.	6	120	27	<b>144</b>	1.14
	Remainder	42	6	6	8,682	<b>8,913</b>	1.00
	<b>Total</b>	<b>777</b>	<b>309</b>	<b>126</b>	<b>8,922</b>		

## Appendix C

### SAS Programme for collapsing (linkage) strata

```
DATA N2001._4Strata ;
  SET N2001._4Regression ;
  ATTRIB
    TA_Cluster_L1c          LABEL = 'Level 1 Territorial Authority 2001
(Mortality) Cluster'
    Sex_L1c                FORMAT = $fwsex.          LABEL = 'Level 1
Sex (Mortality)'
    Sex_L2c                FORMAT = $fwsex.          LABEL = 'Level 2
Sex (Mortality)'
    ICD_Gp_L1              FORMAT = $fICDJF.
    ICD_Gp_L2              FORMAT = $fICDJF.
    Strata_orig            LENGTH = $15.
;
* Derive TA_Cluster_L1 - TA_mort Clusters based on TA betas
(refer LE Create TA_mort Clusters 091216.sas) ;
TA_Cluster_L1 = INPUT(PUT(TA_mort,Z3.),iTA_Clus.) ;
* Reassign Clusters ;
IF TA_Cluster_L1 IN (4, 6) THEN TA_Cluster_L1 = 4 ; /* Collapse
Buller, Kapiti Coast Westland into Clus 4 */
IF TA_Cluster_L1 IN (5, 2) THEN TA_Cluster_L1 = 2 ; /* Collapse
Chatham Islands & TLA N/A to Clus 2*/
TA_Cluster_L1c = PUT(TA_Cluster_L1,TAClus4L.) ;

* Derive Sex_L1c      - Level 1 Sex (Mortality) (Char) ;
* Derive Sex_L2c      - Level 2 Sex (Mortality) (Char) ;
Sex_L1c = PUT(Sex_mort,fvsex1L.) ;
Sex_L2c = PUT(Sex_L1c,$fvsex2L.) ; * Combined Sex ;

* Derive ICD_Gp_L1 - ICD Group ;
* Derive ICD_Gp_L0 - Detailed ICD Group ;
ICD_Gp_L1 = INPUT(ICD_Gp,$iICDJF.) ;
ICD_Gp_L0 = PUT(ICD_Gp,$fnicd.) ;
ICD_Gp_L2 = INPUT(ICD_Gp_L1,$iICD2JF.) ;

* Specific aggregations;
* Collapse AgeC_yrsJF for Child ;
IF AgeC_yrsJF = 0 THEN Sex_L1c = Sex_L2c ;

* Collapse Maori & Pacific for 75+ age groups ;
IF AgeC_yrsJF GE 75 THEN DO ;
  IF PriorEth_m_L1c IN ('G','C') THEN DO ;
    PriorEth_m_L1c = 'C' ; *Set Pacific Ethnicity = Maori for 75+;
    PriorEth_m_L2c = PUT(PriorEth_m_L1c,$f3eth.) ;
  END ;
END ;

* Collapse Missing Ethnicity ;
IF PriorEth_m_L1c IN ('M','O') THEN PriorEth_m_L1c = 'P' ;
IF PriorEth_m_L2c IN ('E','O') THEN PriorEth_m_L2c = 'F' ;

* Collapse Rurality for Pacific and Asian ethnicities ;
IF PriorEth_m_L1c IN ('G','K') THEN DO ;
  IF G_Rurality_m_L1c NE 'Q' THEN DO ;
    G_Rurality_m_L1c = 'C' ; * Set Rurality = All Urban (assuming
there are no Rural Pacific and Asian ethnicities);
```

```

        G_Rurality_m_L2c = 'C' ; * Set Rurality = All Urban (assuming
there are no Rural Pacific and Asian ethnicities);
    END ;
    END ;

* Adjustment where no links were able to be found when deriving
W_AgeEthAdj ;
    * Pacific, Females, 5-9 years - assigned Link=1 ;
    IF Sex_mort = 2 AND Agec_5yrs = 5 AND PriorEth_m_L1c = 'G' THEN Link = 1;

* Adjustment where no links were able to be found when deriving
W_AgeICDAdj ;
    * Males, 20-24 years, IHD deaths- assigned Link=1 ;
    IF Sex_mort = 1 AND Agec_5yrs = 20 AND PUT(ICD_Gp_L1,$FICDJF.) = 'IHD'
    THEN Link = 1 ;

* Males, 75-79 years, Violent deaths - assigned Link=1 ;
    IF Sex_mort = 1 AND Agec_5yrs = 75 AND PUT(ICD_Gp_L1,$FICDJF.) =
'Violent' THEN Link = 1 ;

* Males, 90-95 years, Congenital, Perinatal, SIDS - assigned Link=1 ;
    IF Sex_mort = 1 AND Agec_5yrs = 90 AND PUT(ICD_Gp_L1,$FICDJF.) =
'Congenital, Perinatal, SIDS' THEN Link = 1 ;

    * Create Strata_orig ;
    * NOTE: THE ORDER OF THE VARIABLES IN THE Strata_Str MUST BE THE
SAME AS THAT USED BELOW WHEN RUNNING THE SUMMARY ;
    Strata_orig = COMPRESS(AgeC_yrsJFc, ' ')
                ||COMPRESS(Sex_L1c, ' ')
                || '_'
                ||COMPRESS(PriorEth_m_L1c, ' ')
                || '_'
                ||COMPRESS(MobilityGp_L1c, ' ')
                ||COMPRESS(G_Rurality_m_L2c, ' ')
                ||COMPRESS(TA_Cluster_L1c, ' ')
                || '_'
                ||COMPRESS(MthsSinceCen_L2c, ' ')
                || '_'
                ||COMPRESS(NZDep2001_L1c, ' ')
                || '_'
                ||COMPRESS(ICD_Gp_L1, ' ')
;
    RUN ;

* Produce the Summaries...;
%MACRO StrataIt(dname=N2001._4Strata,YVar=Link,Set=1) ;
PROC SORT DATA = &dname. ;
    BY &&StrataVars_&Set. &YVar. ;
RUN ;

PROC SUMMARY DATA = &dname. CHARTYPE NWAY ;
    CLASS &&StrataVars_&Set. ;
    VAR &YVar. ;
    OUTPUT SUM(&YVar.)=Deaths_Linked
            OUT = Strata_&Set.
;
    RUN ;

DATA N2001.Strata_&Set. ;
    ATTRIB

```

```

Flag                LENGTH = $4
Deaths_Linked       FORMAT = 8.
  Deaths_Total      FORMAT = 8.
  Deaths_LinkedPct  FORMAT = 8.4
;
SET Strata_&Set.(RENAME=( _FREQ_ = Deaths_Total) ) ;

Deaths_LinkedPct = Deaths_Linked / Deaths_Total ;

IF Deaths_Linked GT 5 THEN Flag = 'A001' ;
ELSE IF Deaths_LinkedPct = 1 THEN Flag = 'A002' ;
ELSE Flag = 'C000' ;
RUN ;

%MEND StrataIt ;

%LET StrataVars_orig = Strata_orig
                        AgeC_yrsJFc
                        Sex_L1c
/*                      PriorEth_m_L2c*/
/*                      PriorEth_m_L1c
/*                      MobilityGp_L2c*/
/*                      MobilityGp_L1c
/*                      G_Rurality_m_L2c
/*                      TA_Cluster_L1c
/*                      MthsSinceCen_L3c */
/*                      MthsSinceCen_L2c
/*                      NZDep2001_L2c */
/*                      NZDep2001_L1c
/*                      ICD_Gp_L2*/
/*                      ICD_Gp_L1
;
%StrataIt (dname=N2001._4Strata,YVar=Link,Set=orig) ;

/*****
* Refer to the spreadsheet: Collapse _TYPE_ 091217.xlsx ;
%LET _TYPEi1_ = 11010111010101 ; /* maximum detail */
%LET _TYPEi2_ = 11010111010110 ; /* + collapse ICD_Gp' */
%LET _TYPEi3_ = 11010111011010 ; /* + collapse NZDep */
%LET _TYPEi4_ = 11010111101010 ; /* + collapse MonthsSinceCen */
%LET _TYPEi5_ = 11011011101010 ; /* + collapse Mobility (TA and
G_Rurality unchanged) */
%LET _TYPEi6_ = 11011011101000 ; /* + drop ICD_Gp */
%LET _TYPEi7_ = 11011011100000 ; /* + drop collapsed NZDep */
%LET _TYPEi8_ = 11011011000000 ; /* + drop collapsed MonthsSinceCen */
%LET _TYPEi9_ = 11011010000000 ; /* + drop TA */
%LET _TYPEi10_ = 11011000000000 ; /* + drop Rurality */
%LET _TYPEi11_ = 11010000000000 ; /* + drop collapsed Mobility */
%LET _TYPEi12_ = 11100000000000 ; /* + collapse Ethnicity */
%LET _TYPEi13_ = 11000000000000 ; /* + drop Ethnicity */
%LET _TYPEi14_ = 10000000000000 ; /* + drop Sex */
%LET _TYPEi15_ = 00000000000000 ; /* + drop Age */

%LET StrataVars = Link AgeC_yrsJFc Sex_L1c PriorEth_m_L2c PriorEth_m_L1c
MobilityGp_L2c MobilityGp_L1c G_Rurality_m_L2c TA_Cluster_L1c
MthsSinceCen_L3c MthsSinceCen_L2c NZDep2001_L2c NZDep2001_L1c ICD_Gp_L2
ICD_Gp_L1 ;

* Create dataset of the Maximum detail strata that we can link back to ;
DATA N2001.Strata_Str ;

```

```

    SET N2001.Strata_orig(KEEP = Strata_orig Flag Deaths_Linked
Deaths_LinkedPct
        RENAME=(Flag=Flag_orig Deaths_Linked=Linked_orig
Deaths_LinkedPct=LinkedPct_orig)) ;
    _TYPE_ = &_TYPEi1_ ;
RUN ;

%MACRO SortIt(dname=,byvar=,sortoptions=) ;
PROC SORT DATA = &dname. &sortoptions. ;
    BY &byvar. ;
RUN ;
%MEND SortIt ;

%MACRO Collapse01(TYPE_=&_TYPEi2_.) ;
* Flag the summarised dataset ;
DATA N2001.Lx100_StrataFinal_&TYPE_. (KEEP=Flag_Final Strata_Final
Linked_Final Deaths_Final LinkedPct_Final)
    _4Collapse_Strata_&TYPE_. (KEEP=Strata_&TYPE_. Linked_&TYPE_.
LinkedPct_&TYPE_.)
    _4Freq_&TYPE_. (KEEP=Flag Strata_&TYPE_.) ;

    SET N2001.Strata_&TYPE_. (RENAME=(Deaths_Total = Total_&TYPE_.
Deaths_Linked = Linked_&TYPE_. Deaths_LinkedPct = LinkedPct_&TYPE_. )) ;

    IF Flag IN ('A001') THEN DO ;
        Strata_Final = Strata_&TYPE_. ;
        Deaths_Final = Total_&TYPE_. ;
        Linked_Final = Linked_&TYPE_. ;
        LinkedPct_Final = LinkedPct_&TYPE_. ;
        Flag_Final = Flag ;
        OUTPUT _4Freq_&TYPE_. ;
        OUTPUT N2001.Lx100_StrataFinal_&TYPE_. ; * Cellsize acceptable ;
    END ;

    * Collapse further ;
    IF Flag IN ('C000', 'A002') THEN DO ;
        OUTPUT _4Freq_&TYPE_. ;
        OUTPUT _4Collapse_Strata_&TYPE_. ; * Need further attention ;
    END ;
RUN ;

* Attach the original strata information to these strata ;
%SortIt(dname=N2001.Lx100_StrataFinal_&TYPE_.,byvar=Strata_Final) ;
%SortIt(dname=_4Collapse_Strata_&TYPE_.,byvar=Strata_&TYPE_.) ;
%SortIt(dname=_4Summary_Deaths_&TYPE_.,byvar=Strata_&TYPE_.) ;

DATA N2001.Lx100_StrataFinal_&TYPE_. (KEEP=Strata_orig Flag_Final
Strata_&TYPE_. Linked_Final Deaths_Final LinkedPct_Final
        RENAME=(Strata_&TYPE_.=Strata_Final))
    _4Collapse_Strata_&TYPE_. (KEEP=Strata_orig Strata_&TYPE_.
Linked_&TYPE_. LinkedPct_&TYPE_.) ;
    MERGE N2001.Lx100_StrataFinal_&TYPE_. (IN=A
RENAME=(Strata_Final=Strata_&TYPE_.))
        _4Collapse_Strata_&TYPE_. (IN=B)
        _4Summary_Deaths_&TYPE_. (KEEP=Strata_&TYPE_. Strata_orig
&StrataVars.) ;
    BY Strata_&TYPE_. ;
    IF A THEN OUTPUT N2001.Lx100_StrataFinal_&TYPE_. ;
    IF B THEN OUTPUT _4Collapse_Strata_&TYPE_. ;
RUN ;

```

```

%SortIt (dname=N2001.Lx100_StrataFinal_&TYPE_.,byvar=Strata_orig,sortoptions=NODUPKEY) ;

%SortIt (dname=_4Freq_&TYPE_.,byvar=Strata_&TYPE_.) ;
%SortIt (dname=_4Summary_Deaths_&TYPE_.,byvar=Strata_&TYPE_.
Strata_orig,sortoptions=NODUPKEY OUT = NODUPKEY_Strata_&TYPE_.) ;
DATA _4Freq_&TYPE_. (KEEP=Flag Strata_&TYPE_.) ;
    MERGE _4Freq_&TYPE_. (IN=C)
        NODUPKEY_Strata_&TYPE_. (KEEP=Strata_&TYPE_. Strata_orig) ;
    BY Strata_&TYPE_. ;
RUN ;

PROC FREQ DATA = _4Freq_&TYPE_. ;
    TABLE Flag / LIST MISSING ;
    TITLE2 "_TYPE_: &TYPE_." ;
RUN ;

%MEND Collapse01 ;

%MACRO StrataIt (dname=N2001._4Strata,YVar=Link,Set=1) ;
PROC SORT DATA = &dname. ;
    BY &&StrataVars_&Set. &YVar. ;
RUN ;

PROC SUMMARY DATA = &dname. CHARTYPE NWAY ;
    CLASS &&StrataVars_&Set. ;
    VAR &YVar. ;
    OUTPUT SUM(&YVar.)=Deaths_Linked
        OUT = Strata_&Set.
    ;
RUN ;

DATA N2001.Strata_&Set. ;
    ATTRIB
        Flag                LENGTH = $4
        Deaths_Linked       FORMAT = 8.
        Deaths_Total        FORMAT = 8.
        Deaths_LinkedPct    FORMAT = 8.4
    ;
    SET Strata_&Set. (RENAME=( _FREQ_ = Deaths_Total)) ;

    Deaths_LinkedPct = Deaths_Linked / Deaths_Total ;

    IF Deaths_Linked GT 5 THEN Flag = 'A001' ;
    ELSE IF Deaths_LinkedPct = 1 THEN Flag = 'A002' ;
    ELSE Flag = 'C000' ;
RUN ;

%MEND StrataIt ;

*****;
* Iteration #1: Separate maximum detail strata with acceptable cell size
from the remainder ;
DATA _4Collapse_Strata_&TYPEi1_. (KEEP=Strata_orig Flag_orig Strata_orig
Linked_orig LinkedPct_orig)
    N2001.Lx100_StrataFinal_&TYPEi1_. (KEEP=Flag_Final Strata_Final
Linked_Final LinkedPct_Final Deaths_Final Strata_orig Linked_orig
LinkedPct_orig)
    _4Freq_&TYPEi1_. (KEEP=Flag Strata_&TYPEi1_.) ;

```

```

;
ATTRIB
  Strata_Final          LENGTH = $15
  Flag                  LENGTH = $4
;
SET N2001.Strata_orig ;

Linked_orig = Deaths_Linked ;
LinkedPct_orig = Deaths_LinkedPct ;
Flag_orig = Flag ;

Strata_&_TYPEi1_ = Strata_orig ;
IF Flag IN ('A001') THEN DO ;
  Strata_Final = Strata_orig ;
  Deaths_Final = Deaths_Total ;
  Linked_Final = Linked_orig ;
  LinkedPct_Final = LinkedPct_orig ;
  Flag_Final = Flag ;
  OUTPUT _4Freq_&_TYPEi1_ ;
  OUTPUT N2001.Lx100_StrataFinal_&_TYPEi1_ ;
END ;
ELSE DO ;
  OUTPUT _4Freq_&_TYPEi1_ ;
  OUTPUT _4Collapse_Strata_&_TYPEi1_ ;
END ;
RUN ;

PROC FREQ DATA = _4Freq_&_TYPEi1_ ;
  TABLE Flag / LIST MISSING ;
  TITLE2 "_TYPE_: &TYPEi1_" ;
RUN ;

*****
Iteration #2: Separate +collapse ICD_Gp strata with acceptable cell size
from the remainder ;
%SortIt (dname=_4Collapse_Strata_&_TYPEi1_.,byvar=Strata_orig,sortoptions=
NODUPKEY) ;
%SortIt (dname=N2001._4Strata,byvar=Strata_orig) ;

DATA _4Summary_Deaths_&_TYPEi2_ ;
MERGE _4Collapse_Strata_&_TYPEi1_. (KEEP = Strata_orig IN = Incl)
  N2001._4Strata (KEEP = Strata_orig &StrataVars.) ;
BY Strata_orig ;

IF Incl THEN DO ;
  * Derive the Strata_Str with the collapsed ICD_Gp ;
  Strata_&_TYPEi2_ = Strata_orig ;
  IF SUBSTR(Strata_&_TYPEi2_.,14,1) IN ('A') THEN
SUBSTR(Strata_&_TYPEi2_.,13,2) = 'A_' ;
  ELSE IF SUBSTR(Strata_&_TYPEi2_.,14,1) IN ('H') THEN
SUBSTR(Strata_&_TYPEi2_.,13,2) = 'H_' ;
  ELSE IF SUBSTR(Strata_&_TYPEi2_.,14,1) IN ('I') THEN
SUBSTR(Strata_&_TYPEi2_.,13,2) = 'I_' ;
  ELSE IF SUBSTR(Strata_&_TYPEi2_.,14,1) IN ('M') THEN
SUBSTR(Strata_&_TYPEi2_.,13,2) = 'M_' ;
  ELSE IF SUBSTR(Strata_&_TYPEi2_.,14,1) IN ('F','G') THEN
SUBSTR(Strata_&_TYPEi2_.,13,2) = 'P_' ;
  ELSE IF SUBSTR(Strata_&_TYPEi2_.,14,1) IN ('J','K','L') THEN
SUBSTR(Strata_&_TYPEi2_.,13,2) = 'O_' ;
  OUTPUT ;
END ;

```



```

RUN ;

* Summarise the dataset with exclusions ;
%LET StrataVars_&_TYPEi2_. = Strata_&_TYPEi2_.

                                AgeC_yrsJFc
                                Sex_L1c
/*                                PriorEth_m_L2c*/
/*                                PriorEth_m_L1c
/*                                MobilityGp_L2c*/
                                MobilityGp_L1c
                                G_Rurality_m_L2c
                                TA_Cluster_L1c
/*                                MthsSinceCen_L3c */
/*                                MthsSinceCen_L2c
/*                                NZDep2001_L2c */
                                NZDep2001_L1c
                                ICD_Gp_L2
/*                                ICD_Gp_L1 ;*/

                                ;
%StrataIt (dname=_4Summary_Deaths_&_TYPEi2_.,YVar=Link,Set=&_TYPEi2_. ) ;
%Collapse01 (TYPE_=&_TYPEi2_.) ; * +collapse ICD_Gp ;

*****;
* Iteration #3: Separate +collapse NZDep strata with acceptable cell size
from the remainder ;
%SortIt (dname=_4Collapse_Strata_&_TYPEi2_.,byvar=Strata_orig,sortoptions=
NODUPKEY) ;
%SortIt (dname=_4Summary_Deaths_&_TYPEi2_.,byvar=Strata_orig) ;

DATA _4Summary_Deaths_&_TYPEi3_. ;
MERGE _4Collapse_Strata_&_TYPEi2_. (IN = Incl)
      _4Summary_Deaths_&_TYPEi2_. (KEEP = Strata_Orig &StrataVars.) ;
BY Strata_orig ;

IF Incl THEN DO ;
  Strata_&_TYPEi3_. = Strata_&_TYPEi2_. ;
  * Derive the Strata_Str with the collapsed NZDep (1-6,7-10,Missing)
;
  IF SUBSTR(Strata_&_TYPEi3_.,12,1) IN ('K','M') THEN
SUBSTR(Strata_&_TYPEi3_.,11,2) = 'P_' ;
  ELSE IF SUBSTR(Strata_&_TYPEi3_.,12,1) IN ('F','H','J') THEN
SUBSTR(Strata_&_TYPEi3_.,11,2) = 'I_' ;
  ELSE IF SUBSTR(Strata_&_TYPEi3_.,12,1) IN ('C') THEN
SUBSTR(Strata_&_TYPEi3_.,11,2) = 'C_' ;
  OUTPUT ;
END ;
RUN ;

* Summarise the dataset with exclusions ;
%LET StrataVars_&_TYPEi3_. =
                                Strata_&_TYPEi3_.
                                AgeC_yrsJFc
                                Sex_L1c
/*                                PriorEth_m_L2c*/
/*                                PriorEth_m_L1c
/*                                MobilityGp_L2c*/
                                MobilityGp_L1c
                                G_Rurality_m_L2c
                                TA_Cluster_L1c

```

```

/*          MthsSinceCen_L3c */
          MthsSinceCen_L2c
          NZDep2001_L2c
/*          NZDep2001_L1c*/
          ICD_Gp_L2
/*          ICD_Gp_L1 ;*/
          ;
%StrataIt (dname=_4Summary_Deaths_&_TYPEi3_.,YVar=Link,Set=&_TYPEi3_.) ;
%Collapse01 (TYPE_=&_TYPEi3_.) ; * +collapse NZ Dep ;

*****;
* Iteration #4: Separate +collapse MonthsSinceCen strata with acceptable
cell size from the remainder ;
%SortIt (dname=_4Collapse_Strata_&_TYPEi3_.,byvar=Strata_orig,sortoptions=
NODUPKEY) ;
%SortIt (dname=_4Summary_Deaths_&_TYPEi3_.,byvar=Strata_orig) ;

DATA _4Summary_Deaths_&_TYPEi4_. ;
MERGE _4Collapse_Strata_&_TYPEi3_. (IN = Incl)
      _4Summary_Deaths_&_TYPEi3_. (KEEP = Strata_Orig &StrataVars.) ;
BY Strata_orig ;

IF Incl THEN DO ;
  Strata_&_TYPEi4_. = Strata_&_TYPEi3_. ;
  * Derive the Strata_Str with the +collapse MonthsSinceCen (0-
36,37+,Missing) ;
  IF SUBSTR(Strata_&_TYPEi4_.,10,1) IN ('F','N') THEN
SUBSTR(Strata_&_TYPEi4_.,9,2) = 'D' ;
  ELSE IF SUBSTR(Strata_&_TYPEi4_.,10,1) IN ('V') THEN
SUBSTR(Strata_&_TYPEi4_.,9,2) = 'V' ;
  ELSE IF SUBSTR(Strata_&_TYPEi4_.,10,1) IN ('Z') THEN
SUBSTR(Strata_&_TYPEi4_.,9,2) = 'Z' ;
  OUTPUT ;
END ;
RUN ;

* Summarise the dataset with exclusions ;
%LET StrataVars_&_TYPEi4_. =
          Strata_&_TYPEi4_.
          AgeC_yrsJFc
          Sex_L1c
/*          PriorEth_m_L2c*/
          PriorEth_m_L1c
/*          MobilityGp_L2c*/
          MobilityGp_L1c
          G_Rurality_m_L2c
          TA_Cluster_L1c
          MthsSinceCen_L3c
/*          MthsSinceCen_L2c */
          NZDep2001_L2c
/*          NZDep2001_L1c*/
          ICD_Gp_L2
/*          ICD_Gp_L1 ;*/
          ;
%StrataIt (dname=_4Summary_Deaths_&_TYPEi4_.,YVar=Link,Set=&_TYPEi4_.) ;
%Collapse01 (TYPE_=&_TYPEi4_.) ;

*****;
* Iteration #5 Separate +collapse Mobility strata with acceptable cell
size from the remainder ;

```

```

%SortIt (dname=_4Collapse_Strata_&_TYPEi4_.,byvar=Strata_orig,sortoptions=
NODUPKEY) ;
%SortIt (dname=_4Summary_Deaths_&_TYPEi4_.,byvar=Strata_orig) ;

DATA _4Summary_Deaths_&_TYPEi5_ . ;
MERGE _4Collapse_Strata_&_TYPEi4_.(IN = Incl)
      _4Summary_Deaths_&_TYPEi4_.(KEEP = Strata_Orig &StrataVars.) ;
BY Strata_orig ;

IF Incl THEN DO ;
  Strata_&_TYPEi5_ . = Strata_&_TYPEi4_ . ;
  * Derive the Strata_Str with the +collapse Moblity (1-
55,56+,Missing) ;
  IF SUBSTR(Strata_&_TYPEi5_.,6,1) IN ('R','S') THEN
SUBSTR(Strata_&_TYPEi5_.,5,2) = 'V_' ;
  ELSE IF SUBSTR(Strata_&_TYPEi5_.,6,1) IN ('T','U') THEN
SUBSTR(Strata_&_TYPEi5_.,5,2) = 'W_' ;
  ELSE IF SUBSTR(Strata_&_TYPEi5_.,6,1) IN ('E') THEN
SUBSTR(Strata_&_TYPEi5_.,5,2) = 'E_' ;
  OUTPUT ;
END ;
RUN ;

* Summarise the dataset with exclusions ;
%LET StrataVars_&_TYPEi5_ . =
      Strata_&_TYPEi5_ .
      AgeC_yrsJFc
      Sex_L1c
/*      PriorEth_m_L2c*/
      PriorEth_m_L1c
      MobilityGp_L2c
/*      MobilityGp_L1c*/
      G_Rurality_m_L2c
      TA_Cluster_L1c
      MthsSinceCen_L3c
/*      MthsSinceCen_L2c */
      NZDep2001_L2c
/*      NZDep2001_L1c */
      ICD_Gp_L2
/*      ICD_Gp_L1 */
      ;
%StrataIt (dname=_4Summary_Deaths_&_TYPEi5_.,YVar=Link,Set=&_TYPEi5_ . ) ;
%Collapse01 (TYPE_=&_TYPEi5_ .) ;

*****;
* Iteration #6: Separate +drop ICD_Gp strata with acceptable cell size
from the remainder ;
%SortIt (dname=_4Collapse_Strata_&_TYPEi5_.,byvar=Strata_orig,sortoptions=
NODUPKEY) ;
%SortIt (dname=_4Summary_Deaths_&_TYPEi5_.,byvar=Strata_orig) ;

DATA _4Summary_Deaths_&_TYPEi6_ . ;
MERGE _4Collapse_Strata_&_TYPEi5_.(IN = Incl)
      _4Summary_Deaths_&_TYPEi5_.(KEEP = Strata_Orig &StrataVars.) ;
BY Strata_orig ;

IF Incl THEN DO ;
  Strata_&_TYPEi6_ . = Strata_&_TYPEi5_ . ;
  * Derive the Strata_Str with the +drop ICD_Gp ;
  SUBSTR(Strata_&_TYPEi6_.,13,2) = '___' ;
  OUTPUT ;

```

```

    END ;
RUN ;

* Summarise the dataset with exclusions ;
%LET StrataVars_&_TYPEi6_. =
    Strata_&_TYPEi6_.
    AgeC_yrsJFc
    Sex_L1c
/*
    PriorEth_m_L2c*/
    PriorEth_m_L1c
    MobilityGp_L2c
/*
    MobilityGp_L1c*/
    G_Rurality_m_L2c
    TA_Cluster_L1c
    MthsSinceCen_L3c
/*
    MthsSinceCen_L2c */
    NZDep2001_L2c
/*
    NZDep2001_L1c */
/*
    ICD_Gp_L2*/
/*
    ICD_Gp_L1 */
    ;
%StrataIt (dname=_4Summary_Deaths_&_TYPEi6_.,YVar=Link,Set=&_TYPEi6_. ) ;
%Collapse01 (TYPE_=&_TYPEi6_.) ;

*****;
* Iteration #7: Separate +drop NZDep strata with acceptable cell size
from the remainder ;
%SortIt (dname=_4Collapse_Strata_&_TYPEi6_.,byvar=Strata_orig,sortoptions=
NODUPKEY) ;
%SortIt (dname=_4Summary_Deaths_&_TYPEi6_.,byvar=Strata_orig) ;

DATA _4Summary_Deaths_&_TYPEi7_. ;
MERGE _4Collapse_Strata_&_TYPEi6_. (IN = Incl)
    _4Summary_Deaths_&_TYPEi6_. (KEEP = Strata_Orig &StrataVars.) ;
BY Strata_orig ;

IF Incl THEN DO ;
    Strata_&_TYPEi7_. = Strata_&_TYPEi6_. ;
    * Derive the Strata_Str with the +drop NZDep ;
    SUBSTR(Strata_&_TYPEi7_.,11,2) = '___' ;
    OUTPUT ;
END ;
RUN ;

* Summarise the dataset with exclusions ;
%LET StrataVars_&_TYPEi7_. =
    Strata_&_TYPEi7_.
    AgeC_yrsJFc
    Sex_L1c
/*
    PriorEth_m_L2c*/
    PriorEth_m_L1c
    MobilityGp_L2c
/*
    MobilityGp_L1c*/
    G_Rurality_m_L2c
    TA_Cluster_L1c
    MthsSinceCen_L3c
/*
    MthsSinceCen_L2c */
/*
    NZDep2001_L2c */
/*
    NZDep2001_L1c */
/*
    ICD_Gp_L2*/
/*
    ICD_Gp_L1 */

```

```

;
%StrataIt (dname=_4Summary_Deaths_&_TYPEi7_.,YVar=Link,Set=&_TYPEi7_.) ;
%Collapse01 (TYPE_=&_TYPEi7_.) ;

*****;
* Iteration #8: Separate +drop MonthsSinceCen strata with acceptable cell
size from the remainder ;
%SortIt (dname=_4Collapse_Strata_&_TYPEi7_.,byvar=Strata_orig,sortoptions=
NODUPKEY) ;
%SortIt (dname=_4Summary_Deaths_&_TYPEi7_.,byvar=Strata_orig) ;

DATA _4Summary_Deaths_&_TYPEi8_.;
MERGE _4Collapse_Strata_&_TYPEi7_.(IN = Incl)
      _4Summary_Deaths_&_TYPEi7_.(KEEP = Strata_Orig &StrataVars.) ;
BY Strata_orig ;

IF Incl THEN DO ;
  Strata_&_TYPEi8_ = Strata_&_TYPEi7_.;
  * Derive the Strata_Str with the +drop MonthsSinceCen ;
  SUBSTR(Strata_&_TYPEi8_.,9,2) = '___' ;
  OUTPUT ;
END ;
RUN ;

* Summarise the dataset with exclusions ;
%LET StrataVars_&_TYPEi8_ =
      Strata_&_TYPEi8_
      AgeC_yrsJFc
      Sex_Llc

/*          PriorEth_m_L2c*/
          PriorEth_m_Llc
          MobilityGp_L2c
/*          MobilityGp_Llc*/
          G_Rurality_m_L2c
          TA_Cluster_Llc
/*          MthsSinceCen_L3c */
/*          MthsSinceCen_L2c */
/*          NZDep2001_L2c */
/*          NZDep2001_L1c */
/*          ICD_Gp_L2*/
/*          ICD_Gp_L1 */

;
%StrataIt (dname=_4Summary_Deaths_&_TYPEi8_.,YVar=Link,Set=&_TYPEi8_.) ;
%Collapse01 (TYPE_=&_TYPEi8_.) ;

*****
Iteration #9: Separate +drop TA strata with acceptable cell size from the
remainder ;
%SortIt (dname=_4Collapse_Strata_&_TYPEi8_.,byvar=Strata_orig,sortoptions=
NODUPKEY) ;
%SortIt (dname=_4Summary_Deaths_&_TYPEi8_.,byvar=Strata_orig) ;

DATA _4Summary_Deaths_&_TYPEi9_.;
MERGE _4Collapse_Strata_&_TYPEi8_.(IN = Incl)
      _4Summary_Deaths_&_TYPEi8_.(KEEP = Strata_Orig &StrataVars.) ;
BY Strata_orig ;

IF Incl THEN DO ;
  Strata_&_TYPEi9_ = Strata_&_TYPEi8_.;
  * Derive the Strata_Str with the +drop TA ;
  SUBSTR(Strata_&_TYPEi9_.,8,1) = '_' ;

```

```

        OUTPUT ;
    END ;
RUN ;

* Summarise the dataset with exclusions ;
%LET StrataVars_&_TYPEi9_ =
    Strata_&_TYPEi9_
    AgeC_yrsJFc
    Sex_L1c

/*
    PriorEth_m_L2c*/
    PriorEth_m_L1c
    MobilityGp_L2c
/*
    MobilityGp_L1c*/
    G_Rurality_m_L2c
/*
    TA_Cluster_L1c*/
/*
    MthsSinceCen_L3c */
/*
    MthsSinceCen_L2c */
/*
    NZDep2001_L2c */
/*
    NZDep2001_L1c */
/*
    ICD_Gp_L2*/
/*
    ICD_Gp_L1 */
;
%StrataIt (dname=_4Summary_Deaths_&_TYPEi9_., YVar=Link, Set=&_TYPEi9_ ) ;
%Collapse01 (TYPE_=&_TYPEi9_.) ;

*****;
* Iteration #10: Separate +drop Rurality strata with acceptable cell size
from the remainder ;
%SortIt (dname=_4Collapse_Strata_&_TYPEi9_., byvar=Strata_orig, sortoptions=
NODUPKEY) ;
%SortIt (dname=_4Summary_Deaths_&_TYPEi9_., byvar=Strata_orig) ;

DATA _4Summary_Deaths_&_TYPEi10_ ;
MERGE _4Collapse_Strata_&_TYPEi9_. (IN = Incl)
    _4Summary_Deaths_&_TYPEi9_. (KEEP = Strata_Orig &StrataVars.) ;
BY Strata_orig ;

IF Incl THEN DO ;
    Strata_&_TYPEi10_ = Strata_&_TYPEi9_ ;
    * Derive the Strata_Str with the +drop Rurality ;
    SUBSTR(Strata_&_TYPEi10_.,7,1) = '_' ;
    OUTPUT ;
END ;
RUN ;

* Summarise the dataset with exclusions ;
%LET StrataVars_&_TYPEi10_ =
    Strata_&_TYPEi10_
    AgeC_yrsJFc
    Sex_L1c

/*
    PriorEth_m_L2c*/
    PriorEth_m_L1c
    MobilityGp_L2c
/*
    MobilityGp_L1c*/
/*
    G_Rurality_m_L2c*/
/*
    TA_Cluster_L1c*/
/*
    MthsSinceCen_L3c */
/*
    MthsSinceCen_L2c */
/*
    NZDep2001_L2c */
/*
    NZDep2001_L1c */
/*
    ICD_Gp_L2*/

```

```

/*                                ICD_Gp_L1 */
;
%StrataIt (dname=_4Summary_Deaths_&_TYPEi10_.,YVar=Link,Set=&_TYPEi10_. )
;
%Collapse01 (TYPE_=&_TYPEi10_.) ;

*****;
* Iteration #11: Separate +drop collapsed Mobility strata with acceptable
cell size from the remainder ;
%SortIt (dname=_4Collapse_Strata_&_TYPEi10_.,byvar=Strata_orig,sortoptions
=NODUPKEY) ;
%SortIt (dname=_4Summary_Deaths_&_TYPEi10_.,byvar=Strata_orig) ;

DATA _4Summary_Deaths_&_TYPEi11_. ;
MERGE _4Collapse_Strata_&_TYPEi10_.(IN = Incl)
      _4Summary_Deaths_&_TYPEi10_.(KEEP = Strata_Orig &StrataVars.) ;
BY Strata_orig ;

IF Incl THEN DO ;
  Strata_&_TYPEi11_. = Strata_&_TYPEi10_. ;
  * Derive the Strata_Str with the +drop collapsed Mobility ;
  SUBSTR(Strata_&_TYPEi11_.,5,1) = '_' ;
  OUTPUT ;
END ;
RUN ;

* Summarise the dataset with exclusions ;
%LET StrataVars_&_TYPEi11_. =
      Strata_&_TYPEi11_.
      AgeC_yrsJFc
      Sex_L1c

/*                                PriorEth_m_L2c*/
/*                                PriorEth_m_L1c
/*                                MobilityGp_L2c*/
/*                                MobilityGp_L1c*/
/*                                G_Rurality_m_L2c*/
/*                                TA_Cluster_L1c*/
/*                                MthsSinceCen_L3c */
/*                                MthsSinceCen_L2c */
/*                                NZDep2001_L2c */
/*                                NZDep2001_L1c */
/*                                ICD_Gp_L2*/
/*                                ICD_Gp_L1 */
;
%StrataIt (dname=_4Summary_Deaths_&_TYPEi11_.,YVar=Link,Set=&_TYPEi11_. )
;
%Collapse01 (TYPE_=&_TYPEi11_.) ;

*****;
* Iteration #12: Separate +collapse Ethnicity strata with acceptable cell
size from the remainder ;
%SortIt (dname=_4Collapse_Strata_&_TYPEi11_.,byvar=Strata_orig,sortoptions
=NODUPKEY) ;
%SortIt (dname=_4Summary_Deaths_&_TYPEi11_.,byvar=Strata_orig) ;

DATA _4Summary_Deaths_&_TYPEi12_. ;
MERGE _4Collapse_Strata_&_TYPEi11_.(IN = Incl)
      _4Summary_Deaths_&_TYPEi11_.(KEEP = Strata_Orig &StrataVars.) ;
BY Strata_orig ;

IF Incl THEN DO ;

```

```

        Strata_&_TYPEi12_. = Strata_&_TYPEi11_. ;
        * Derive the Strata_Str with the +collapse Ethnicity
(Maori,NonMaori,missing);
        IF SUBSTR(Strata_&_TYPEi12_.,4,1) IN ('C') THEN
SUBSTR(Strata_&_TYPEi12_.,3,2) = 'C_' ;
        ELSE IF SUBSTR(Strata_&_TYPEi12_.,4,1) IN ('G','K','M','P') THEN
SUBSTR(Strata_&_TYPEi12_.,3,2) = 'E_' ;
        ELSE IF SUBSTR(Strata_&_TYPEi12_.,4,1) IN ('O') THEN
SUBSTR(Strata_&_TYPEi12_.,3,2) = 'O_' ;
        OUTPUT ;
    END ;
RUN ;

* Summarise the dataset with exclusions ;
%LET StrataVars_&_TYPEi12_. =
        Strata_&_TYPEi12_.
        AgeC_yrsJFc
        Sex_L1c
        PriorEth_m_L2c
/*          PriorEth_m_L1c*/
/*          MobilityGp_L2c*/
/*          MobilityGp_L1c*/
/*          G_Rurality_m_L2c*/
/*          TA_Cluster_L1c*/
/*          MthsSinceCen_L3c */
/*          MthsSinceCen_L2c */
/*          NZDep2001_L2c */
/*          NZDep2001_L1c */
/*          ICD_Gp_L2*/
/*          ICD_Gp_L1 */
;

%StrataIt (dname=_4Summary_Deaths_&_TYPEi12_.,YVar=Link,Set=&_TYPEi12_. )
;
%Collapse01(TYPE_=&_TYPEi12_.) ; * +collapse Ethnicity
(Maori,NonMaori,missing);

*****;
* Iteration #13: Separate +drop Ethnicity strata with acceptable cell
size from the remainder ;
%SortIt (dname=_4Collapse_Strata_&_TYPEi12_.,byvar=Strata_orig,sortoptions
=NODUPKEY) ;
%SortIt (dname=_4Summary_Deaths_&_TYPEi12_.,byvar=Strata_orig) ;

DATA _4Summary_Deaths_&_TYPEi13_. ;
MERGE _4Collapse_Strata_&_TYPEi12_.(IN = Incl)
      _4Summary_Deaths_&_TYPEi12_.(KEEP = Strata_Orig &StrataVars.) ;
BY Strata_orig ;

IF Incl THEN DO ;
    Strata_&_TYPEi13_. = Strata_&_TYPEi12_. ;
    * Derive the Strata_Str with the +drop Ethnicity ;
    SUBSTR(Strata_&_TYPEi13_.,3,1) = '_' ;
    OUTPUT ;
END ;
RUN ;

* Summarise the dataset with exclusions ;

```



```

%LET StrataVars_&_TYPEi13_. =
    Strata_&_TYPEi13_.
    AgeC_yrsJFc
    Sex_L1c
/*
    PriorEth_m_L2c*/
/*
    PriorEth_m_L1c*/
/*
    MobilityGp_L2c*/
/*
    MobilityGp_L1c*/
/*
    G_Rurality_m_L2c*/
/*
    TA_Cluster_L1c*/
/*
    mthsSinceCen_L3c */
/*
    MthsSinceCen_L2c */
/*
    NZDep2001_L2c */
/*
    NZDep2001_L1c */
/*
    ICD_Gp_L2*/
/*
    ICD_Gp_L1 */;
%StrataIt (dname=_4Summary_Deaths_&_TYPEi13_., YVar=Link, Set=&_TYPEi13_. )
;
%Collapse01 (TYPE_=&_TYPEi13_.) ; * +drop Ethnicity ;

*****;
* Iteration #14: Separate +drop Sex strata with acceptable cell size from
the remainder ;
%SortIt (dname=_4Collapse_Strata_&_TYPEi13_., byvar=Strata_orig, sortoptions
=NODUPKEY) ;
%SortIt (dname=_4Summary_Deaths_&_TYPEi13_., byvar=Strata_orig) ;

DATA _4Summary_Deaths_&_TYPEi14_. ;
    MERGE _4Collapse_Strata_&_TYPEi13_. (IN = Incl)
        _4Summary_Deaths_&_TYPEi13_. (KEEP = Strata_Orig &StrataVars.) ;
    BY Strata_orig ;

    IF Incl THEN DO ;
        Strata_&_TYPEi14_. = Strata_&_TYPEi13_. ;
        * Derive the Strata_Str with the +drop Sex ;
        SUBSTR(Strata_&_TYPEi14_., 2, 1) = '_' ;
        OUTPUT ;
    END ;
RUN ;

* Summarise the dataset with exclusions ;
%LET StrataVars_&_TYPEi14_. =
    Strata_&_TYPEi14_.
    AgeC_yrsJFc
/*
    Sex_L1c*/
/*
    PriorEth_m_L2c*/
/*
    PriorEth_m_L1c*/
/*
    MobilityGp_L2c*/
/*
    MobilityGp_L1c*/
/*
    G_Rurality_m_L2c*/
/*
    TA_Cluster_L1c*/
/*
    MthsSinceCen_L3c */
/*
    MthsSinceCen_L2c */
/*
    NZDep2001_L2c */
/*
    NZDep2001_L1c */
/*
    ICD_Gp_L2*/
/*
    ICD_Gp_L1 */;
;
%StrataIt (dname=_4Summary_Deaths_&_TYPEi14_., YVar=Link, Set=&_TYPEi14_. )
;
%Collapse01 (TYPE_=&_TYPEi14_.) ;

```

```

*****;
* Iteration #15: Separate +drop Age strata with acceptable cell size from
the remainder ;
%SortIt (dname=_4Collapse_Strata_&_TYPEi14_.,byvar=Strata_orig,sortoptions
=NODUPKEY) ;
%SortIt (dname=_4Summary_Deaths_&_TYPEi14_.,byvar=Strata_orig) ;

DATA _4Summary_Deaths_&_TYPEi15_ . ;
MERGE _4Collapse_Strata_&_TYPEi14_.(IN = Incl)
      _4Summary_Deaths_&_TYPEi14_.(KEEP = Strata_Orig &StrataVars.) ;
BY Strata_orig ;

IF Incl THEN DO ;
  Strata_&_TYPEi15_ . = Strata_&_TYPEi14_ . ;
  * Derive the Strata_Str with the +drop Age ;
  SUBSTR(Strata_&_TYPEi15_.,1,1) = '_' ;
  OUTPUT ;
END ;
RUN ;

* Summarise the dataset with exclusions ;
%LET StrataVars_&_TYPEi15_ . =
      Strata_&_TYPEi15_ .
/*      AgeC_yrsJFc*/
/*      Sex_L1c*/
/*      PriorEth_m_L2c*/
/*      PriorEth_m_L1c*/
/*      MobilityGp_L2c*/
/*      MobilityGp_L1c*/
/*      G_Rurality_m_L2c*/
/*      TA_Cluster_L1c*/
/*      MthsSinceCen_L3c */
/*      MthsSinceCen_L2c */
/*      NZDep2001_L2c */
/*      NZDep2001_L1c */
/*      ICD_Gp_L2*/
/*      ICD_Gp_L1 */
;
%StrataIt (dname=_4Summary_Deaths_&_TYPEi15_.,YVar=Link,Set=&_TYPEi15_ . );
%Collapse01 (TYPE_=&_TYPEi15_ .) ;

```